



New Directions in Channelized Receivers and Transmitters

fred harris

2-December 2011

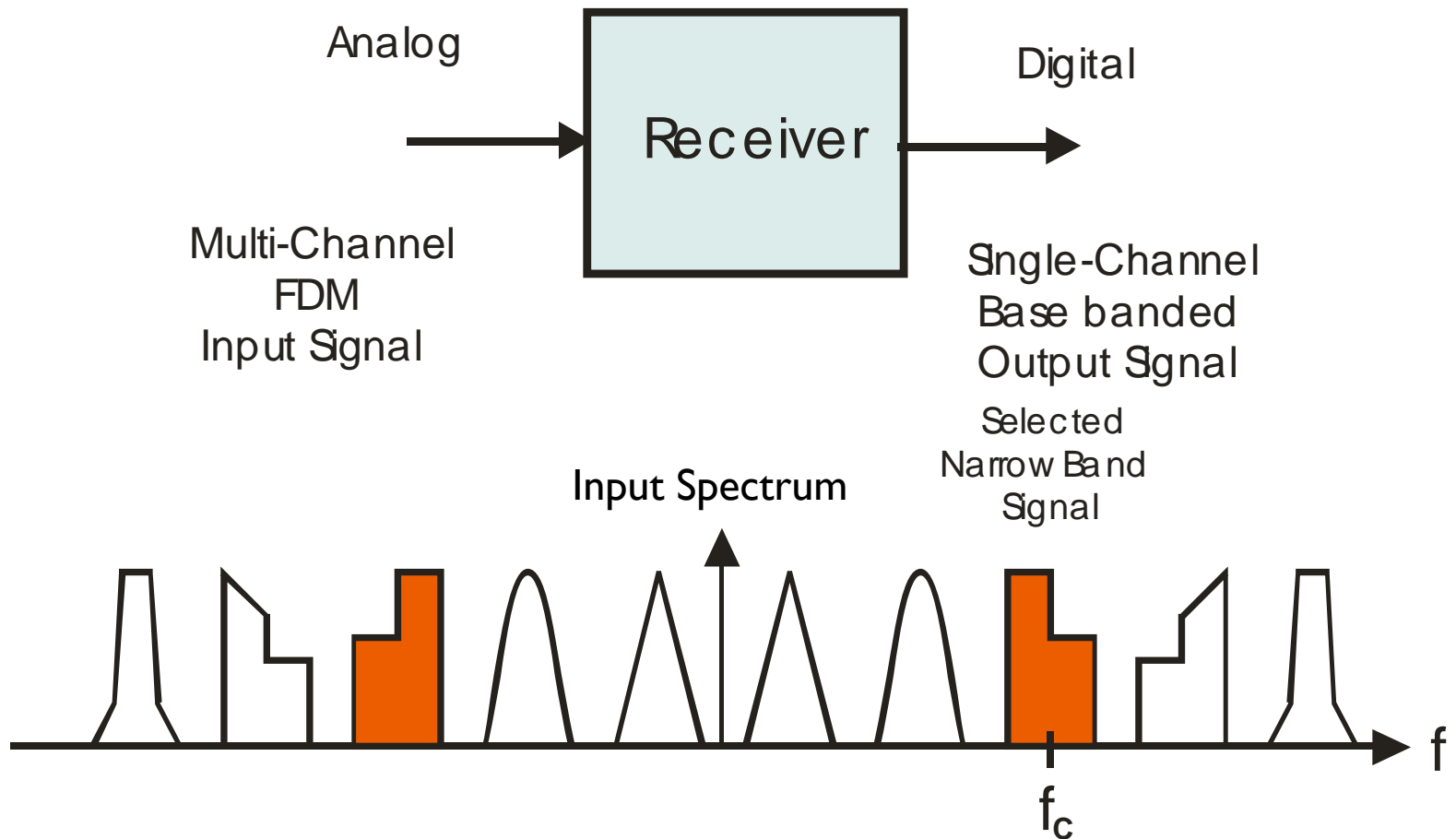


**SDR'11 -
WinnComm**



Motivation For Using Multirate Filters

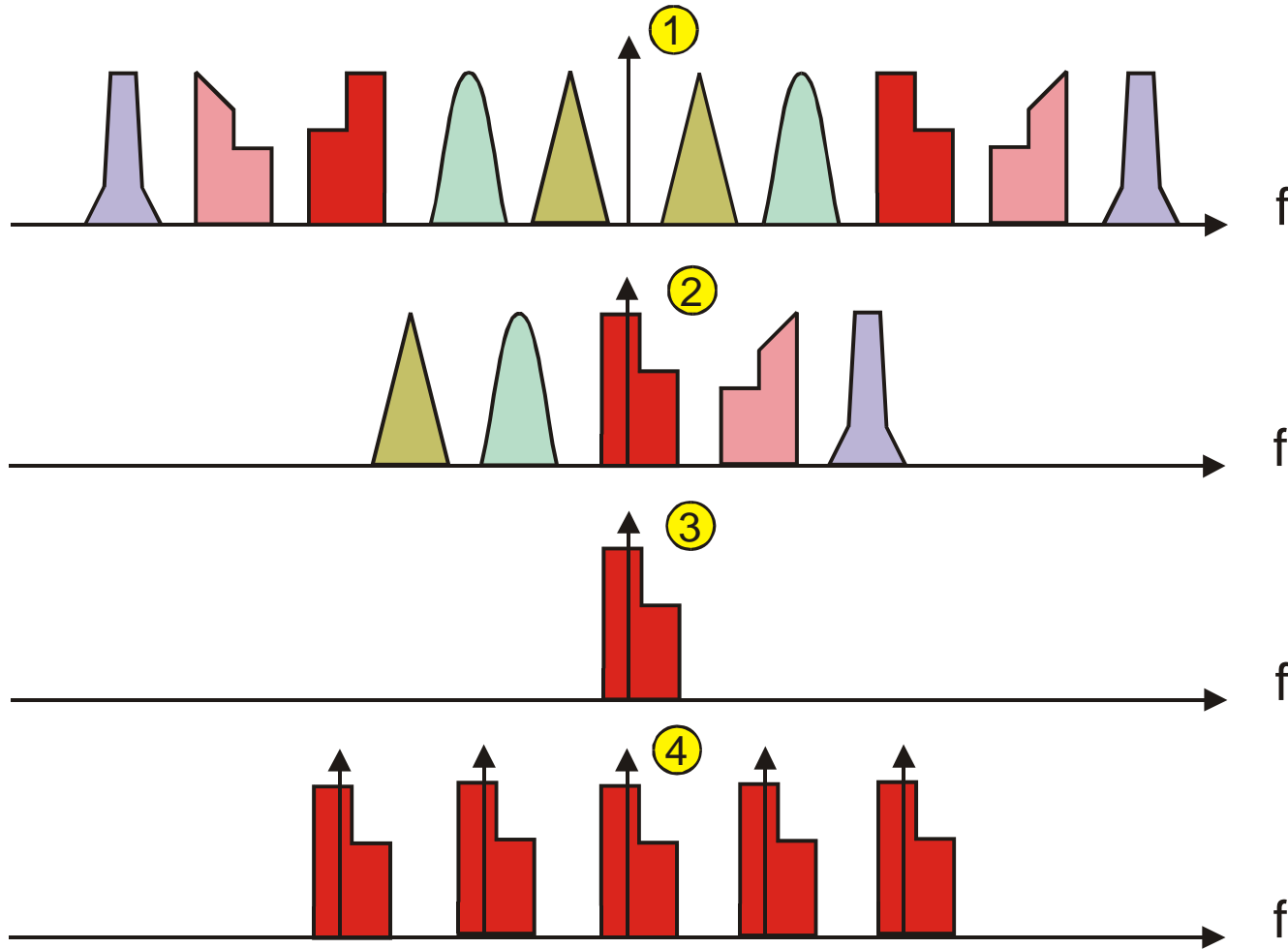
Processing Task: Obtain Digital Samples of Complex Envelope Residing at Frequency f_c



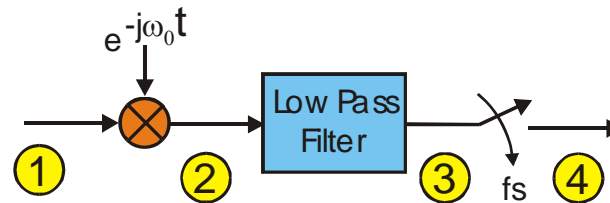
See!



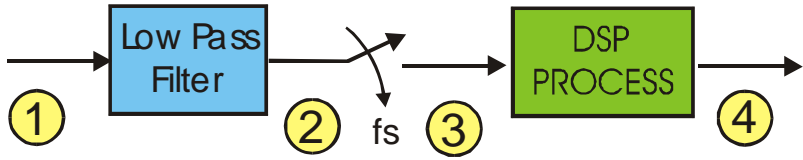
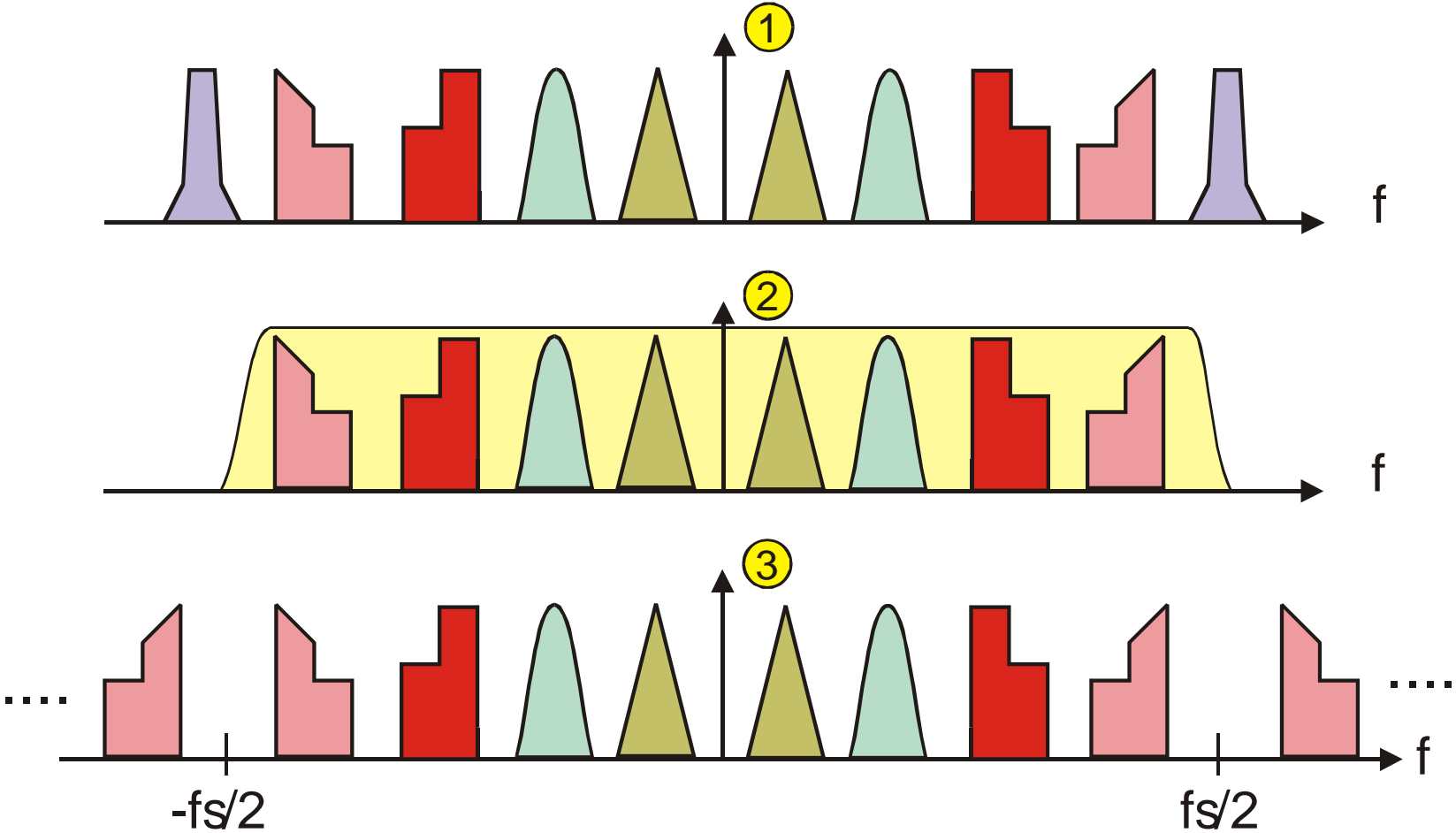
First Generation DSP Receiver



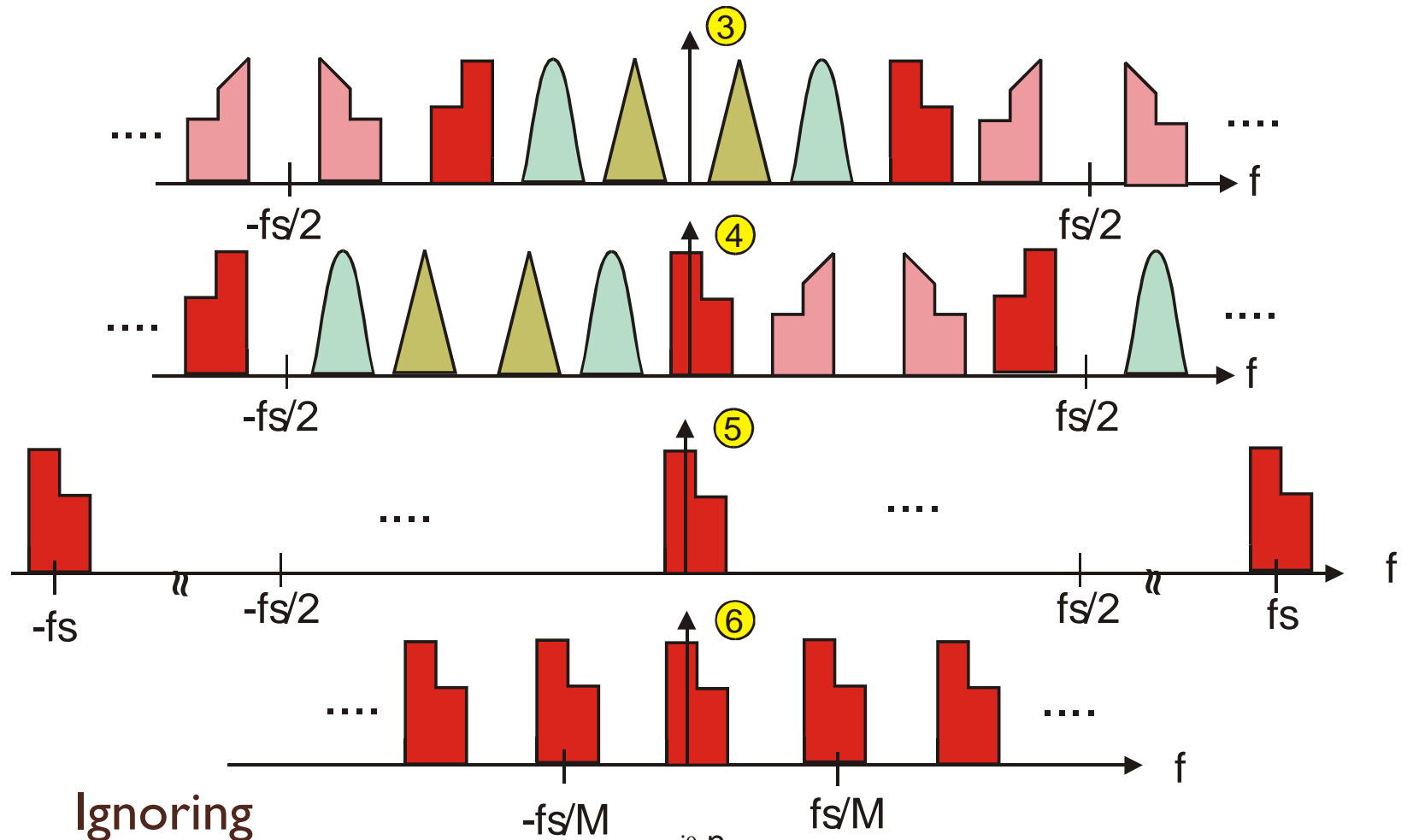
Analog
Signal Processing



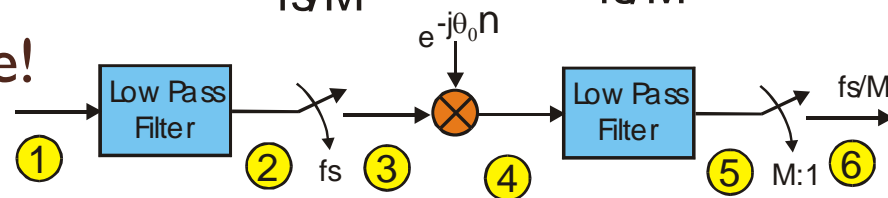
Signal Conditioning for DSP Receiver



Replicate Analog Processing in DSP

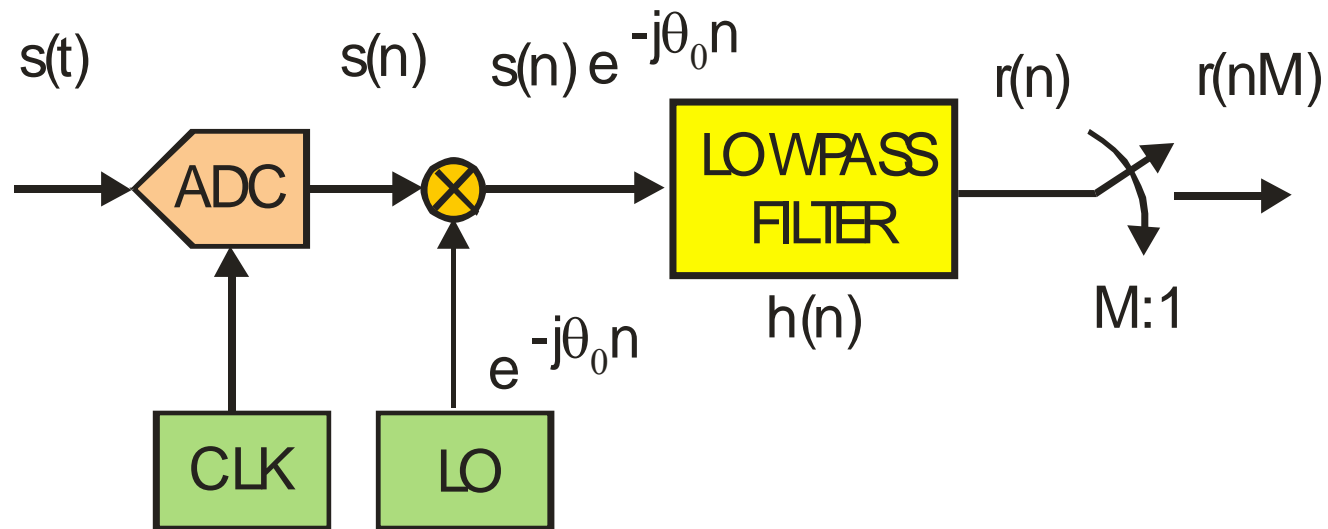


Ignoring
Good Advice!



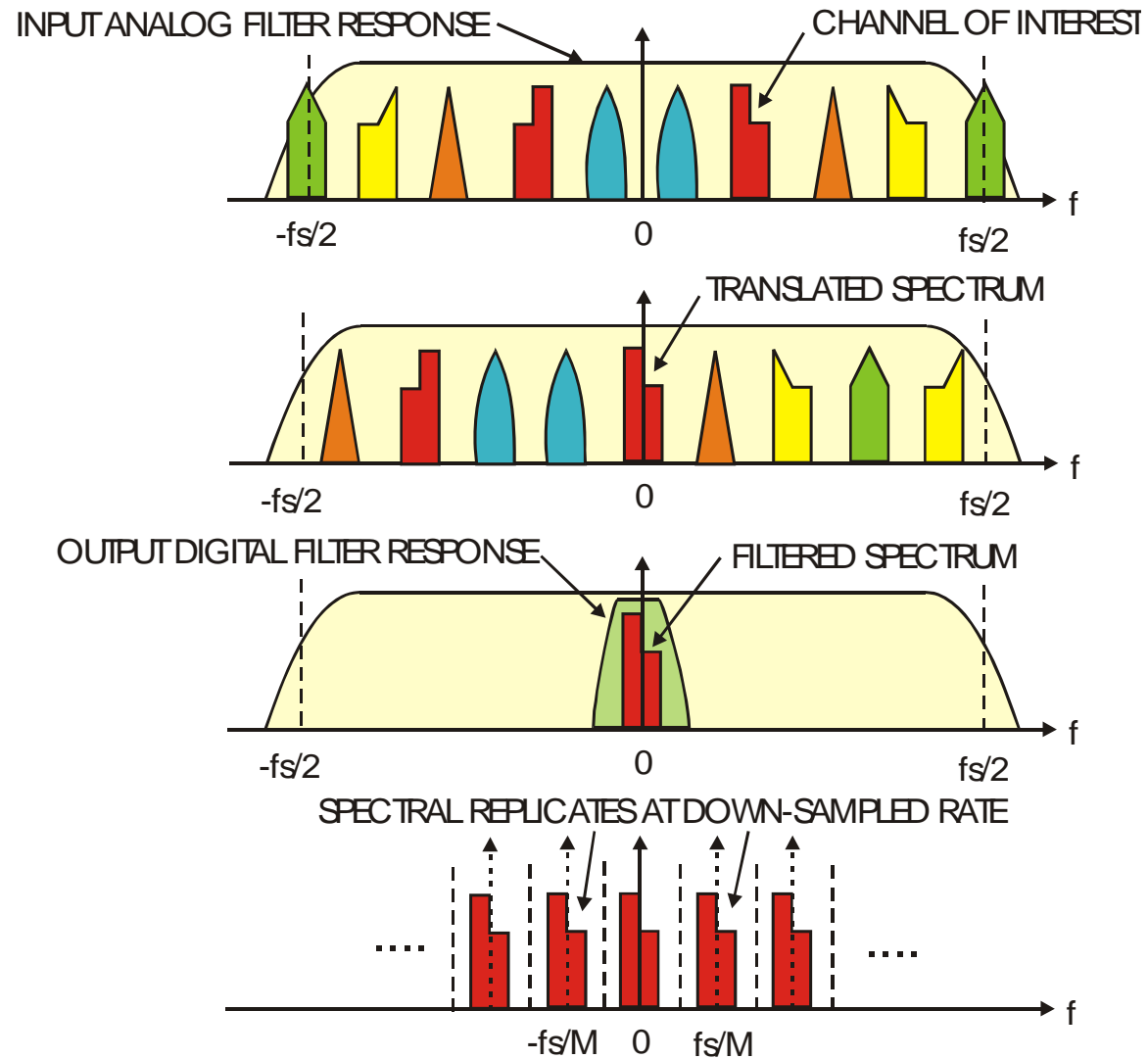
•Fundamental Operations

- Select Frequency
- Limit Bandwidth
- Select Sample Rate

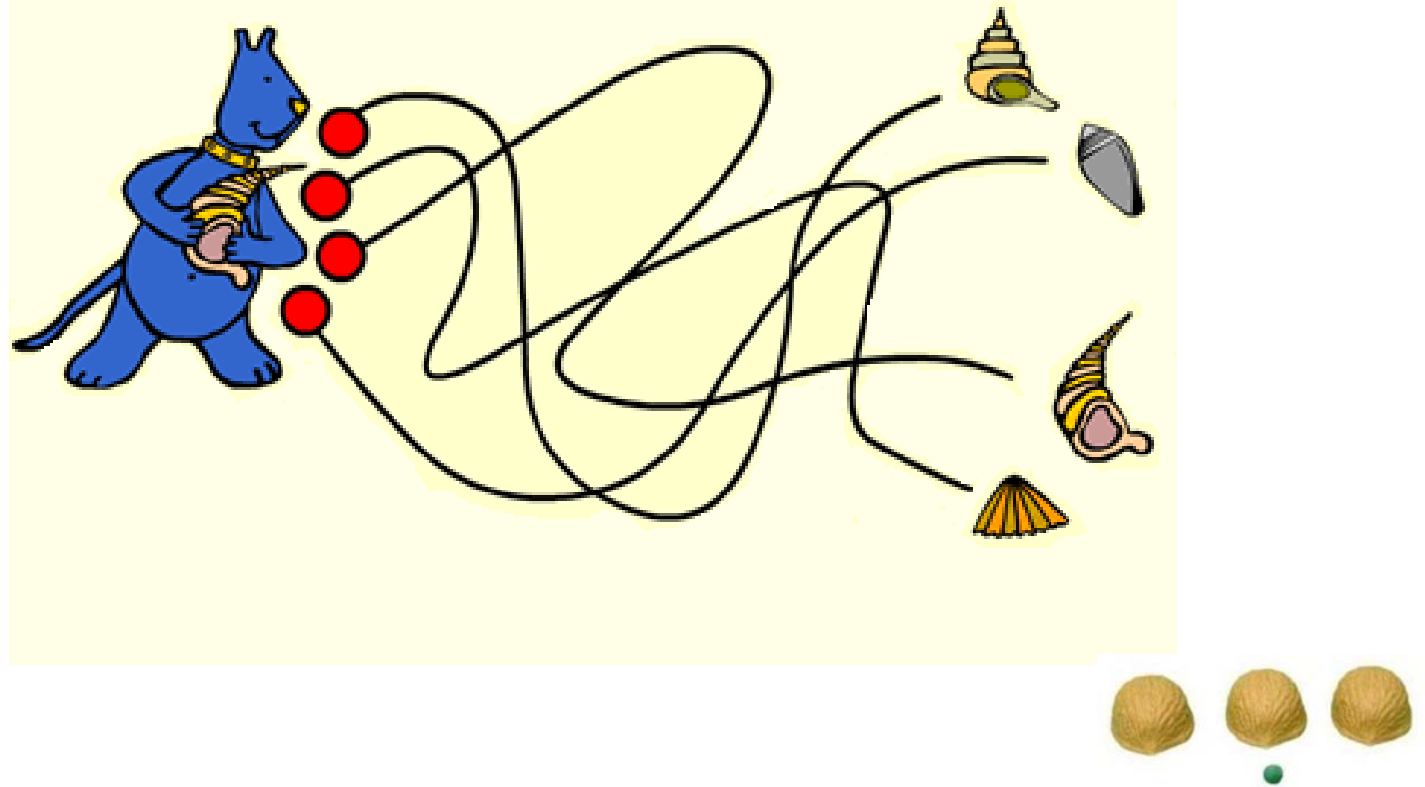


Digital Down Converter (DDC)

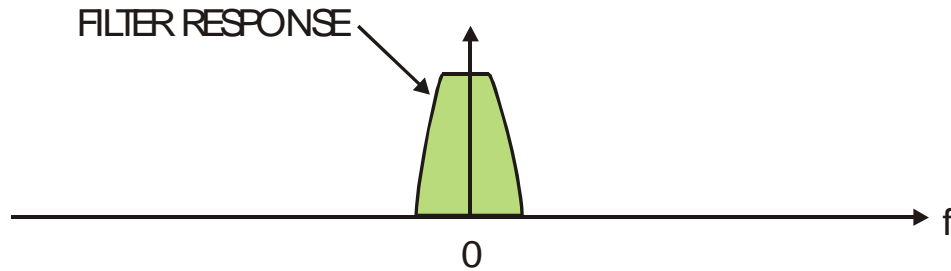
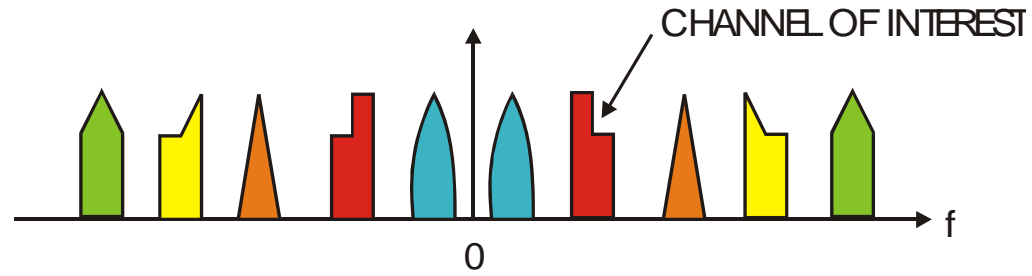
Spectral Description of Fundamental Operations



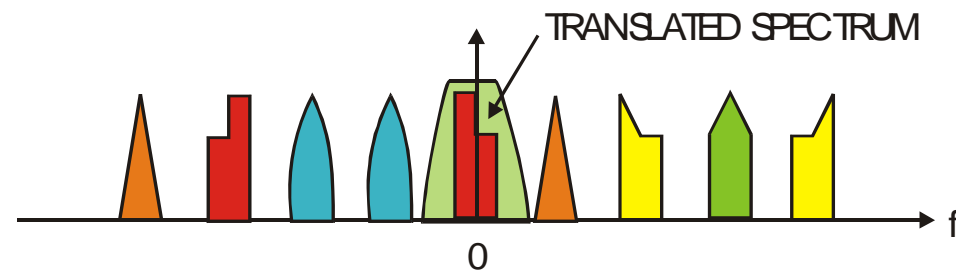
A Shell Game: Rearrange the Players! Keep Your Eye on the Pea!



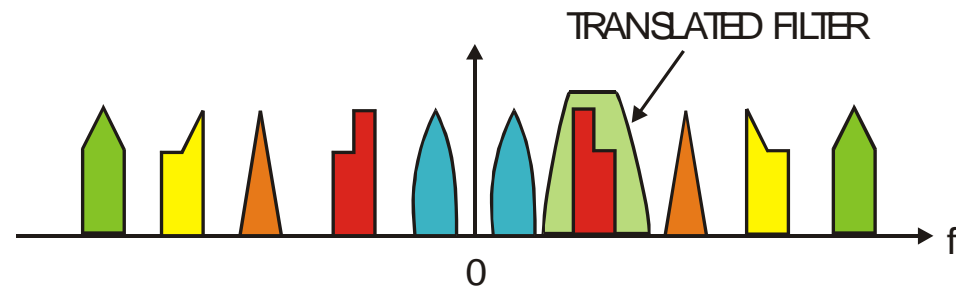
Signal and Filter are at Different Frequencies Which One to Move?



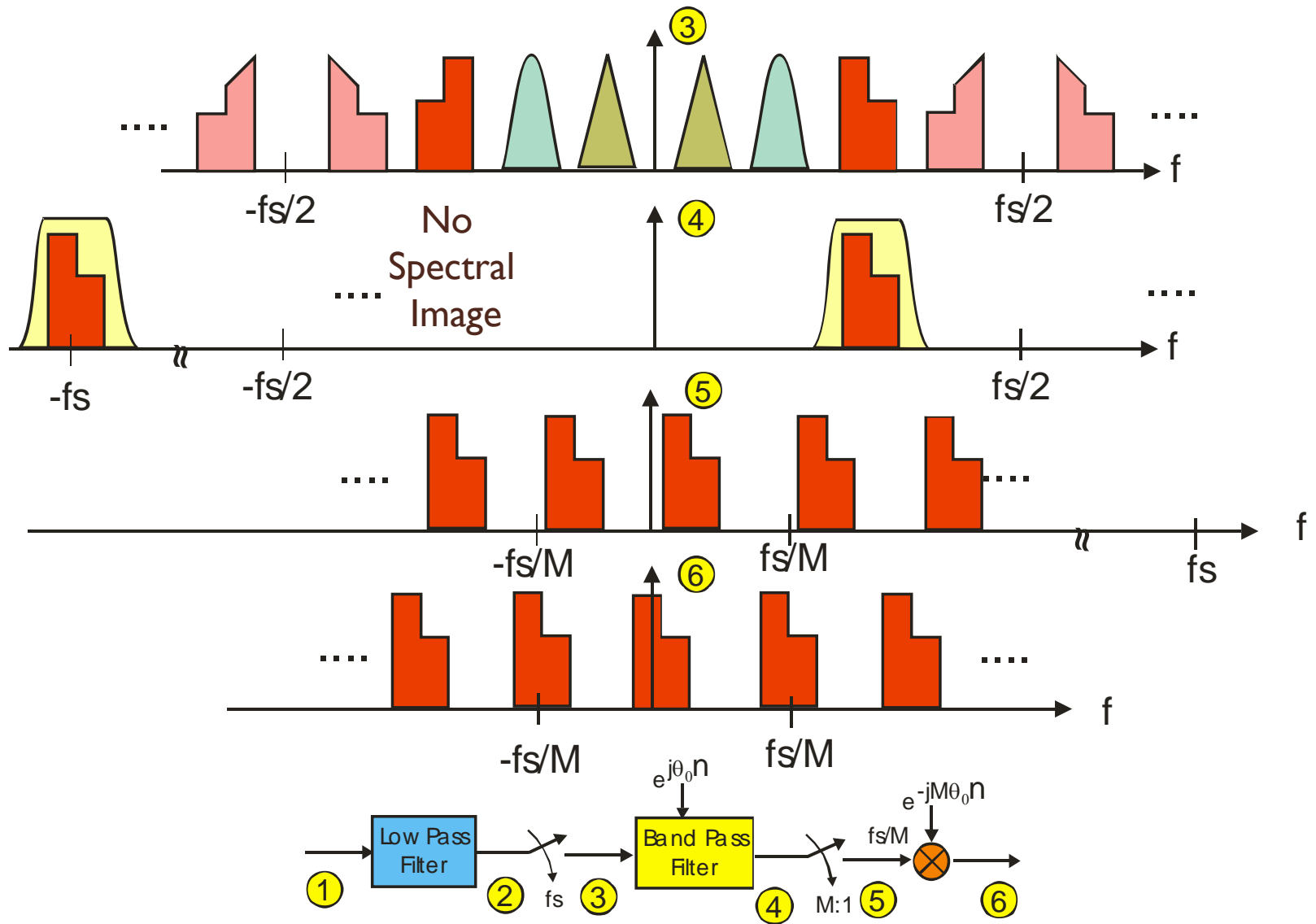
Second
Option



First
Option

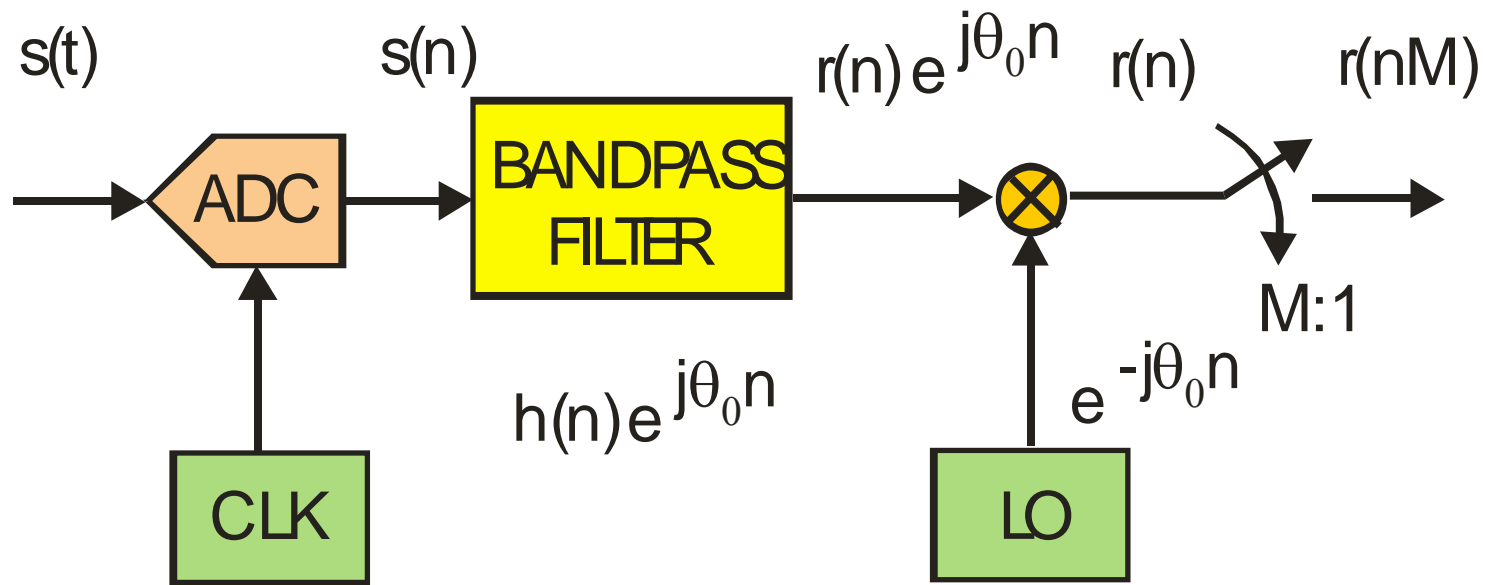


Down Sample Complex Digital IF



Fundamental Operation with Rearrange Operators

Up-Convert Filter, Filter Signal at IF,
Down Convert Output of Filter



Equivalency Theorem

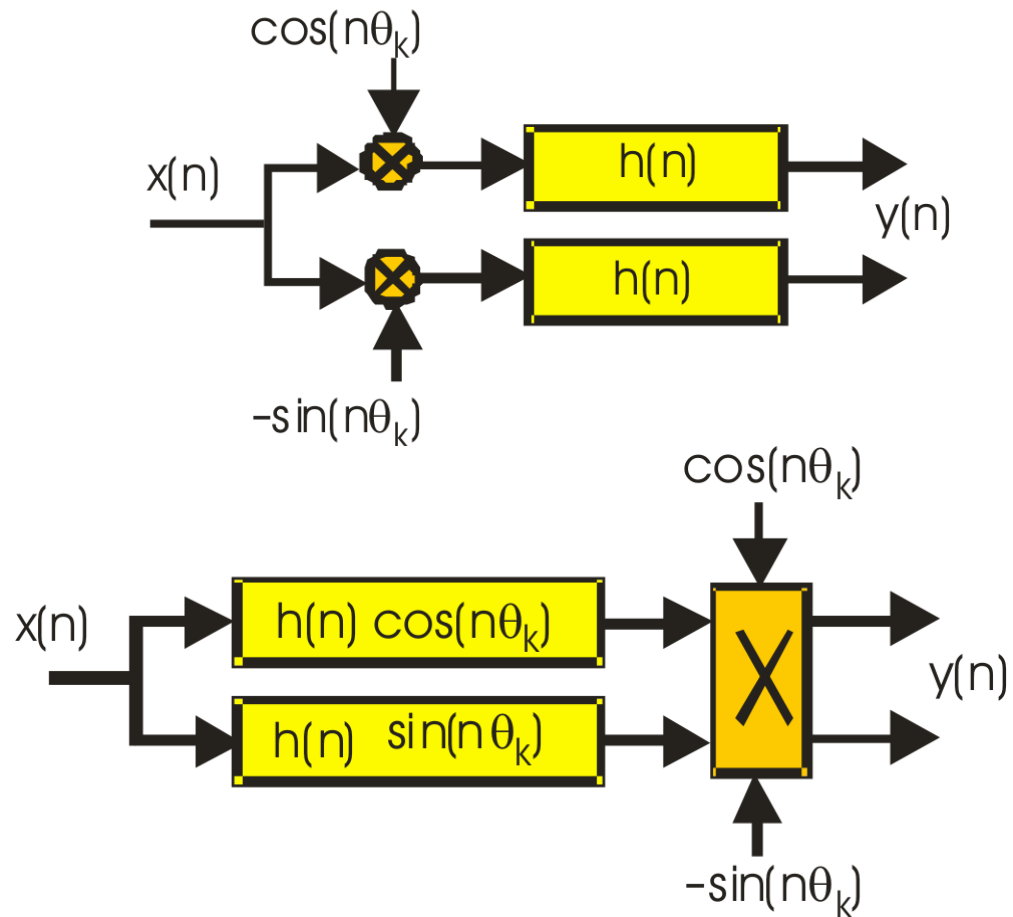
Down-Convert Signal at
Input to Low-Pass Filter

$$\begin{aligned}r(n) &= s(n)e^{-j\theta_0 n} * h(k) \\ &= \sum_k s(n-k)e^{-j\theta_0(n-k)} h(k) \\ &= e^{-j\theta_0 n} \sum_k s(n-k)h(k)e^{j\theta_0 k} \\ &= e^{-j\theta_0 n} \{s(n) * h(n)e^{j\theta_0 n}\}\end{aligned}$$

Down-Convert Signal at
Output of Band-Pass Filter

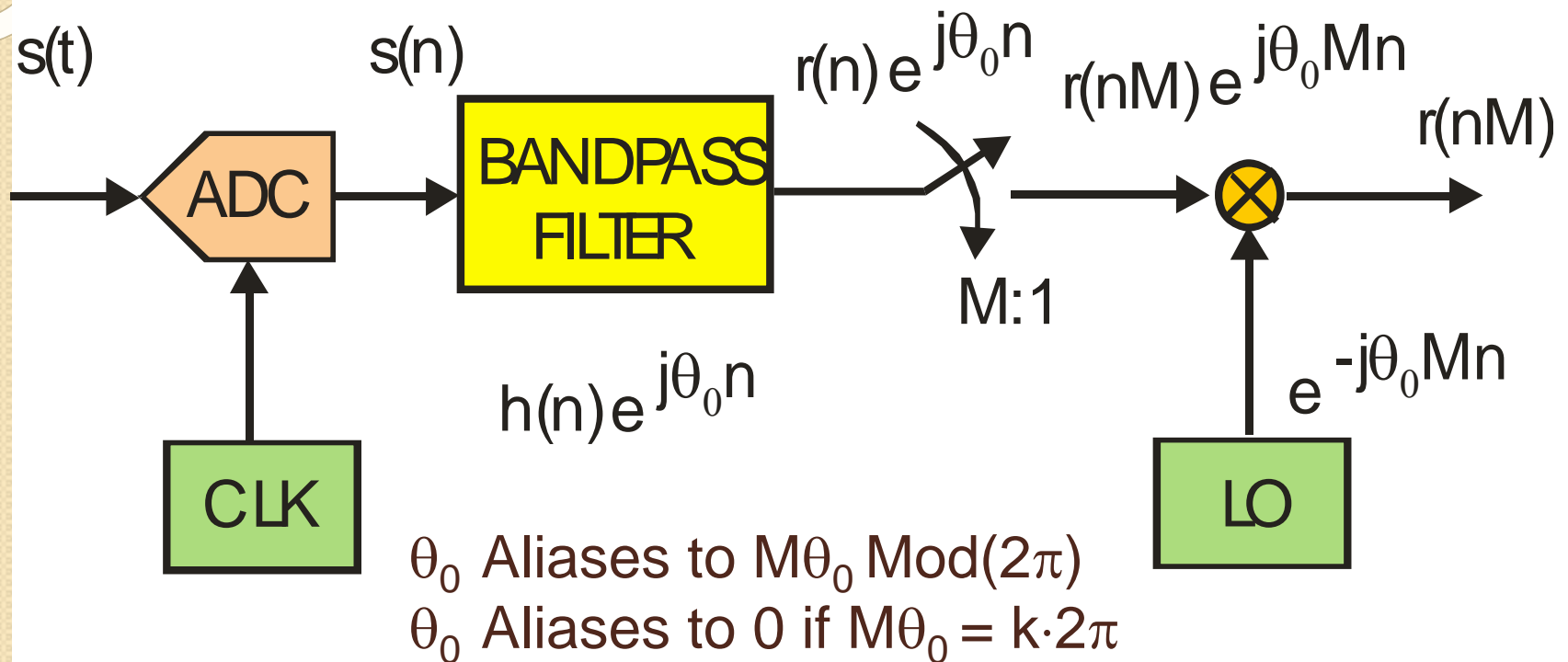
Up-Convert Low Pass Filter
To Become Complex
Band-Pass Filter

Signal Flow Description of Equivalency Theorem



Not Finished: Moving Down Converter from Input to Output
 Replaces 2-Multipliers (Complex Scalar) with 4-Multipliers (Complex Product)

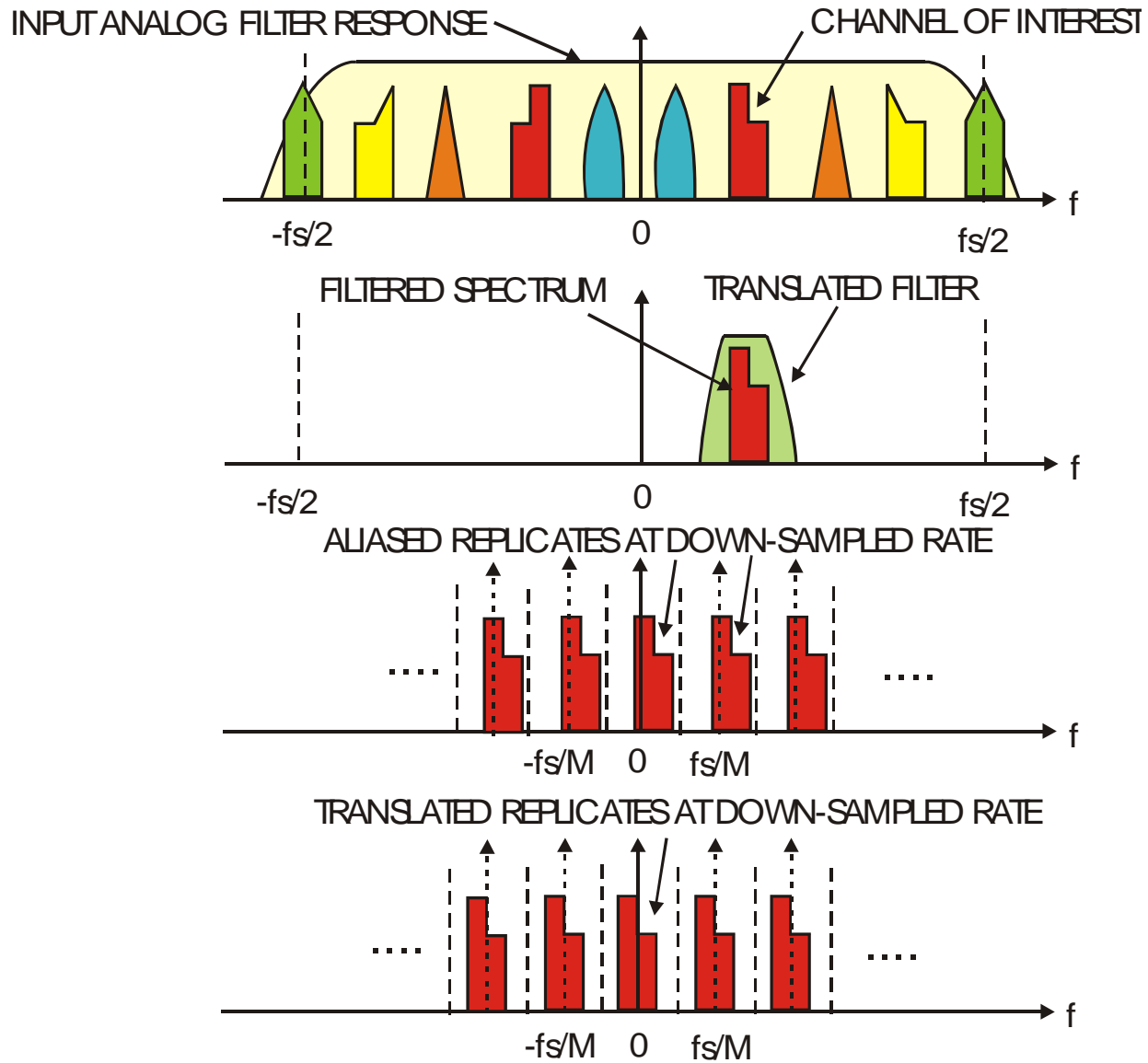
Interchange Down Converter and Resampler



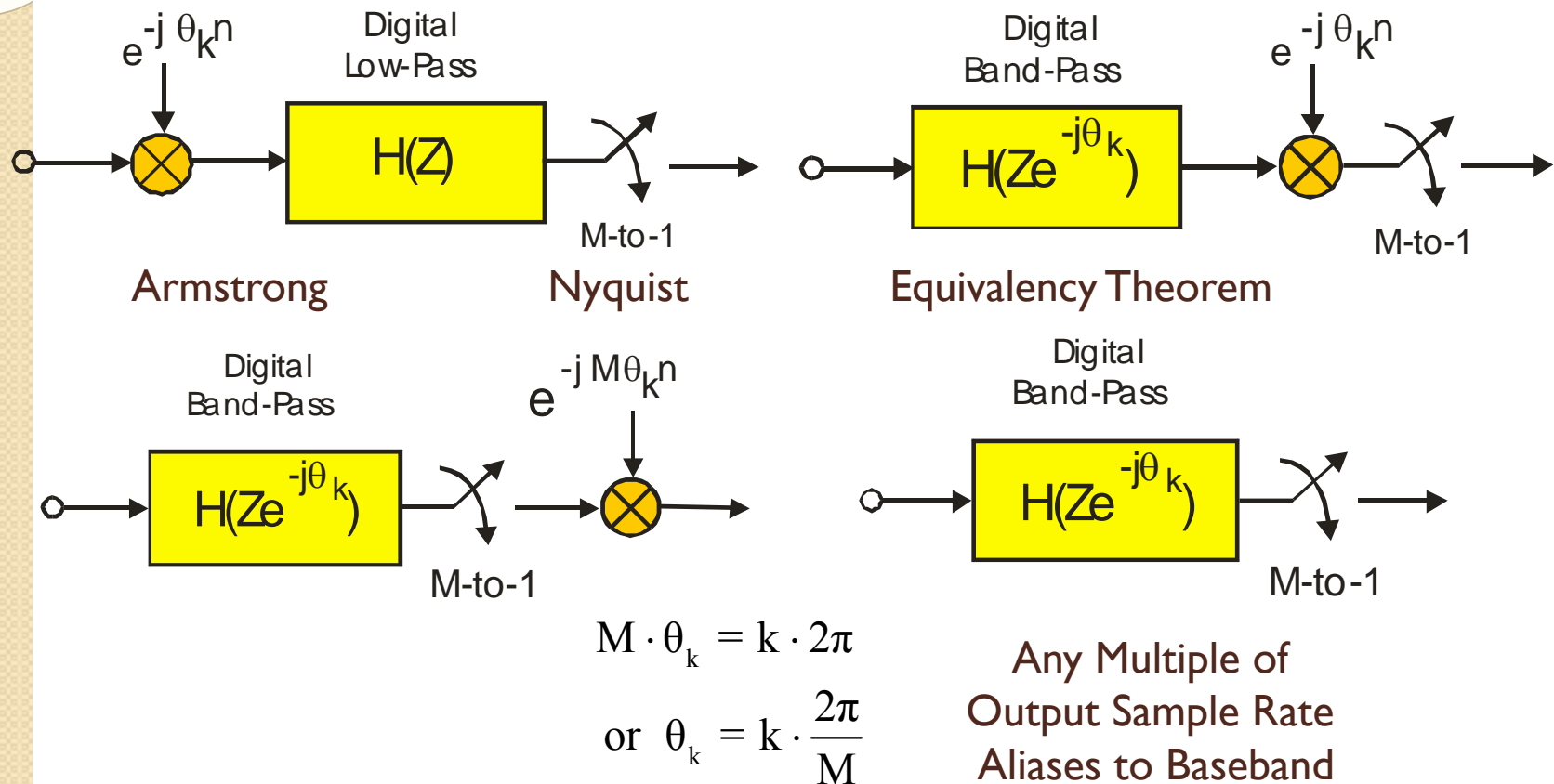
Only Down Convert the Samples we Intend to Keep!
 Let the Resampler Alias the Center Frequency to Baseband

SPECTRAL DESCRIPTION

REORDERED FUNDAMENTAL OPERATION



Successive Transformations Turn Sampled Data Version of Edwin Armstrong's Heterodyne Receiver to Tuned Radio Frequency (TRF) Receiver and then to Aliased TRF Receiver.

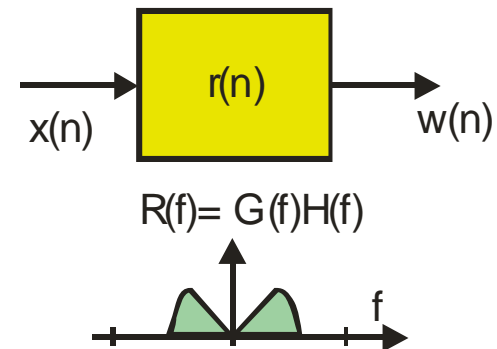
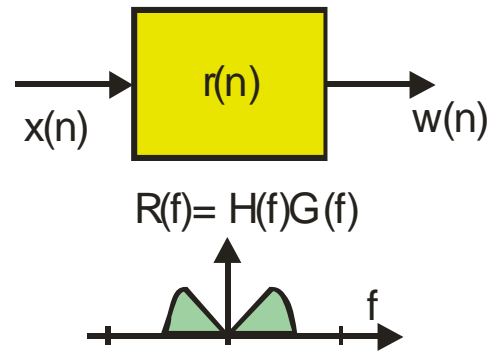
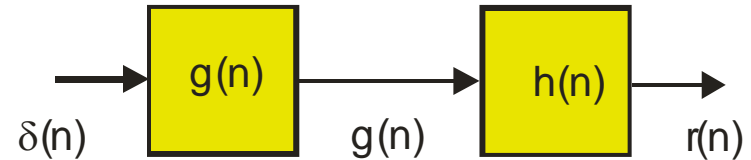
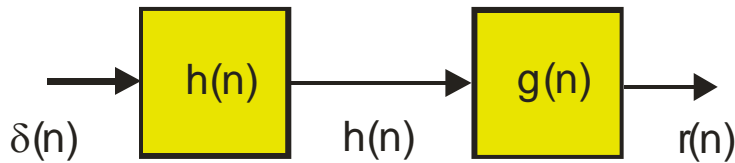
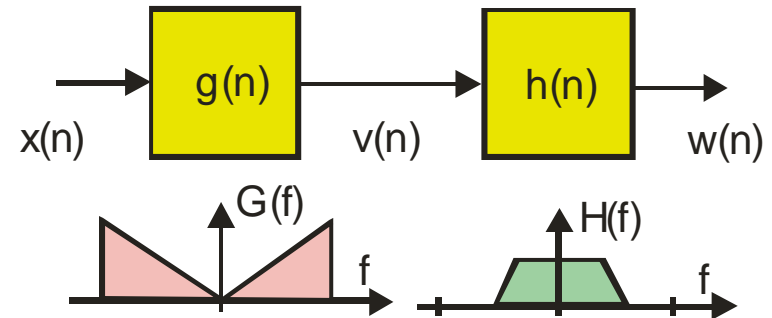
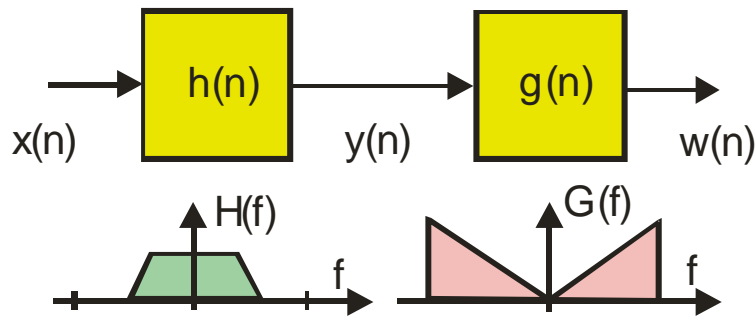


Let's Keep Rearranging the Players!

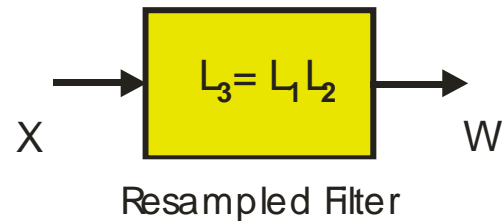
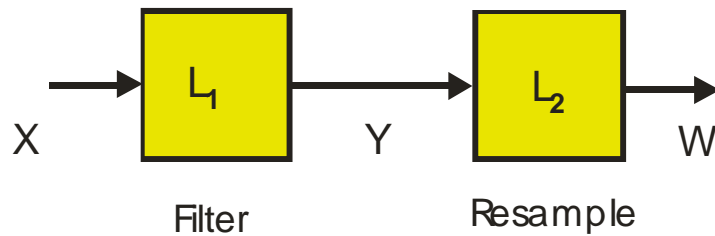
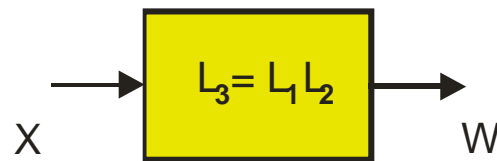


Linear Systems

Commute and are Associative



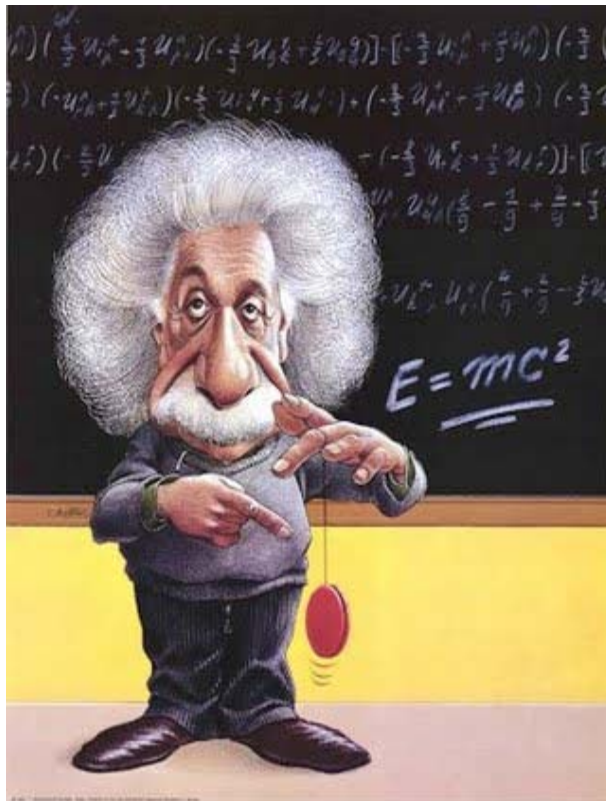
Linear systems Are Associative



In Case you Couldn't Wait to See the Proof

$$y(n) = \sum_{k_1} x(n - k_1)h(k_1)$$

$$dy(n) = \sum_{k_2} y(n - k_2)g(k_2)$$



$$= \sum_{k_2} \sum_{k_1} x(n - k_1 - k_2)h(k_1)g(k_2)$$

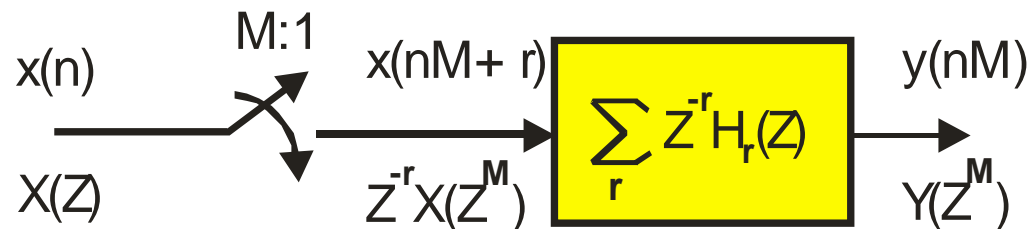
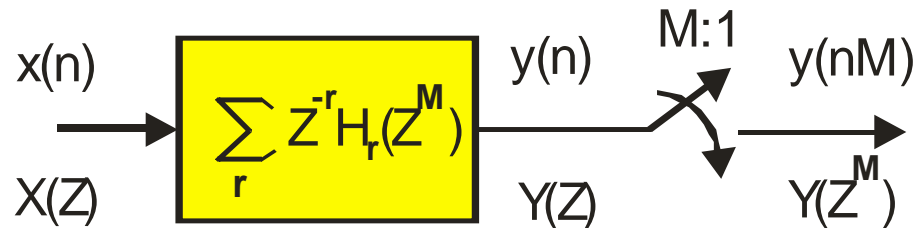
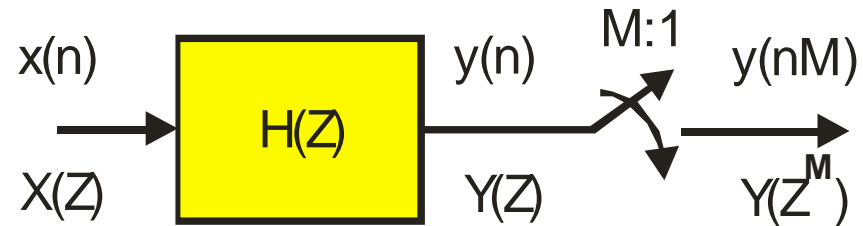
$$= \sum_{k_2} \sum_{k_3} x(n - k_3)h(k_3 - k_2)g(k_2)$$

$$= \sum_{k_3} x(n - k_3) \sum_{k_2} h(k_3 - k_2)g(k_2)$$

$$= \sum_{k_3} x(n - k_3) f(k_3)$$

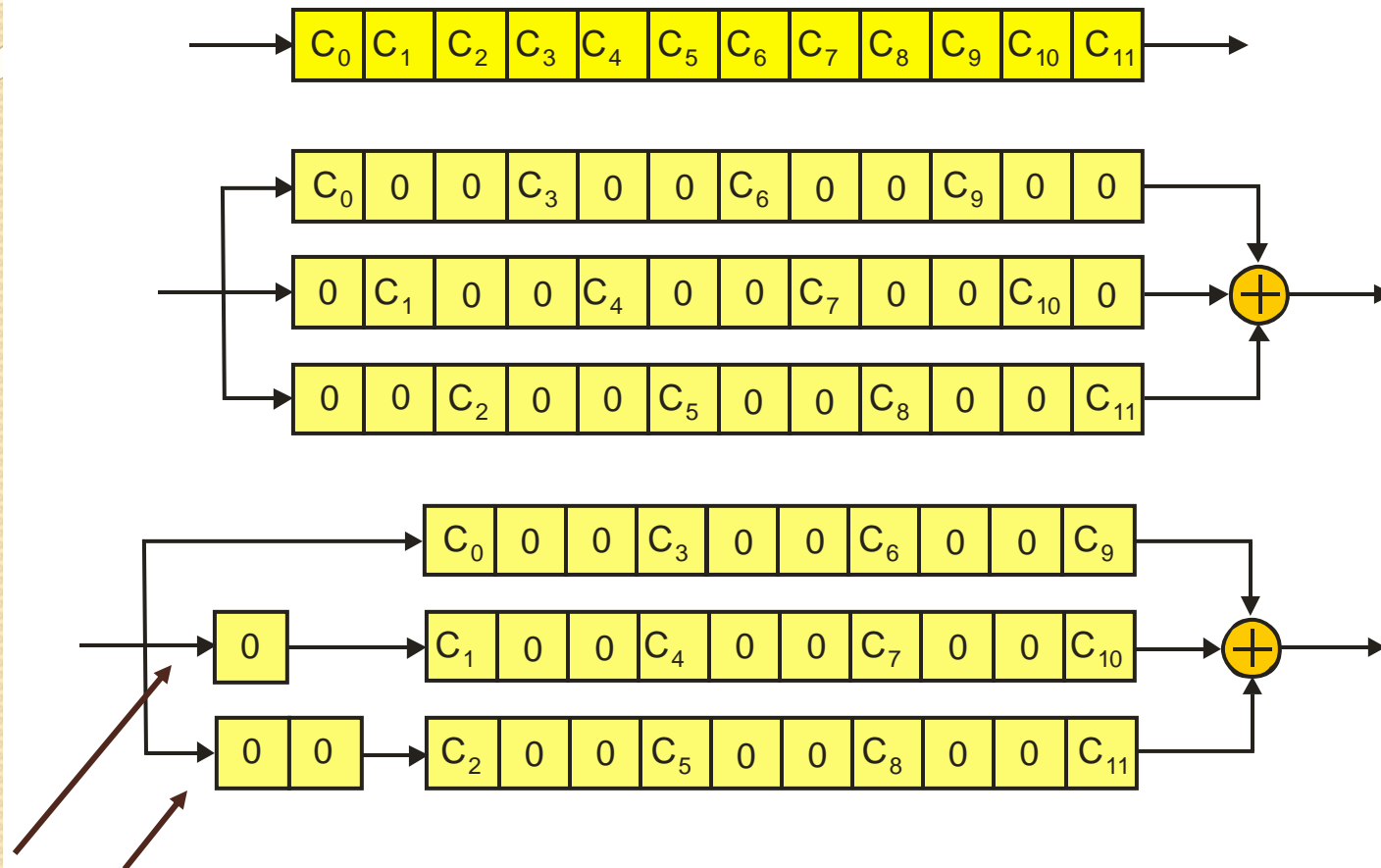
$$\text{where } f(n) = \sum_{k_2} h(n - k_2)g(k_2)$$

Filter and Output Resampler can Commute to Input Resampler and Resampled Filter



Coefficient Assignment of Low-Pass Polyphase Partition

For M-to-1 resample start at Index r and Increment by M
 For 3-to-1 resample start at index r and increment by 3



Extract Delays To First Non-Zero Coefficient

This mapping from 1-D to 2-D is used by Cooley-Tukey FFT. Polyphase Filters and CT-FFT are kissing cousins!

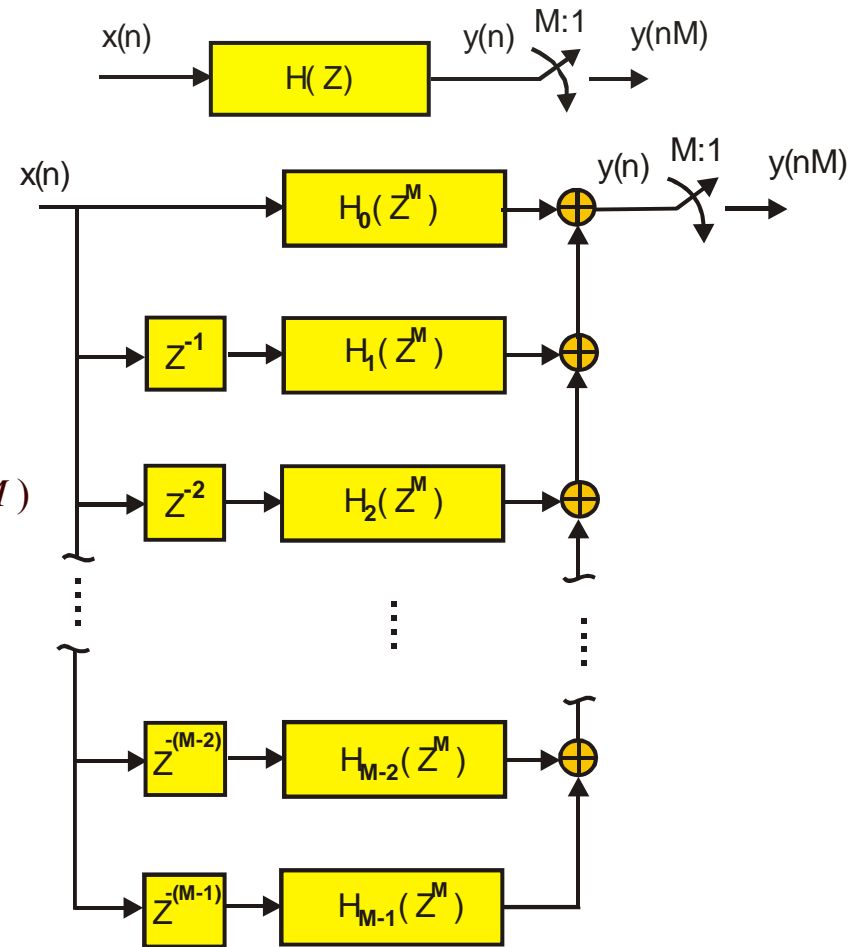
Polyphase Partition of Low Pass Filter

I-Path to M-Path Transformation

$$H(Z) = \sum_{n=0}^{N-1} h(n)Z^{-n}$$

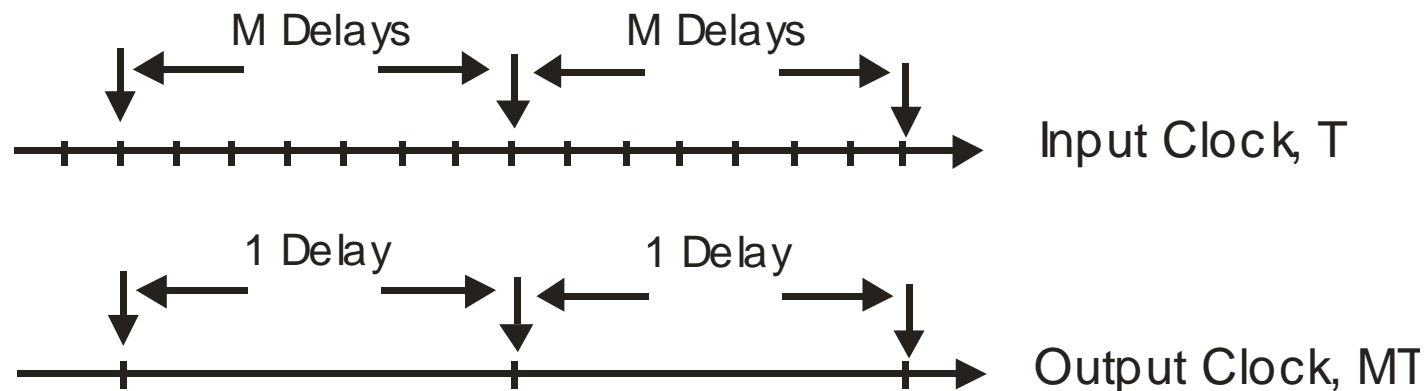
$$H(Z) = \sum_{r=0}^{M-1} \sum_{n=0}^{N-1} h(r + nM)Z^{-(r+nM)}$$

$$H(Z) = \sum_{r=0}^{M-1} Z^{-r} \sum_{n=0}^{N-1} h(r + nM)Z^{-nM}$$

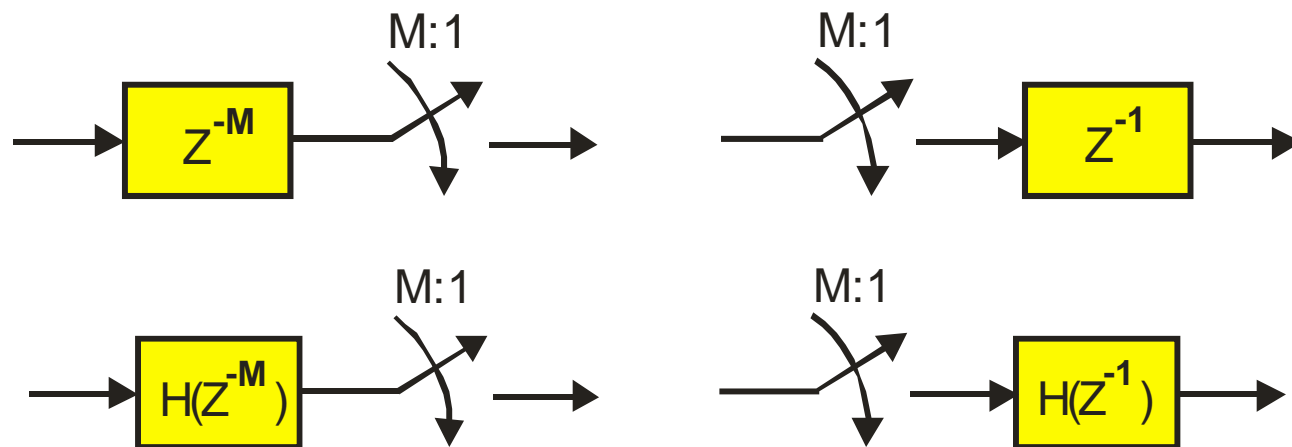


M-Path Partition Supports M-to-1 Down Sample
 Also Supports Rational Ratio M-to-Q and M-to-Q/P Down Sample!

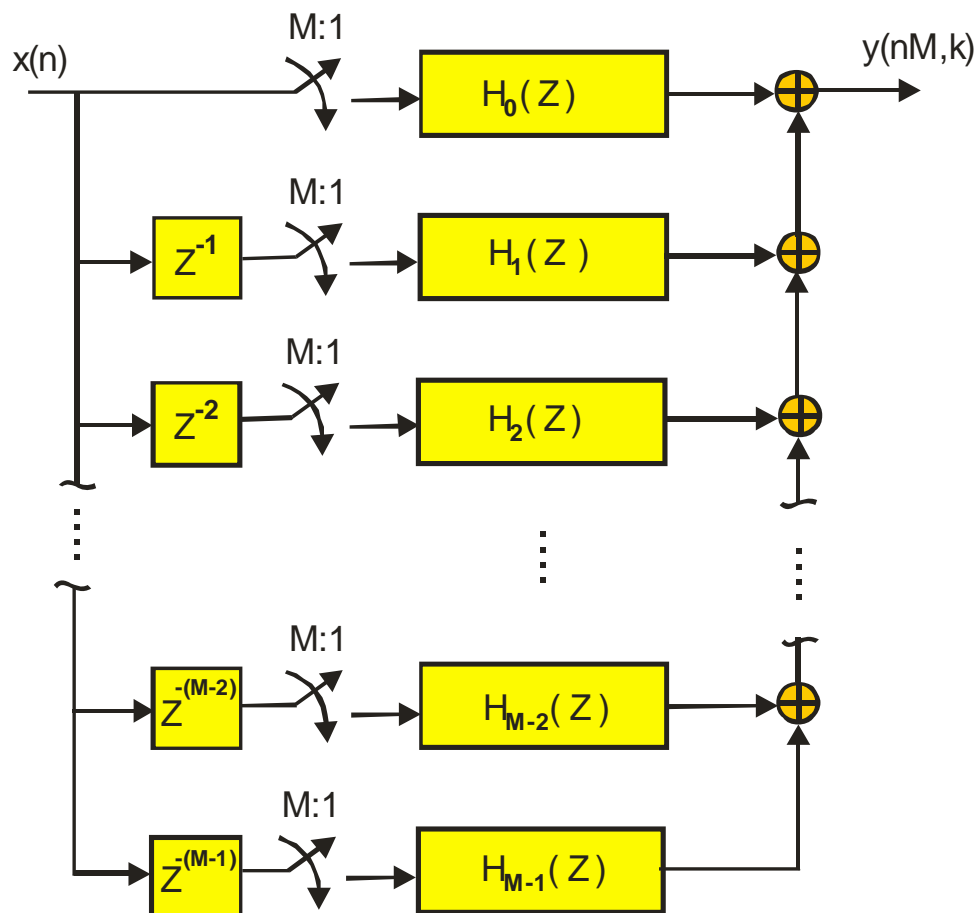
Noble Identity: Commute M-units of Delay followed by M-to-1 Down Sample



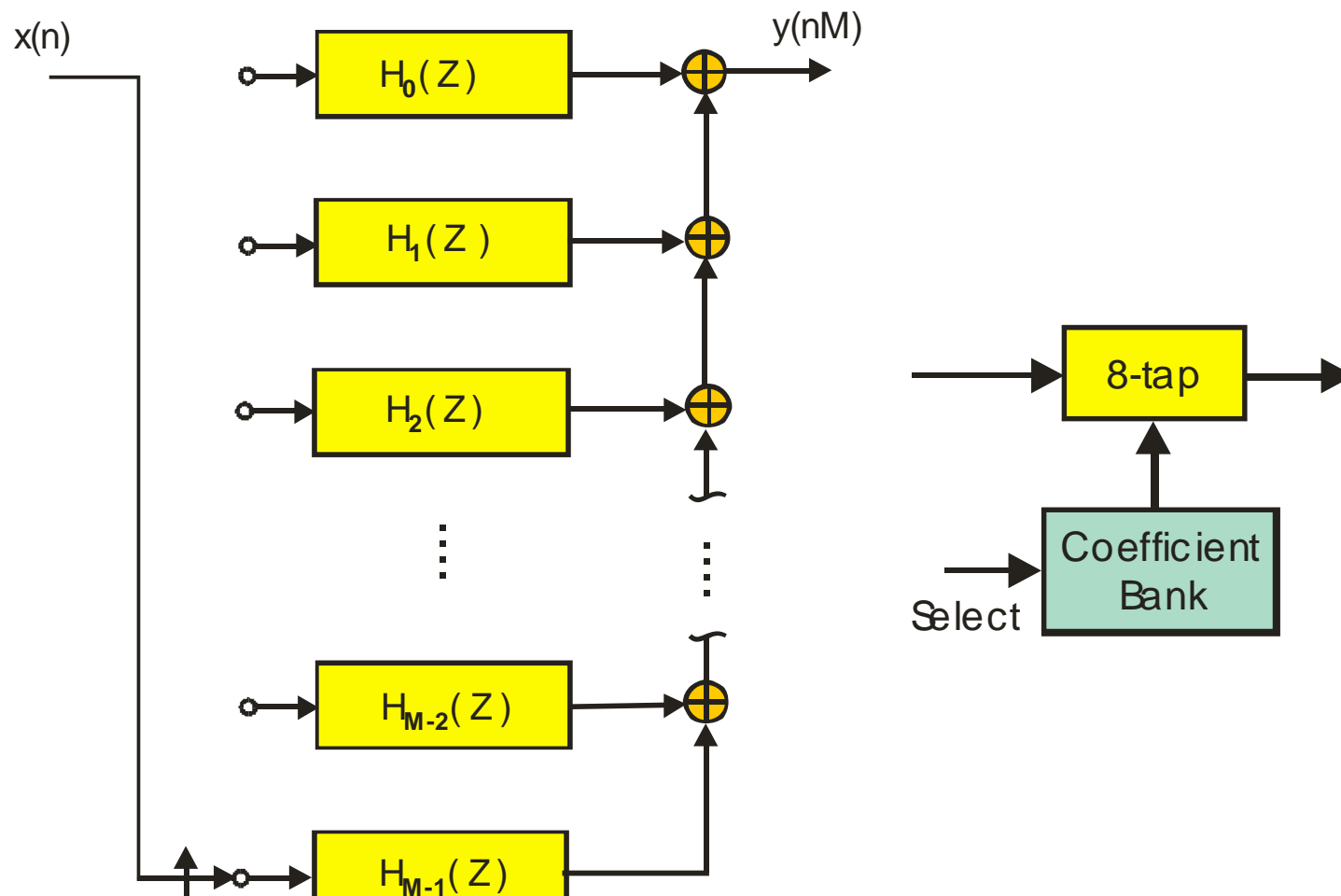
M-Units of Delay at Input Rate Same as 1-Unit of Delay at Output Rate



Interchange Filters and Resampler: Place Resampler at Input Rather Than at Output of Filter

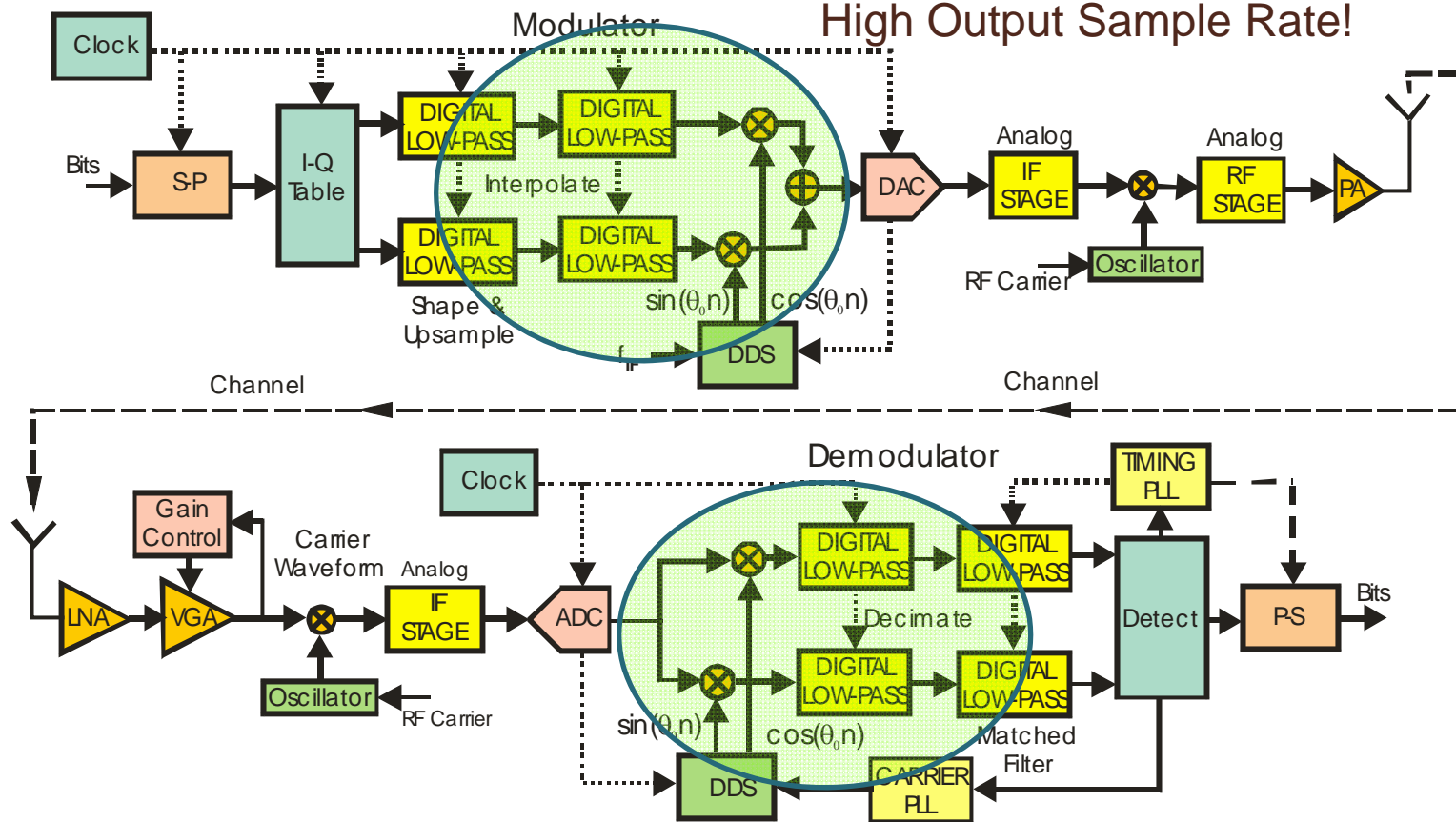


Replace Delays with Commutator Perform Path Operations Sequentially



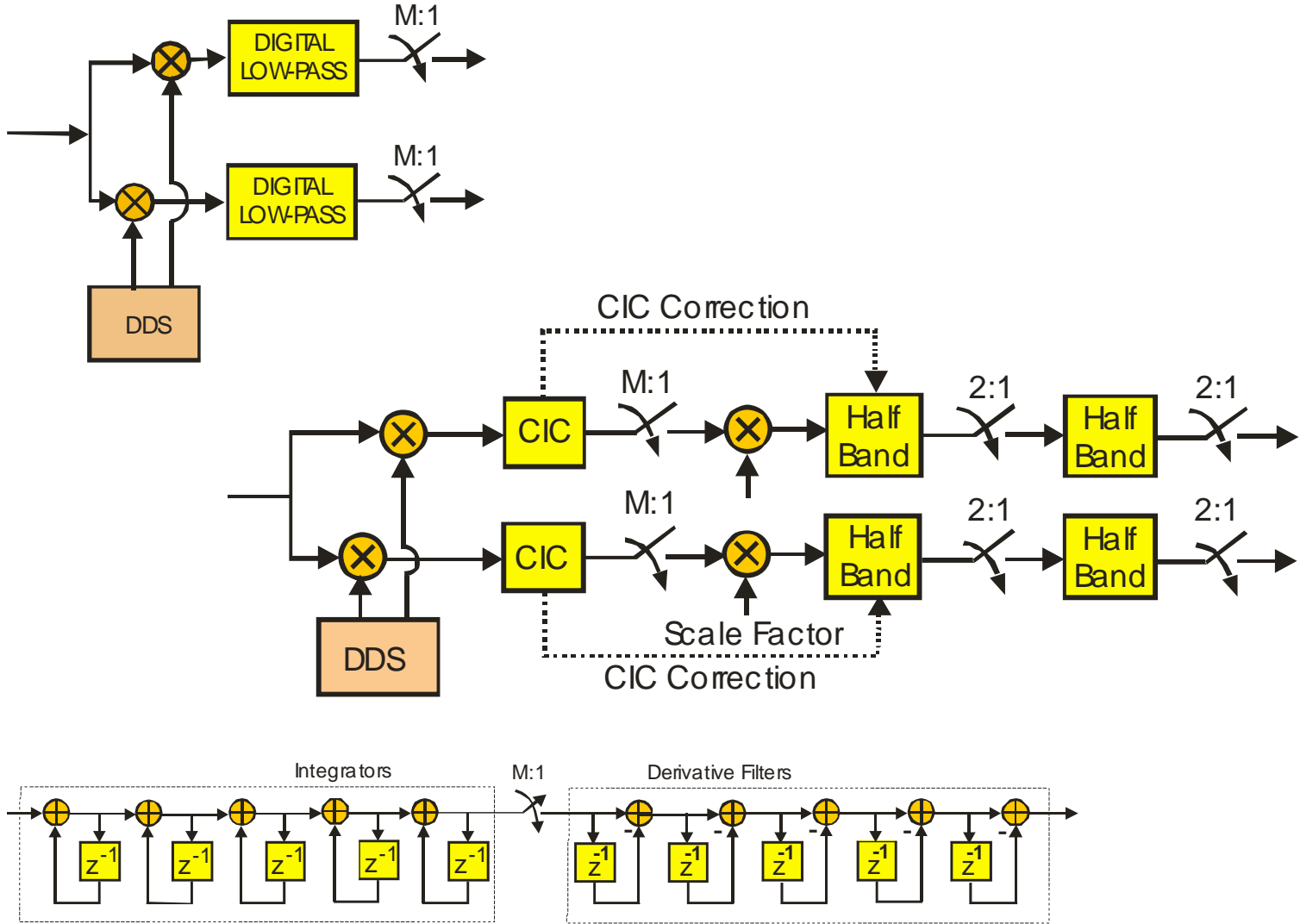
Transmitter Process, Up-Sample and Up-Convert Receiver Process, Down Convert and Down-Sample

Modulator Raises Sample Rate & Applies Heterodyne at High Output Sample Rate!

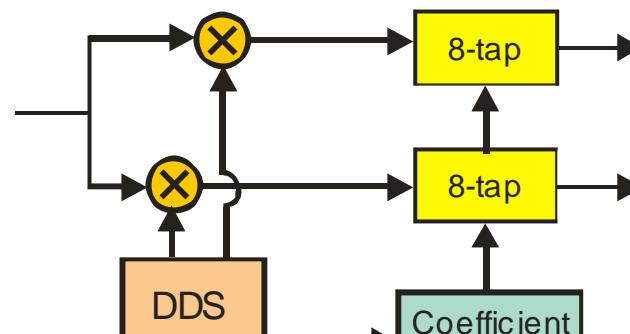
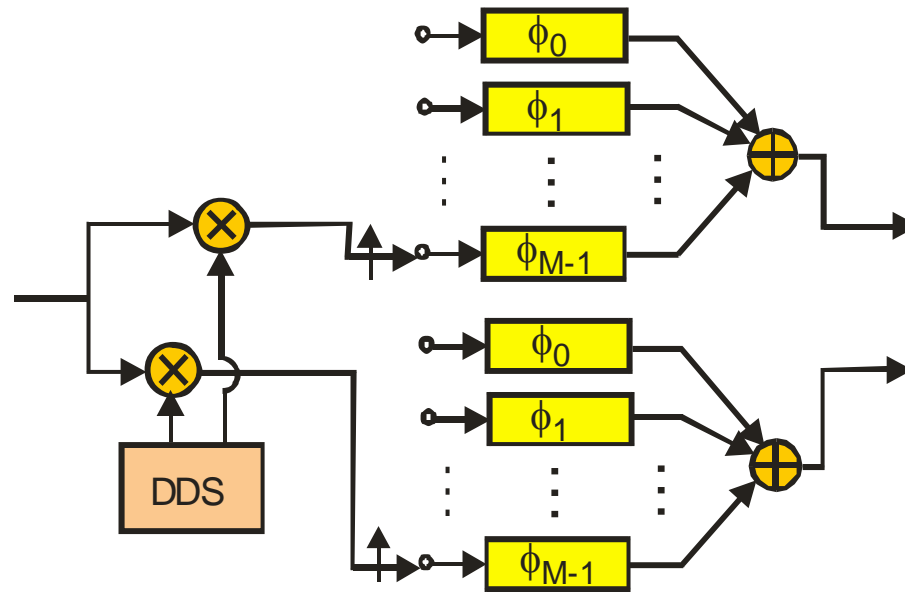
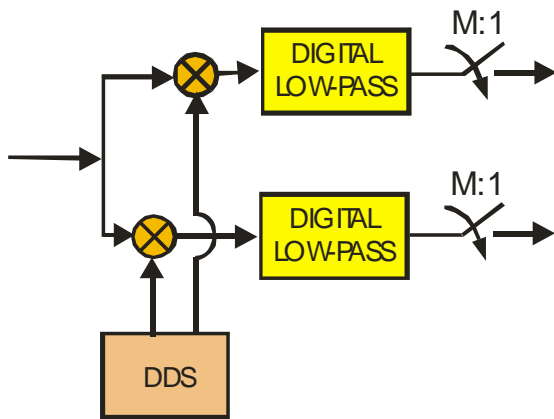


De-Modulator Applies Heterodynes at High Input Rate & then Reduces

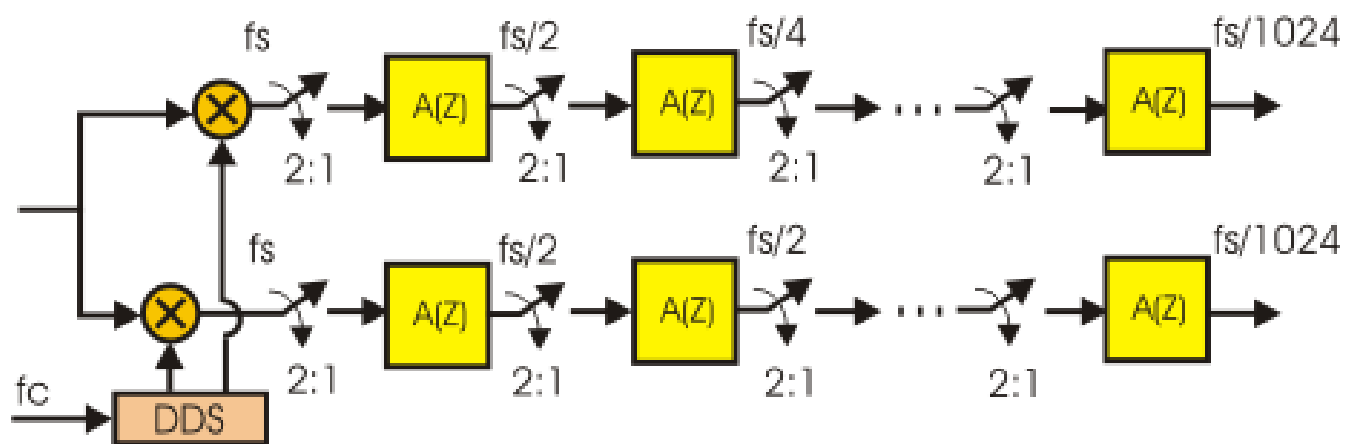
Conventional and Ubiquitous DDC



Convert Two Parallel Paths into M Sequential Paths for each Path

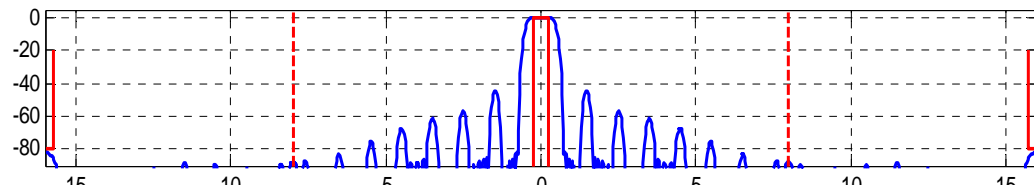
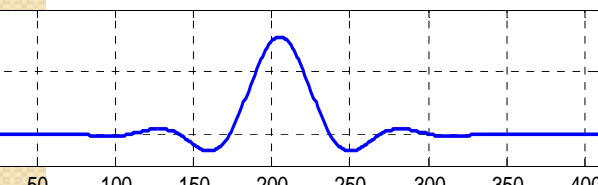
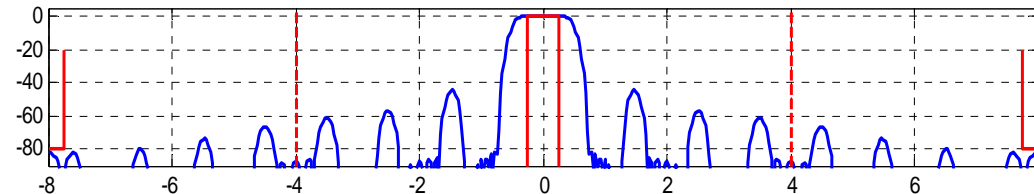
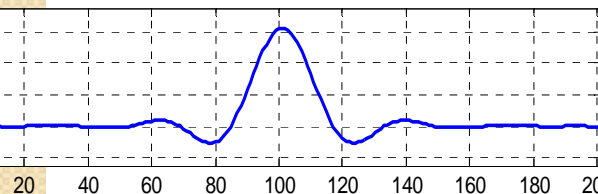
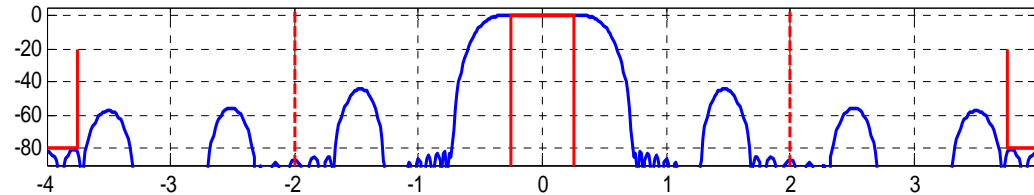
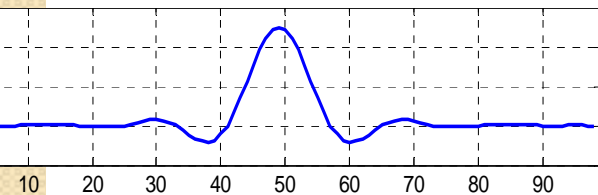
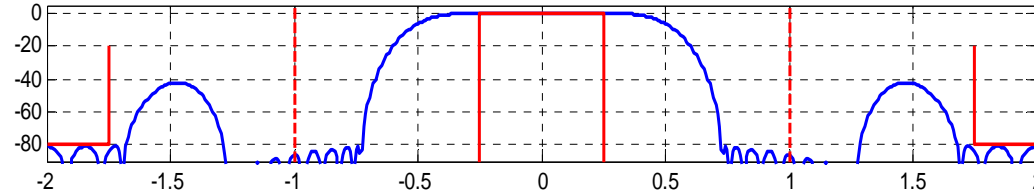
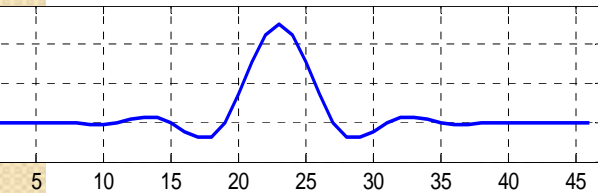
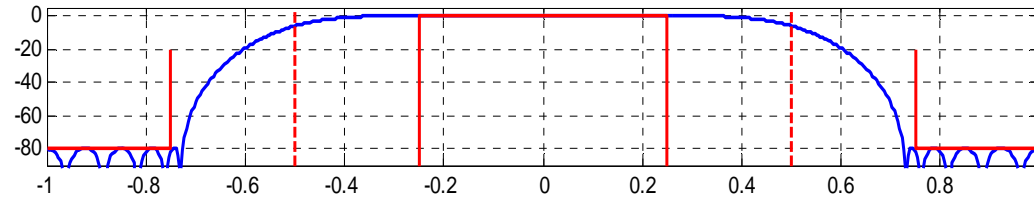
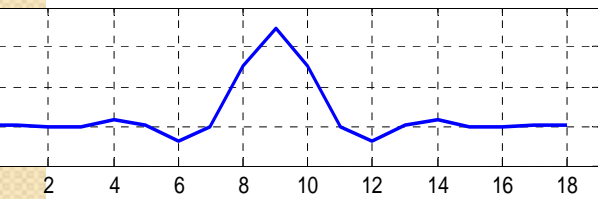


Replace CIC with Cascade 2-to-1 Half Band FIR Filters

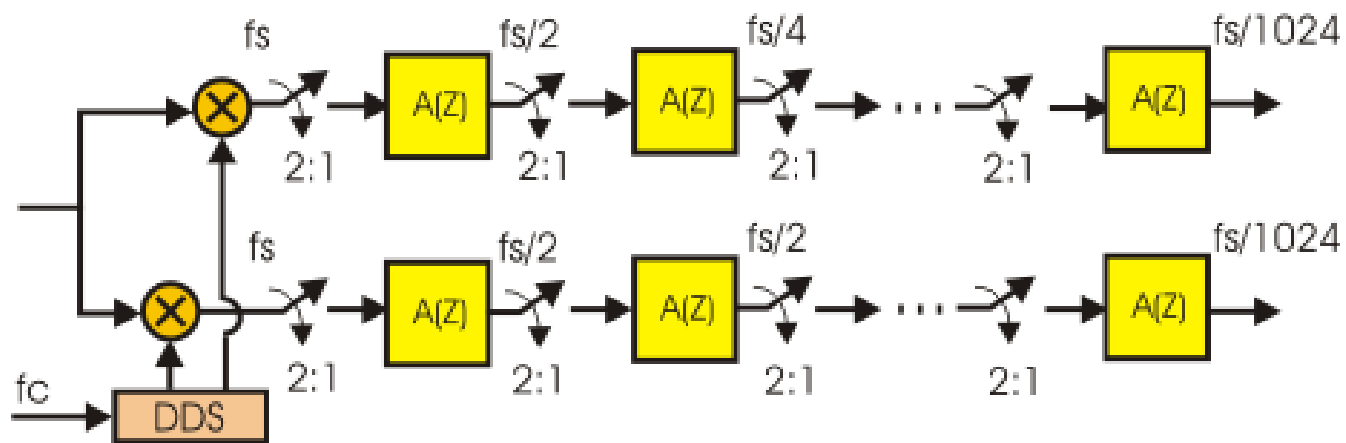


Filter Number	1	2	3	4	5	6	7	8	9	10	Total
Number Taps	3	3	3	3	7	7	7	7	11	19	70
Operations Per Filter	2-A 2-Shifts	2-A 2-Shifts	2-A 2-Shifts	2-A 2-Shifts	4-A 2-Mult	4-A 2-Mult	4-A 2-Mult	4-A 2-Mult	6-A 3-Mult	10-A 5-Mult	—
Adds Ref to Input	2	2/2	2/4	2/8	4/16	4/32	4/64	4/128	6/256	10/512	4.26
Mult Ref to Input	0	0	0	0	2/16	2/32	2/64	2/128	3/256	5/512	0.27

Impulse and Frequency Response of Last Stage Referred to Earlier Stages

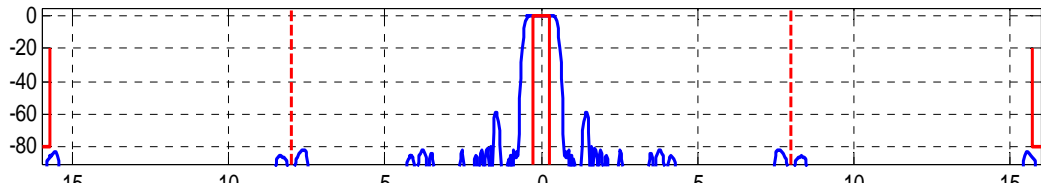
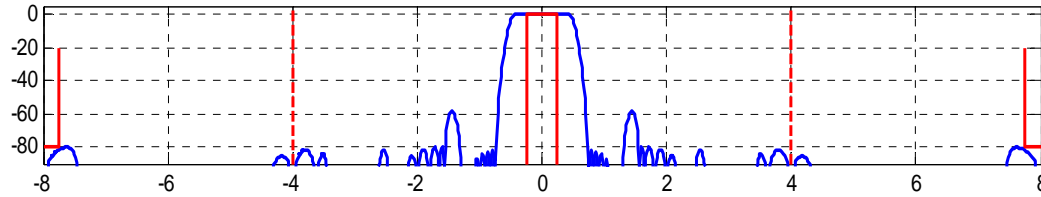
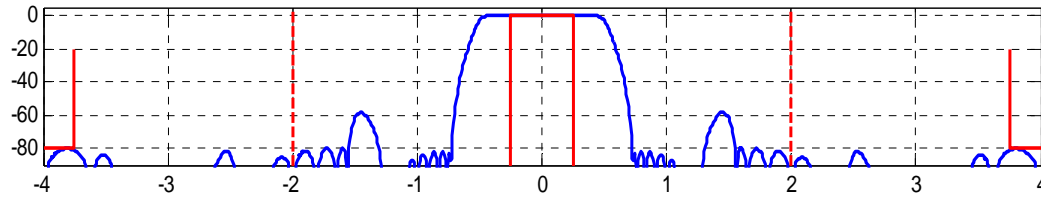
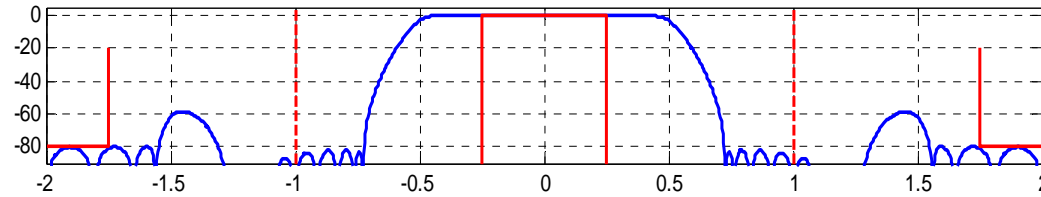
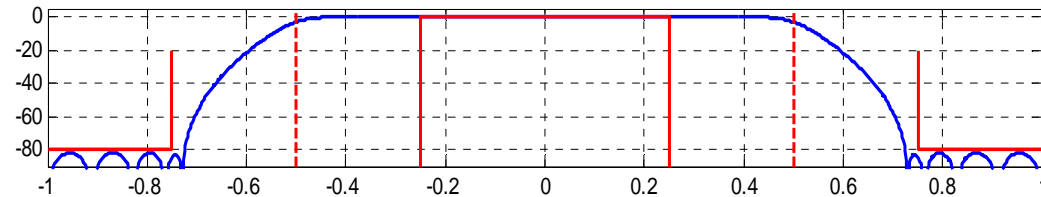
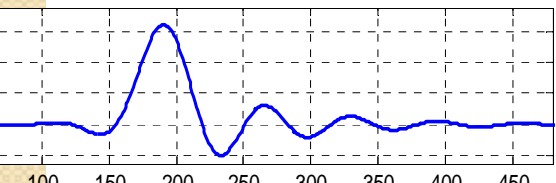
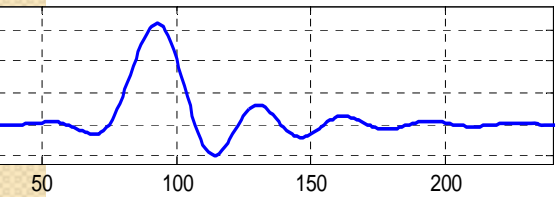
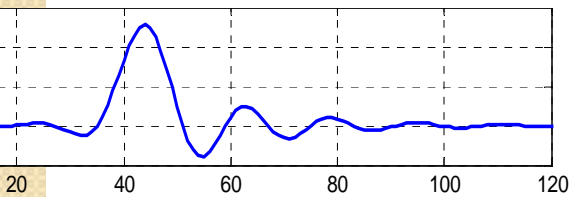
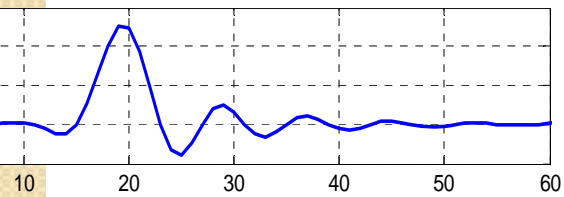
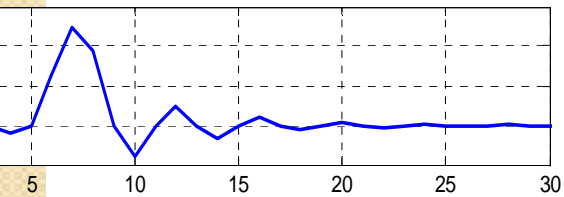


Replace CIC with Cascade 2-to-1 Half Band Linear Phase IIR Filters



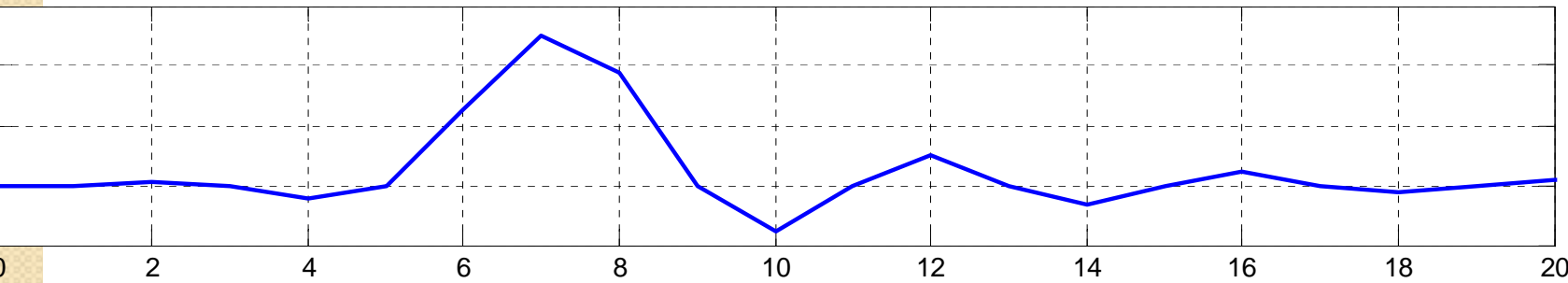
Filter Number	1	2	3	4	5	6	7	8	9	10	Total
Number Taps	1	1	1	1	3	3	3	3	3	4	23
Operations Per Filter	3-A 1-Mult	3-A 1-Mult	3-A 1-Mult	3-A 1-Mult	7-A 3-Mult	7-A 3-Mult	7-A 3-Mult	7-A 3-Mult	7-A 3-Mult	9-A 4-Mult	—
Adds Ref to Input	3/2	3/4	3/8	3/16	7/32	7/64	7/128	7/256	7/512	9/1024	3.25
Mult Ref to Input	1/2	1/4	1/8	1/16	3/32	3/64	3/128	3/256	3/512	4/1024	1.12

Impulse and Frequency Response of Last Stage Referred to Earlier Stages

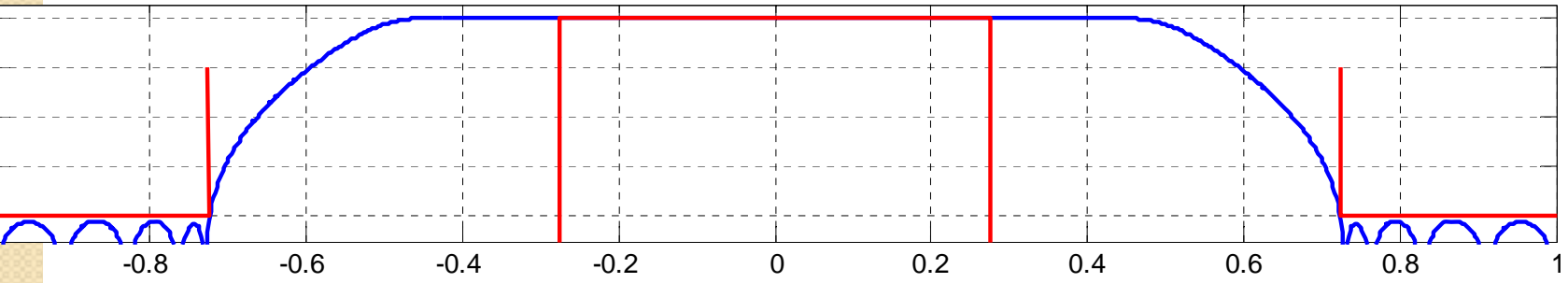


Impulse, Frequency, & Group Delay Response of 2-Path Linear Phase, Recursive Half-Band Filter

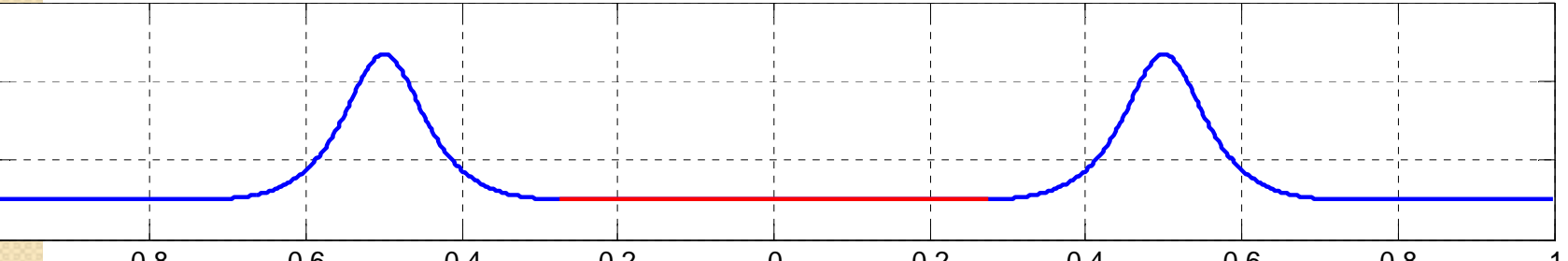
Impulse Response



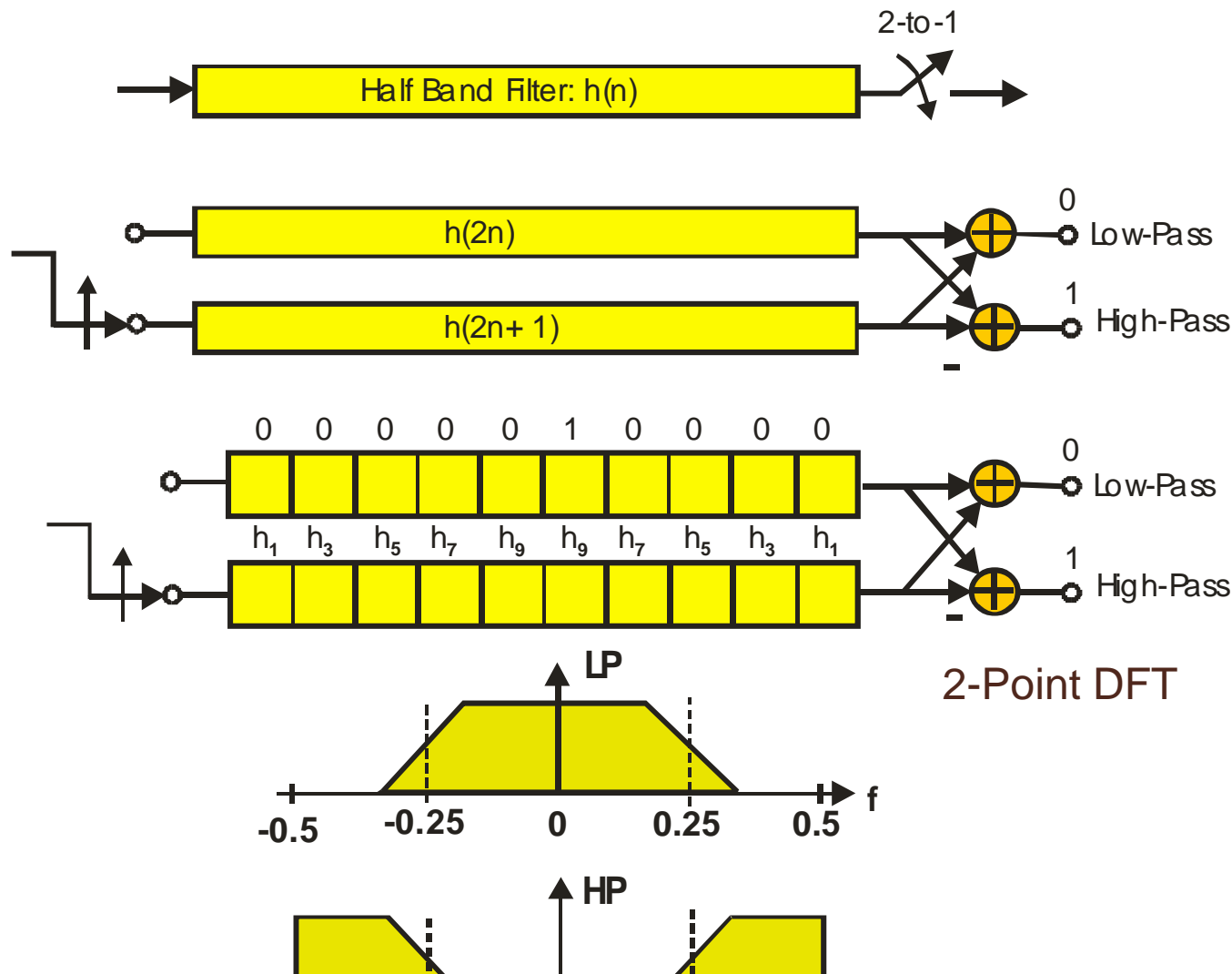
Frequency Response



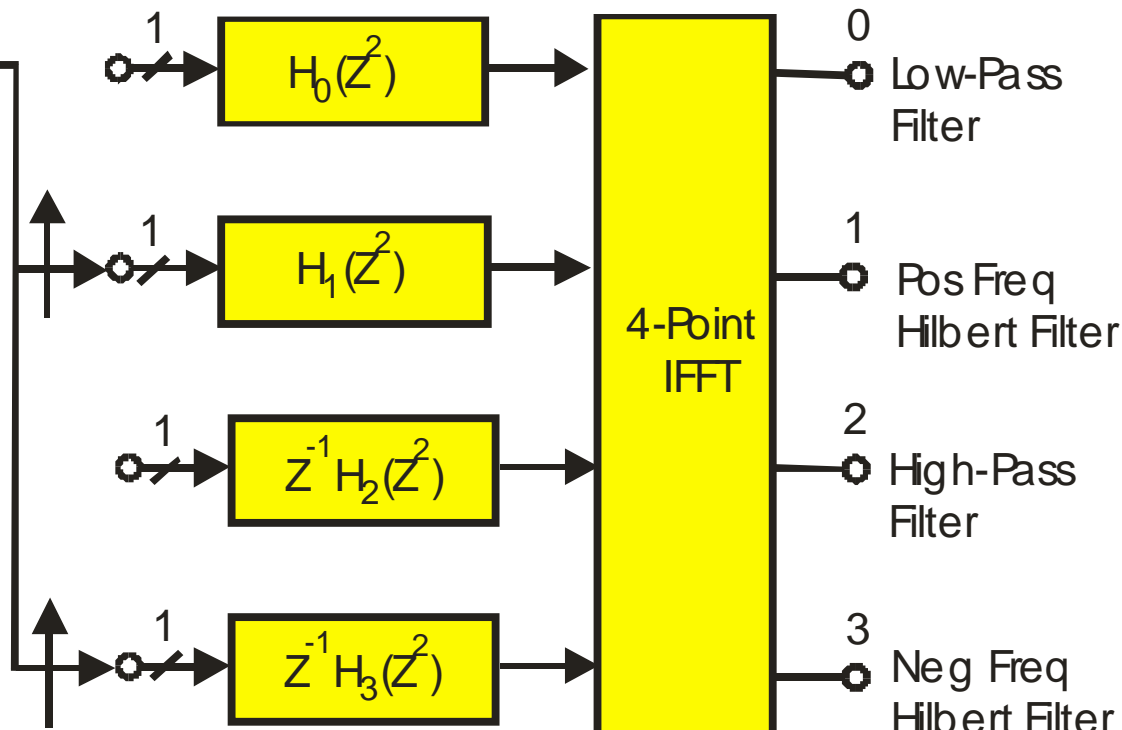
Group Delay



2-to-1 Resampling 2-Path Polyphase Filter and Digital Down-Converter

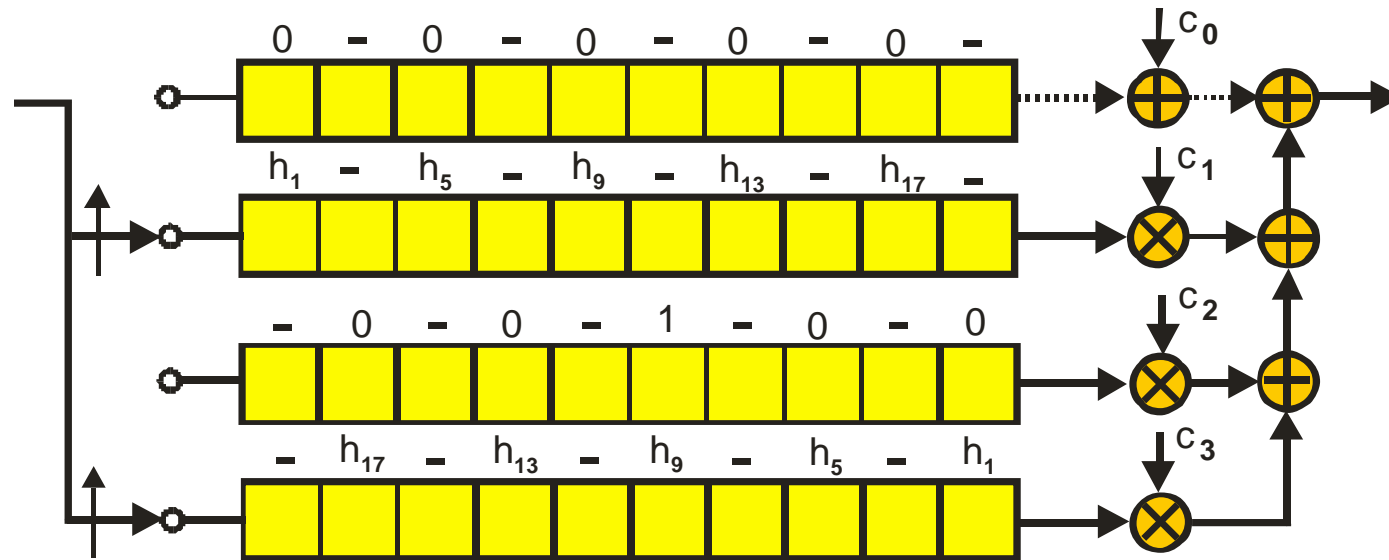


Resampling 4-Path Down-Sample Polyphase Filter and 4-Point IFFT Extracts Signal Component From One-of-Four Selected Nyquist Zones



Half Band Filters
Centered on
Cardinal Directions
Each Reduces
BW 2-to-1
and Reduces
Sample Rate 2-to-1

4-Path, 2-to-1 Down-Sample with 4 Possible Trivial Phase Shifters



2-to-1
Down-Sample

4-Path Polyphase Filter
Path-0 Not Used
2.5-Multiplies per Input

4-Phase Rotators

$fs \cdot k/4: \{c_0 \ c_1 \ c_2 \ c_3\}$

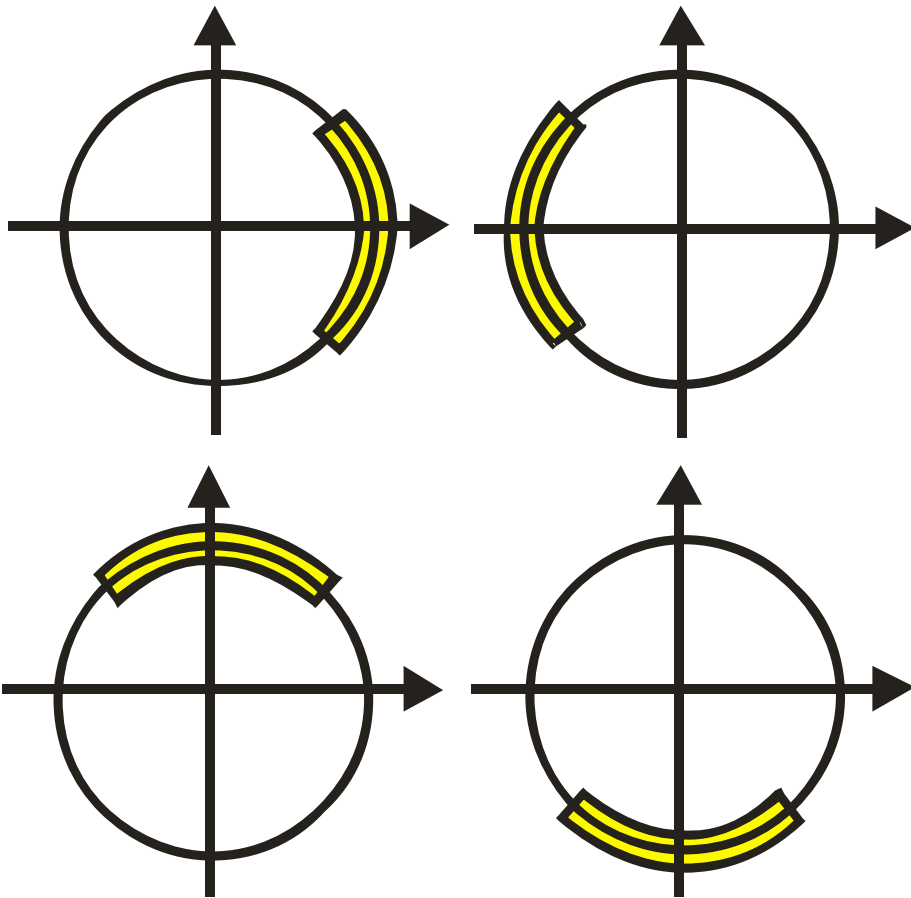
$fs \cdot 0/4: \{1 \ 1 \ 1 \ 1\}$

$fs \cdot 1/4: \{1 \ j \ -1 \ -j\}$

$fs \cdot 2/4: \{1 \ -1 \ 1 \ -1\}$

$fs \cdot 3/4: \{1 \ -j \ -1 \ j\}$

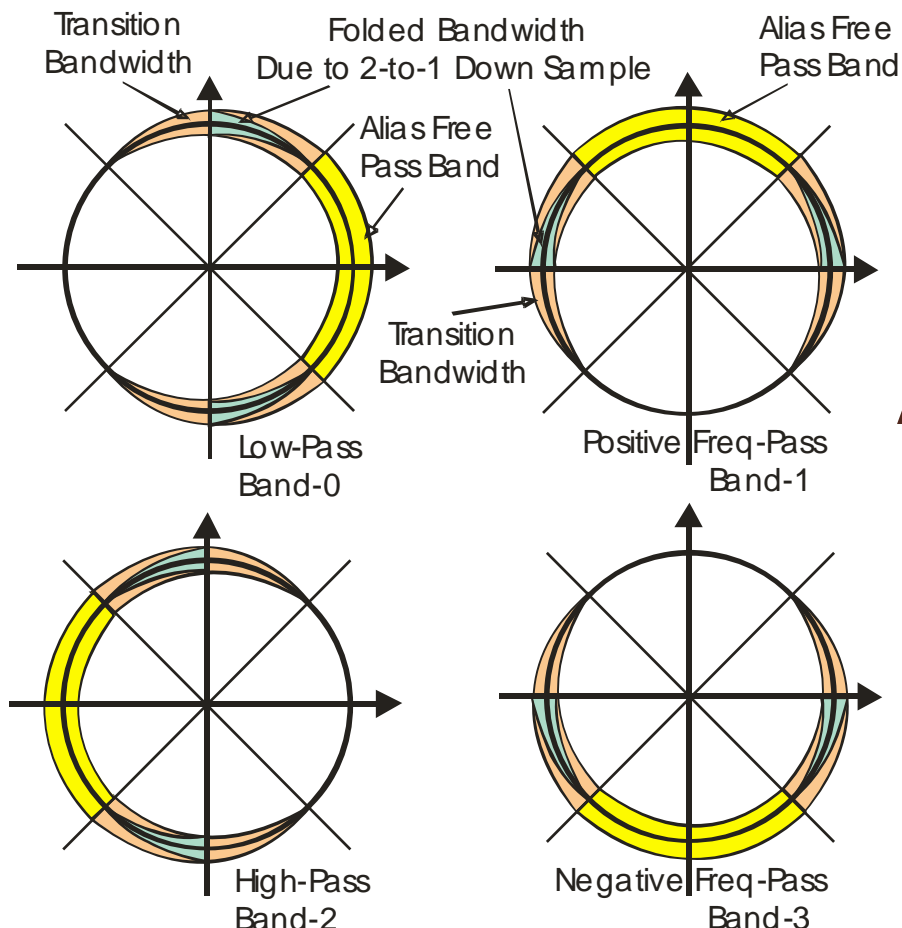
Four Bands Centered on the Cardinal Directions



Bands Centered
on 0° and 180°
(DC and $f_s/2$)
Alias To DC When
Down-Sampled
2-to-1

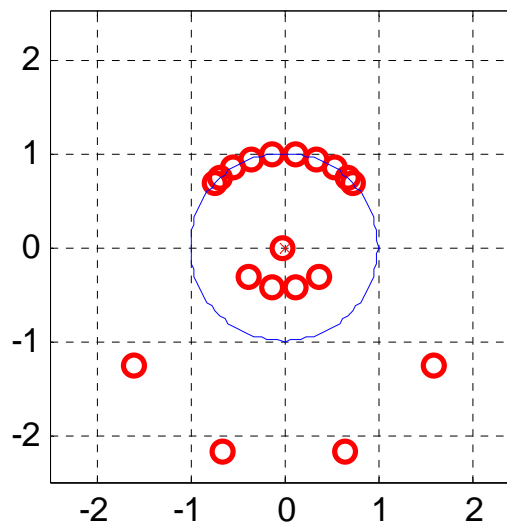
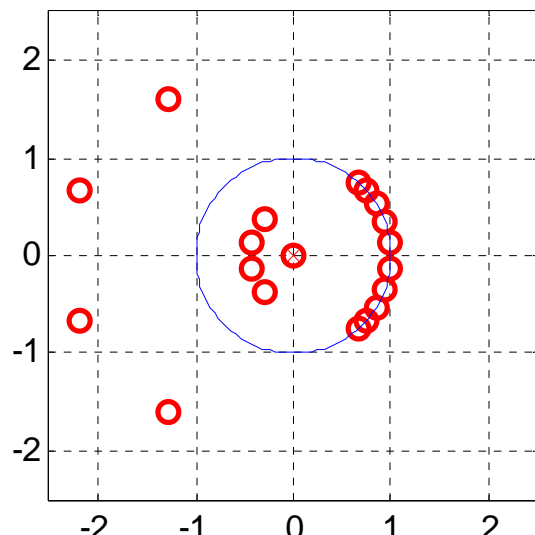
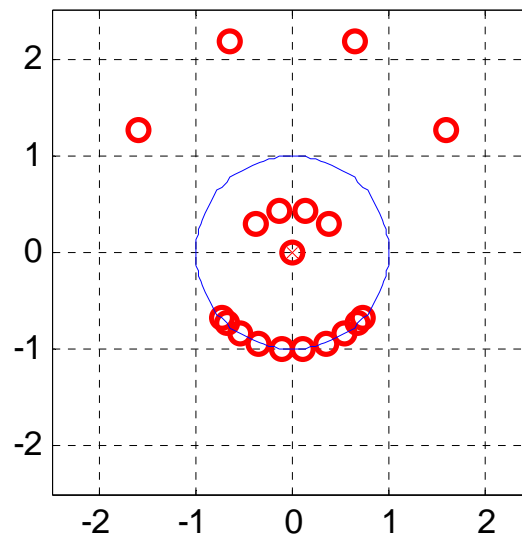
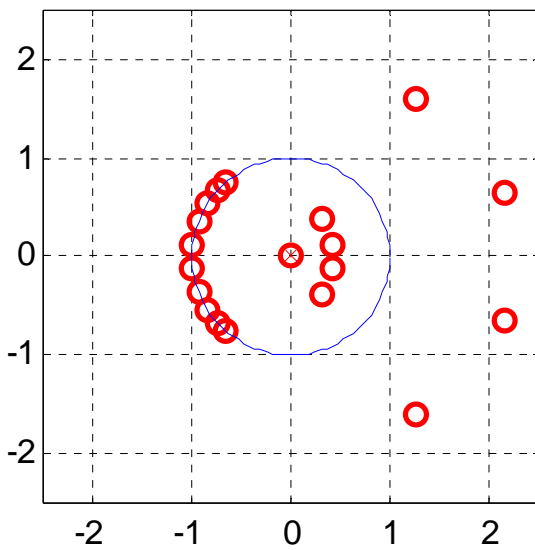
Bands Centered
on $+90^\circ$ and -90°
($+f_s/4$ and $-f_s/4$)
Alias To $f_s/2$ When
Down-Sampled
2-to-1

Spectra: Four Half Band Filters on Unit Circle Showing Alias Free Pass, Transition, and Aliased Bands

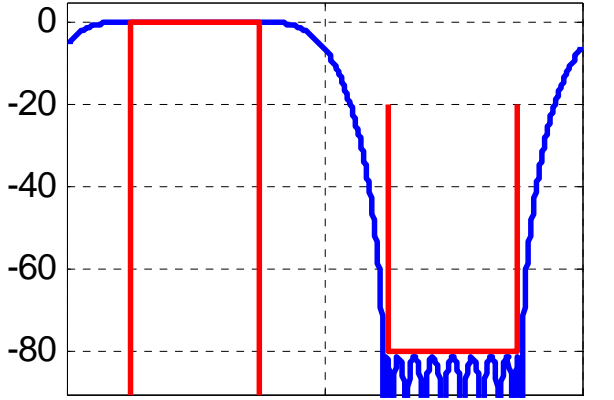
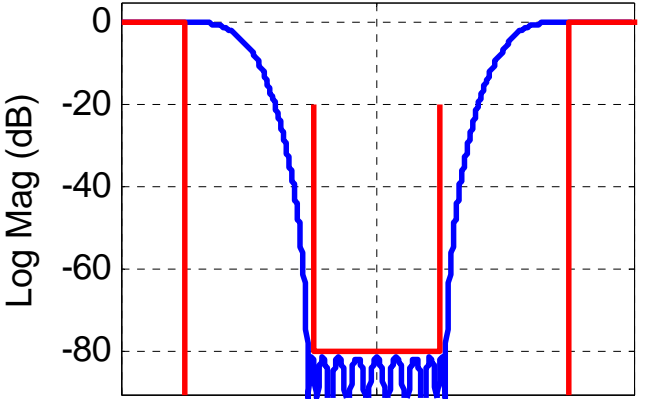
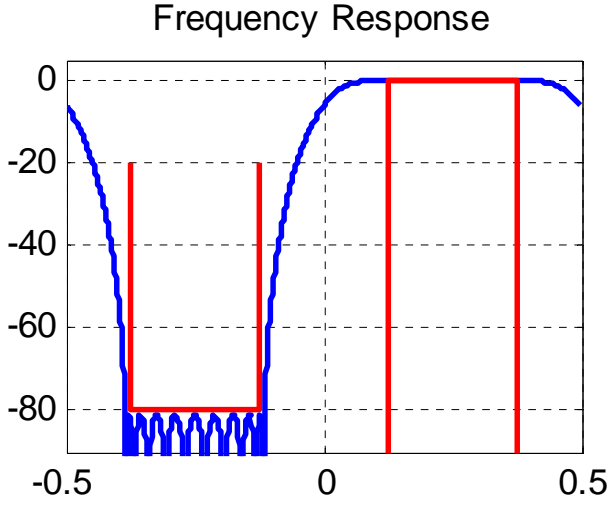
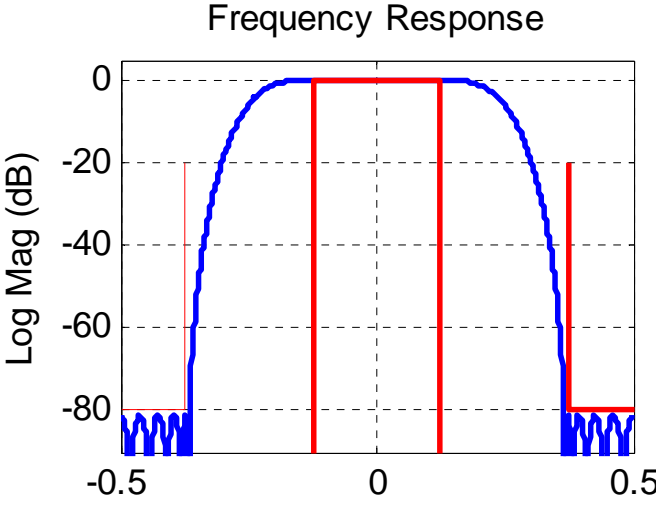


Any Narrowband
Signal Must Reside
in One of the 4
Alias Free Band Intervals.
The Alias Free
Band Intervals Overlap!

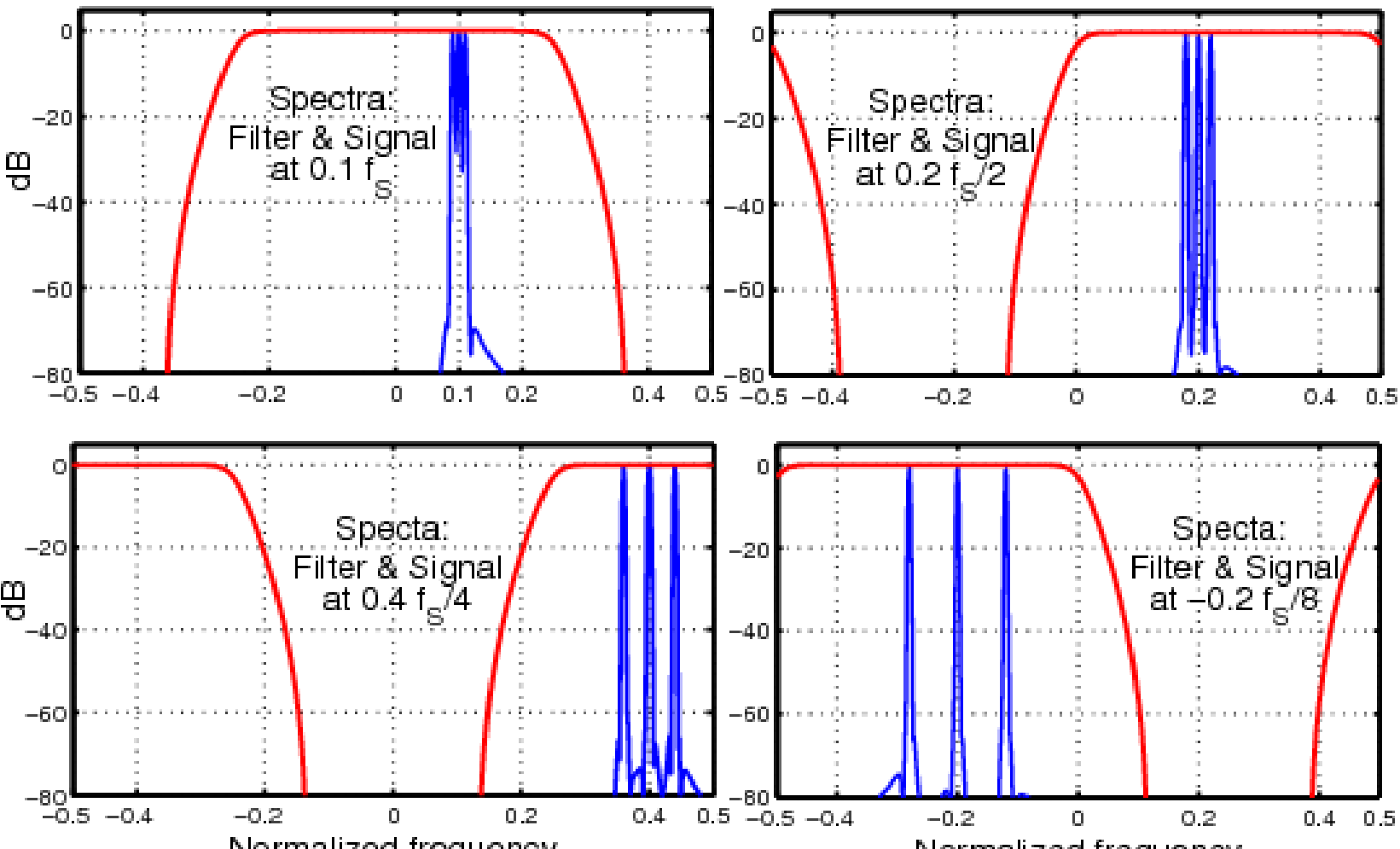
Pole-Zero Diagrams of Four Nyquist Zone Filters



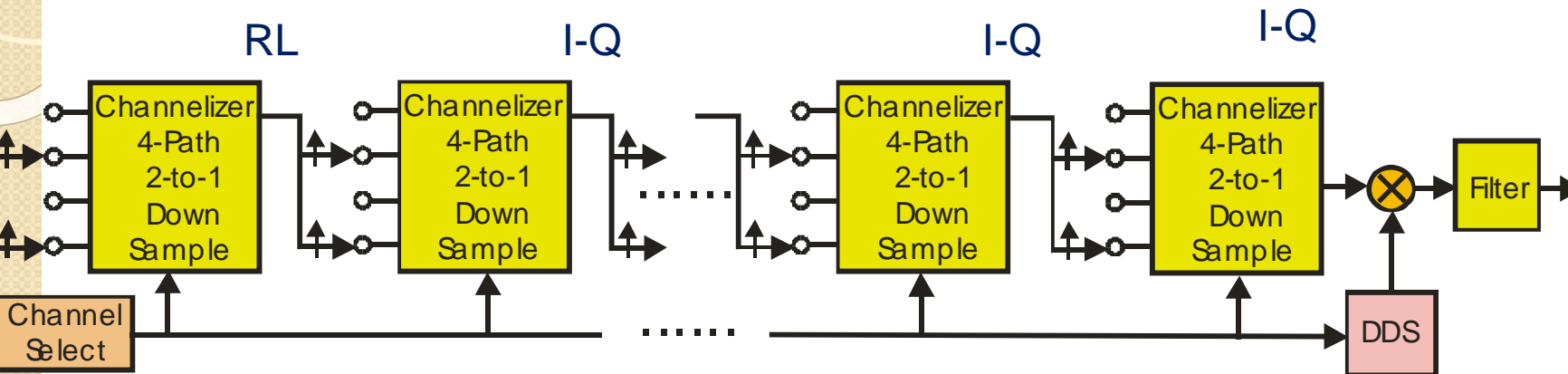
Frequency Responses of Four Nyquist Zone Filters



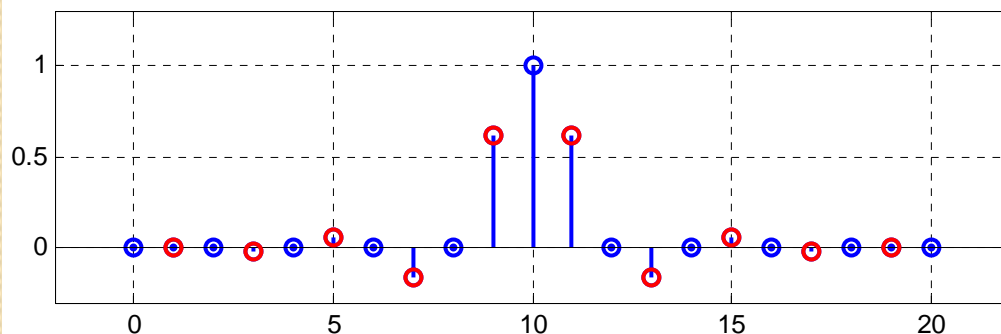
Spectra of Signal Aliased to Different Sampled Data Frequencies in Successive 2-to-1 Sample Rate Reductions.



Most Efficient Multistage Half-Band Digital Down-Converter



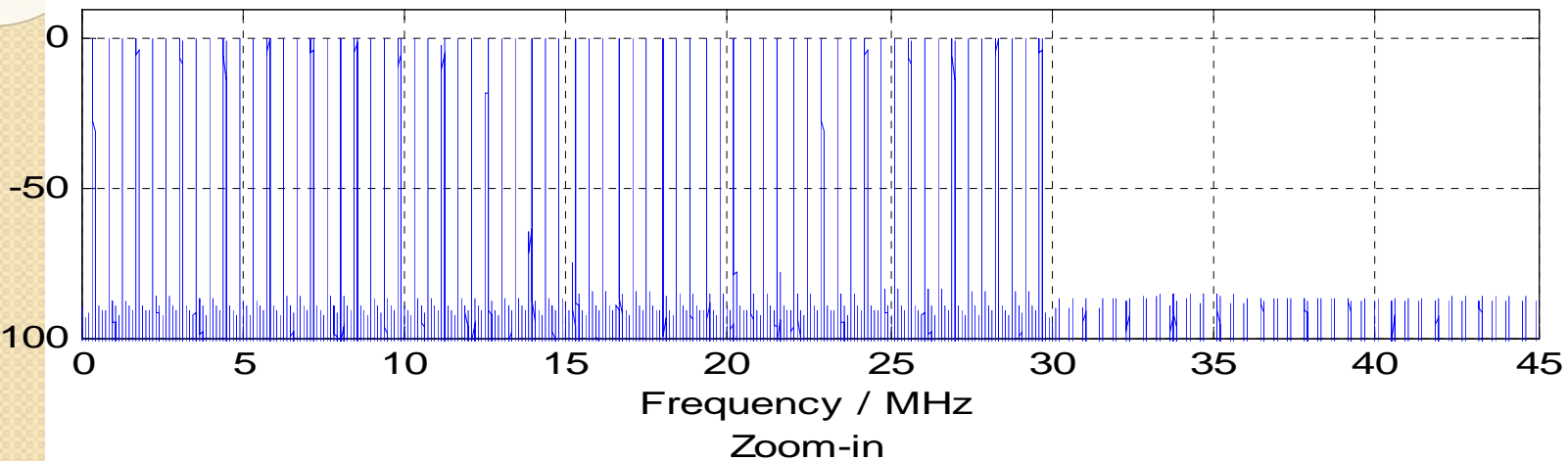
Impulse Response: Half Band Filter



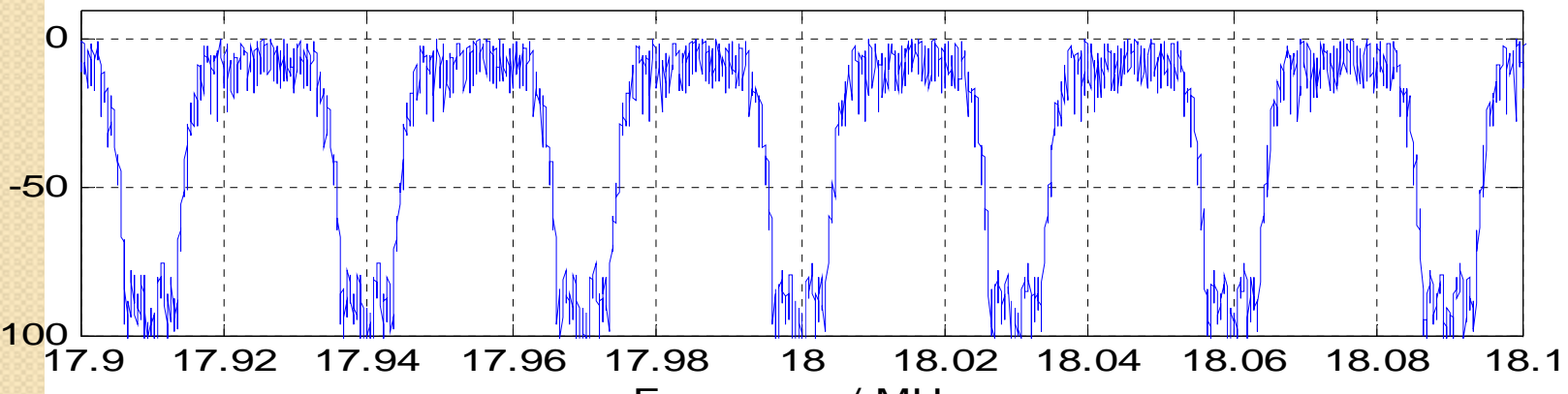
$$\begin{aligned}
 N &= 2.5 \cdot \left[1 + \frac{2}{2} + \frac{2}{4} + \frac{2}{8} + \dots \right] \\
 &= 2.5 + 2.5 \cdot \left[1 + \frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \dots \right] \\
 &< 2.5 \cdot 3 = 7.5
 \end{aligned}$$

Spectrum of Input Signal and Zoom to Spectral Segment

Received Signal Spectrum

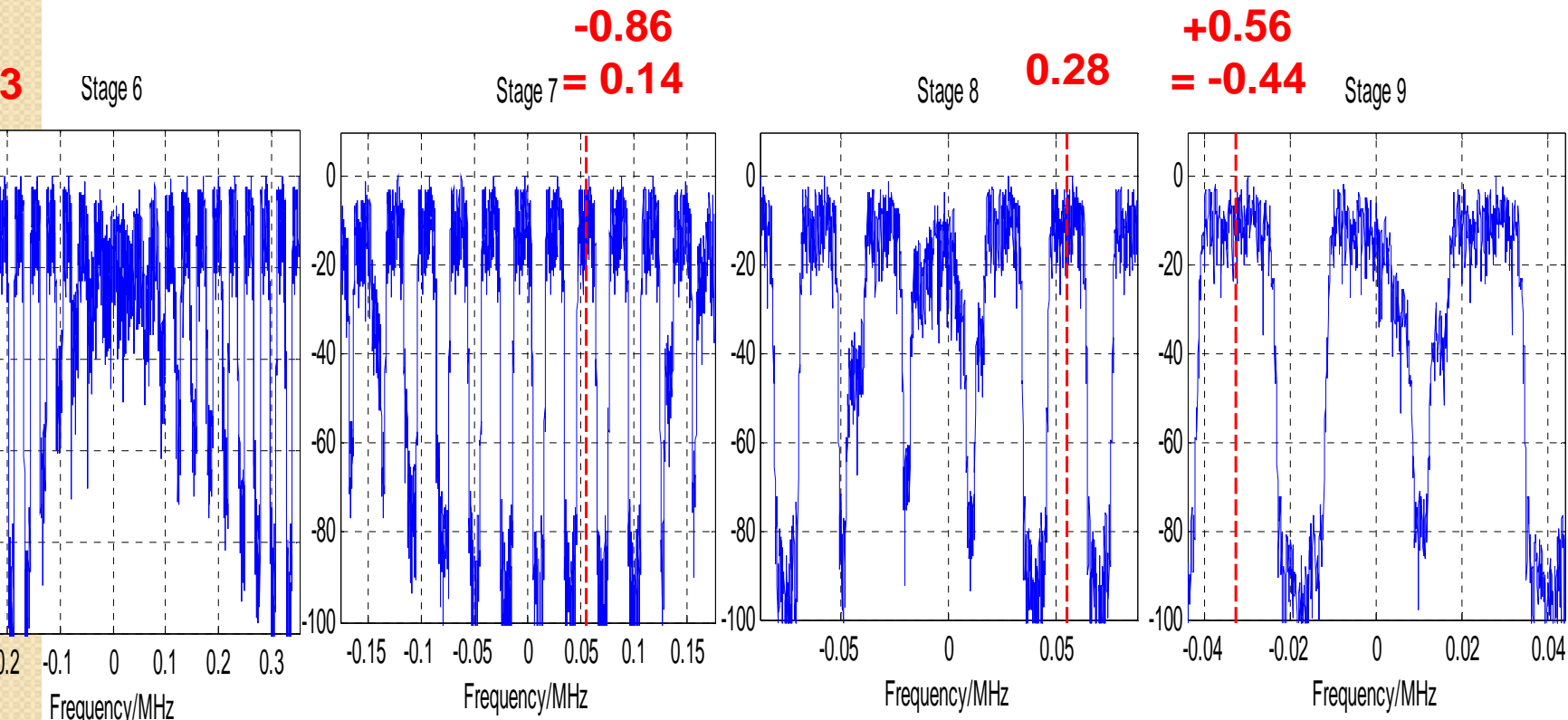


Zoom-in

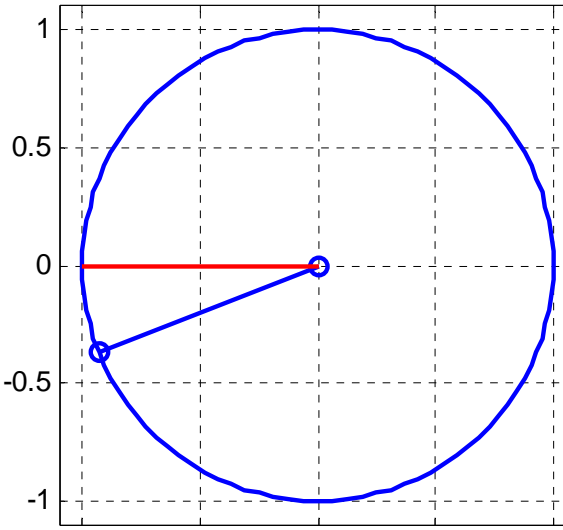
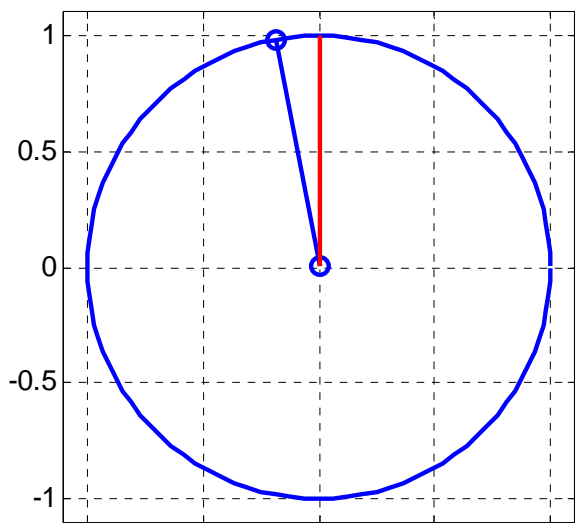
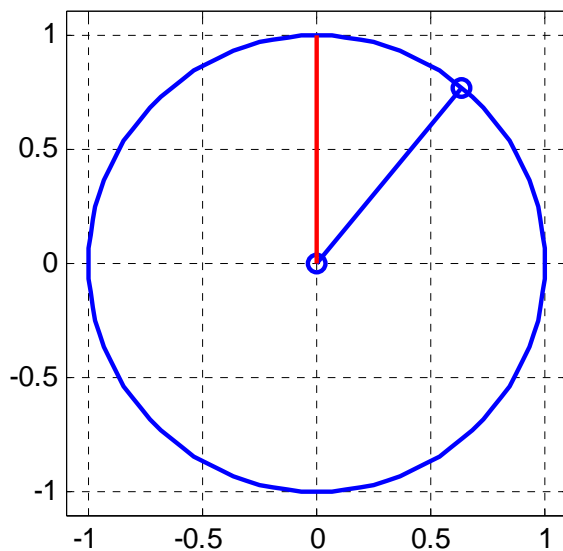
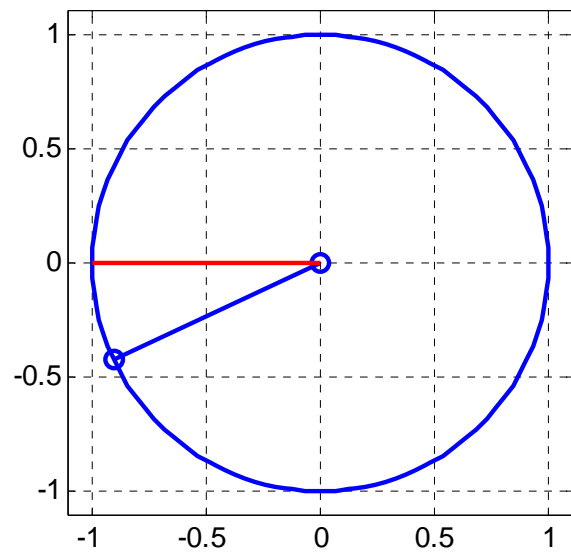


Spectra: Last Four Stages Processing Chain.

Dotted Line Indicates Center Frequency of Desired Spectral Component

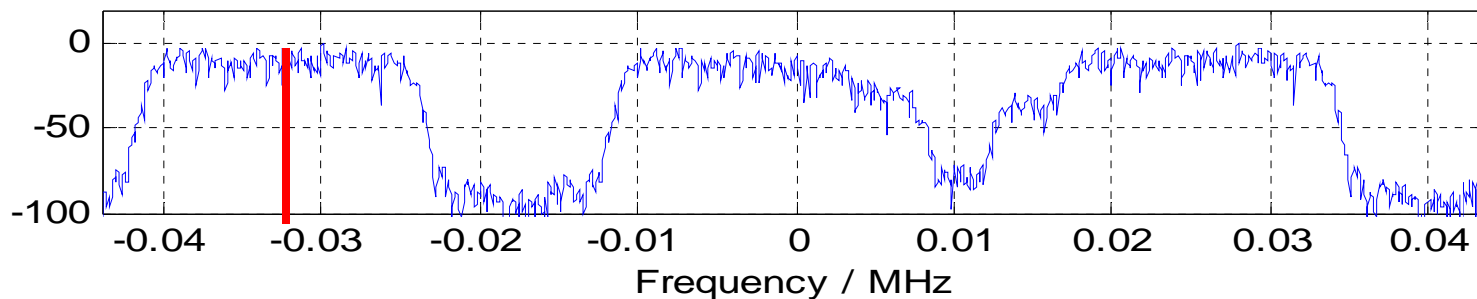


Sampled Data Frequency Locations on Successive Aliases

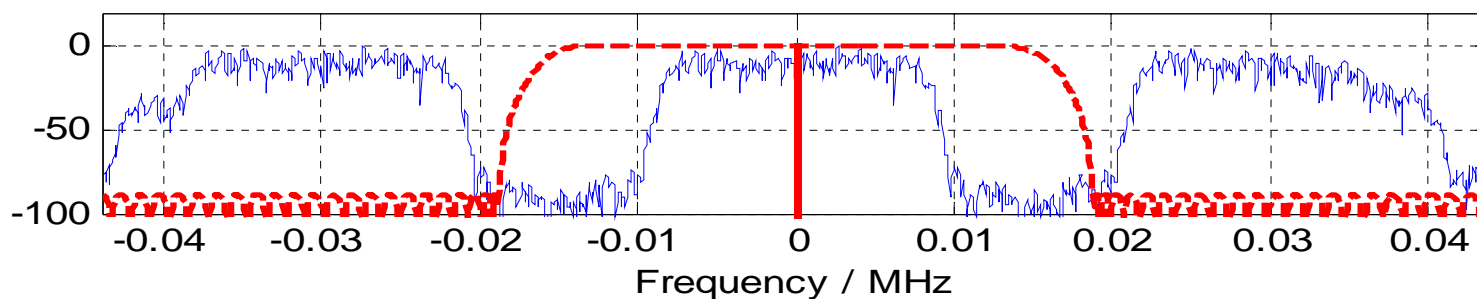


Spectrum at Input and Output of Final Heterodyne and Filter Stage

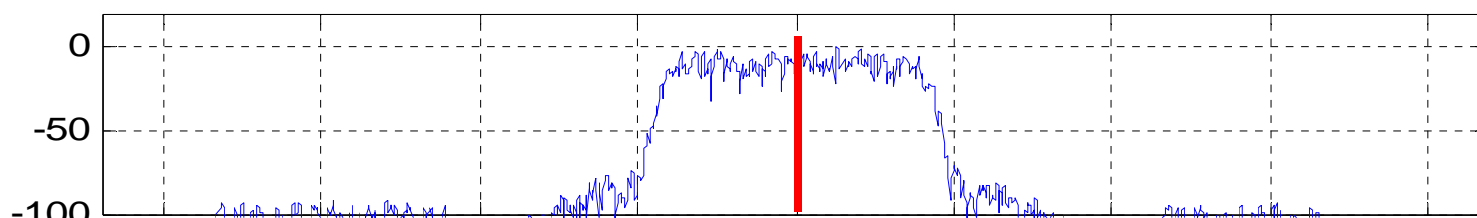
Post Processing--Input Signal Spectrum (ch # 600)



Post Processing--Down Convert (ch # 600)



Post Processing--Filtering (ch # 600)



A 375-to-1 down-sample:

90 MHz to 240 kHz with a 30 kHz output BW 80 dB dynamic range.

Require 6 CIC stages. The gain of each stage is 375:

Gain of 6 stages becomes $(375)^6$ or $2.8 \cdot 10^{15}$ or 52 bits growth in the CIC integrators.

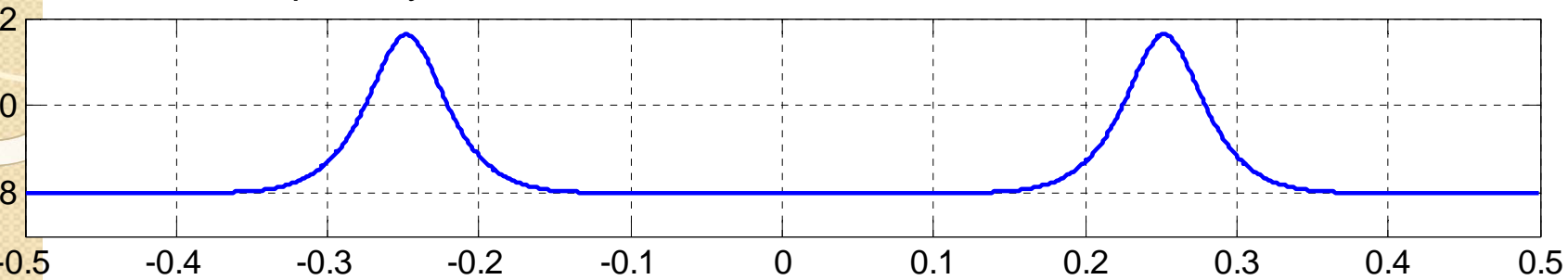
With 16-bit input data integrator bit width is 16+52 or 68.

Six integrators in both I & Q paths would be circulating 816 bits per input sample which if converted to the 16-bit width required of the arithmetic in the half-band filters proves to be same number of bits to manipulate 48 arithmetic operations per input sample.

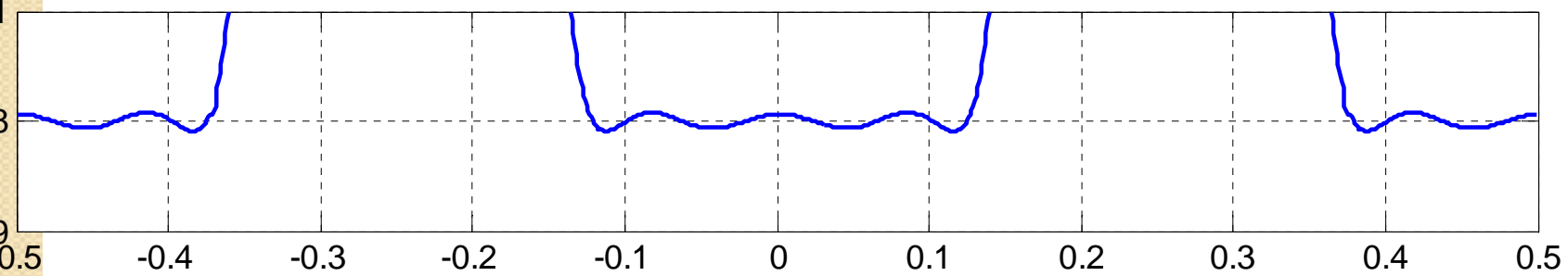
Number of operations for the I-Q half band filter chain is on the order of 8-multiply and 16 adds per input sample which represents a workload 1/6 of the CIC chain. The efficient cascade CIC filter chain can be replaced with an even more efficient cascade four-path half band filter chain.

Linear Phase IIR Filter

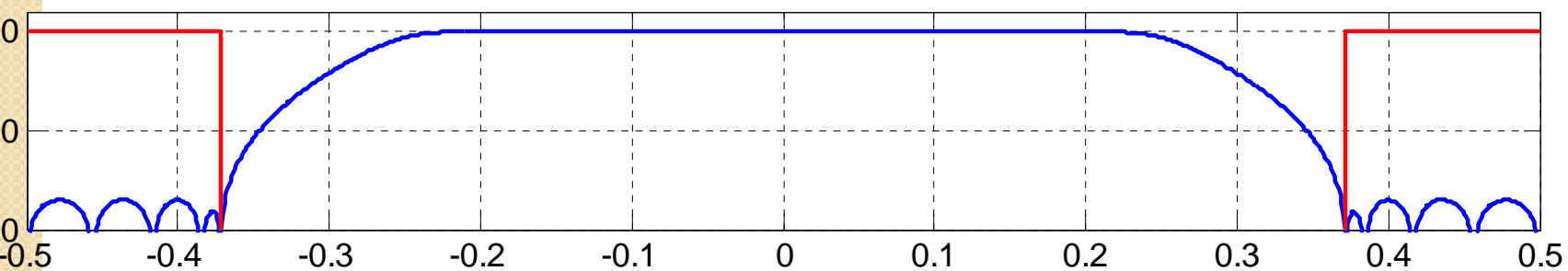
Group Delay: Two Path, 4-Coefficient, Linear Phase 2-Path Filter



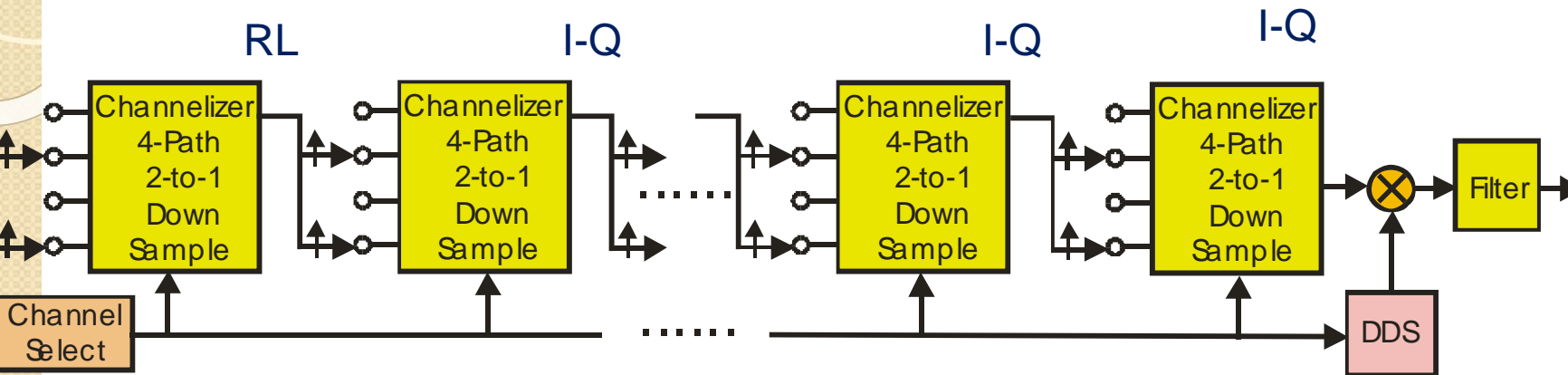
Group Delay: Detail



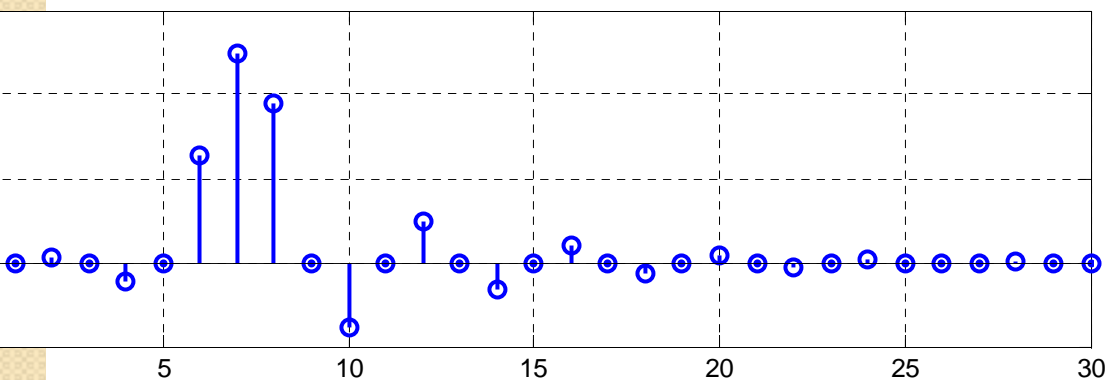
Frequency response



Most Efficient Multistage Half-Band Digital Down-Converter



Impulse Response, Two-Path, 4-Coefficient, Linear Phase IIR



$$\begin{aligned}
 N &= 2.0 \cdot \left[1 + \frac{2}{2} + \frac{2}{4} + \frac{2}{8} + \dots \right] \\
 &= 2.0 + 2.0 \cdot \left[1 + \frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \dots \right] \\
 &< 2.0 \cdot 3 = 6.0
 \end{aligned}$$

Polyphase Partition of Band Pass Filter

I-Path to M-Path Transformation

Modulation Theorem of Z-Transform

$$G(Z) = \sum_{n=0}^{N-1} h(n) e^{j\theta_k n} Z^{-n} = \sum_{n=0}^{N-1} h(n) (e^{-j\theta_k} Z)^{-n} = H(e^{-j\theta_k} Z)$$

$$G(Z) = \sum_{r=0}^{M-1} \sum_{n=0}^{N-1} h(r + nM) e^{j\theta_k (r+nM)} Z^{-(r+nM)}$$

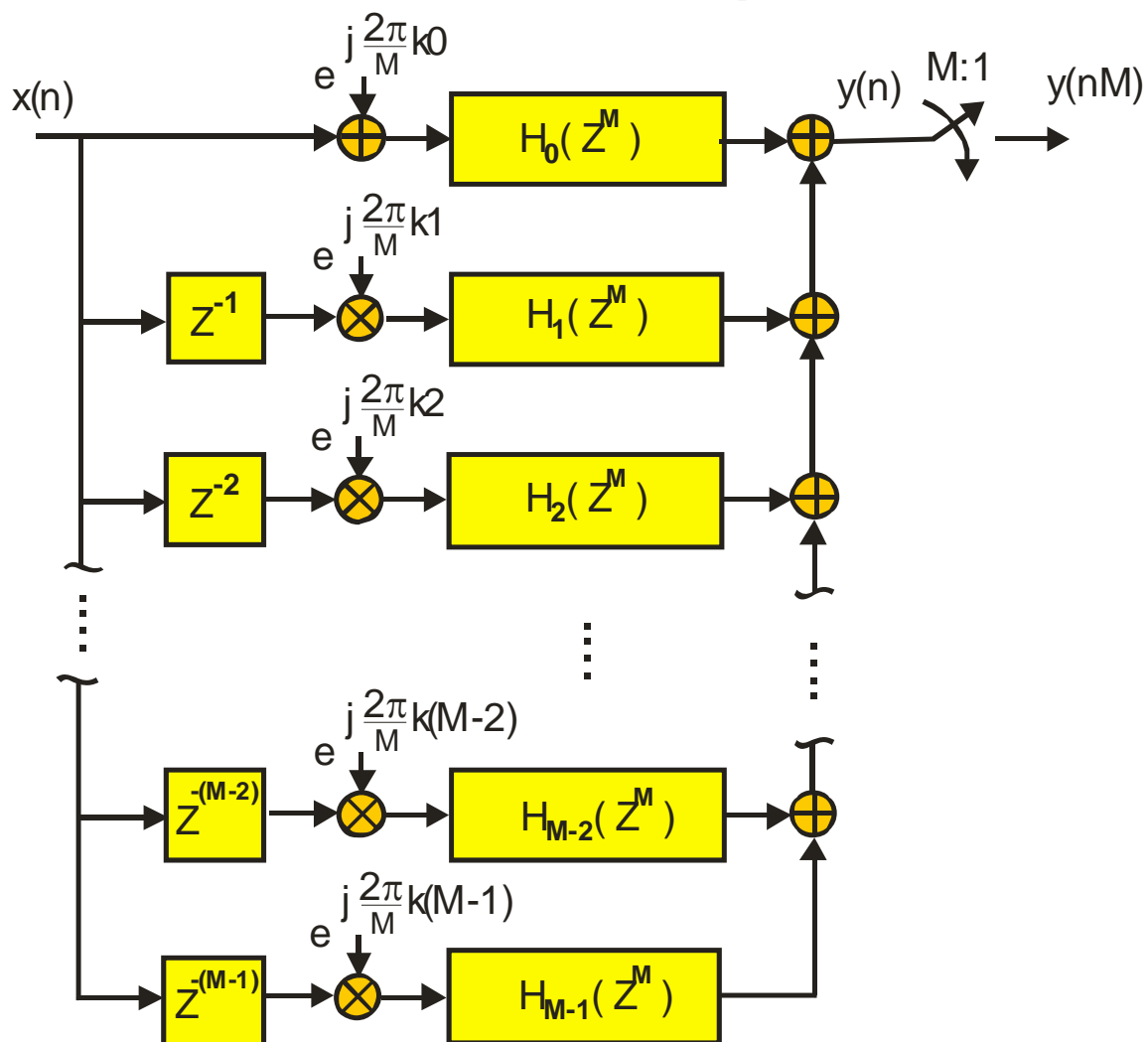
$$G(Z) = \sum_{r=0}^{M-1} e^{j\theta_k r} Z^{-r} \sum_{n=0}^{N-1} h(r + nM) e^{j\theta_k nM} Z^{-nM}$$

$$\theta_k = k \cdot 2\pi$$

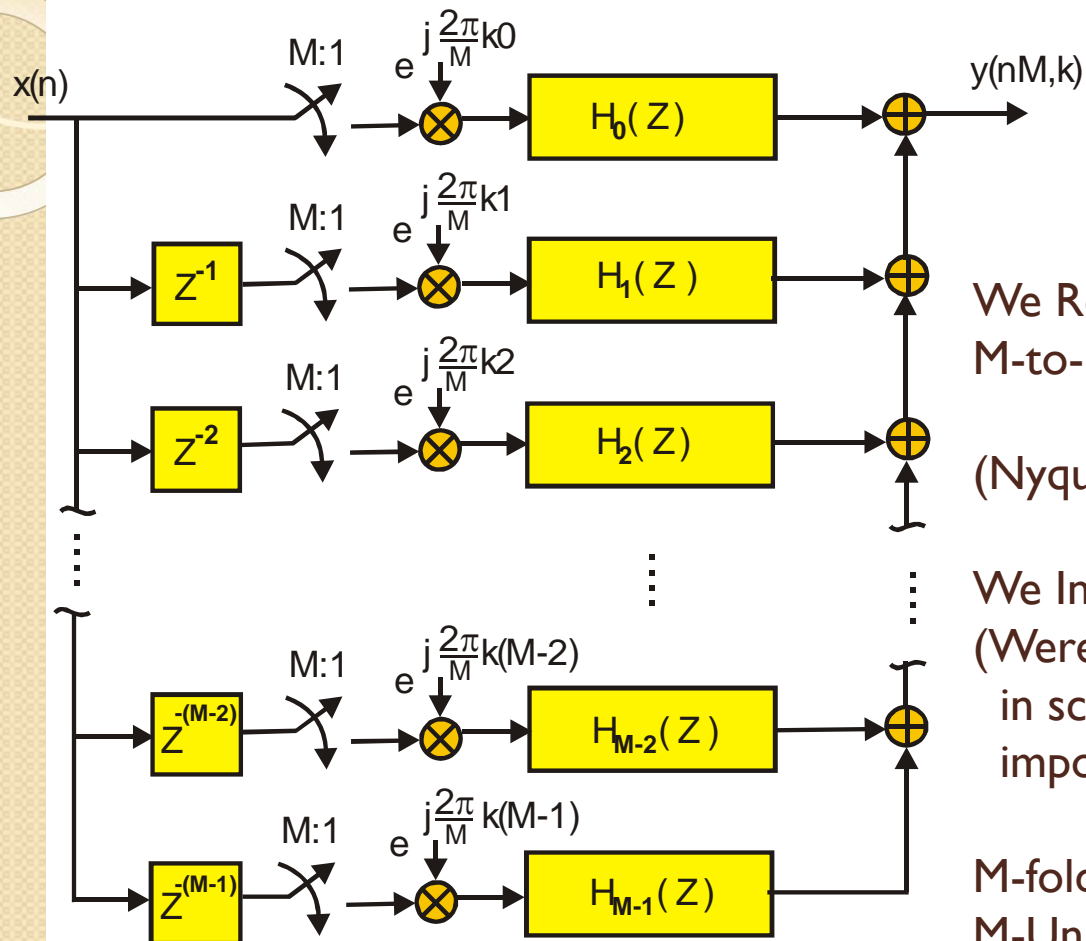
$$\theta_k = k \cdot \frac{2\pi}{M}$$

$$G(Z) = \sum_{r=0}^{M-1} e^{j\frac{2\pi}{M} k r} Z^{-r} \sum_{n=0}^{N-1} h(r + nM) Z^{-nM}$$

Polyphase Band Pass Filter and M-to-1 Resampler



Apply Noble Identity to Polyphase Partition



We Reduce Sample Rate
M-to-1 Prior to Reducing Bandwidth

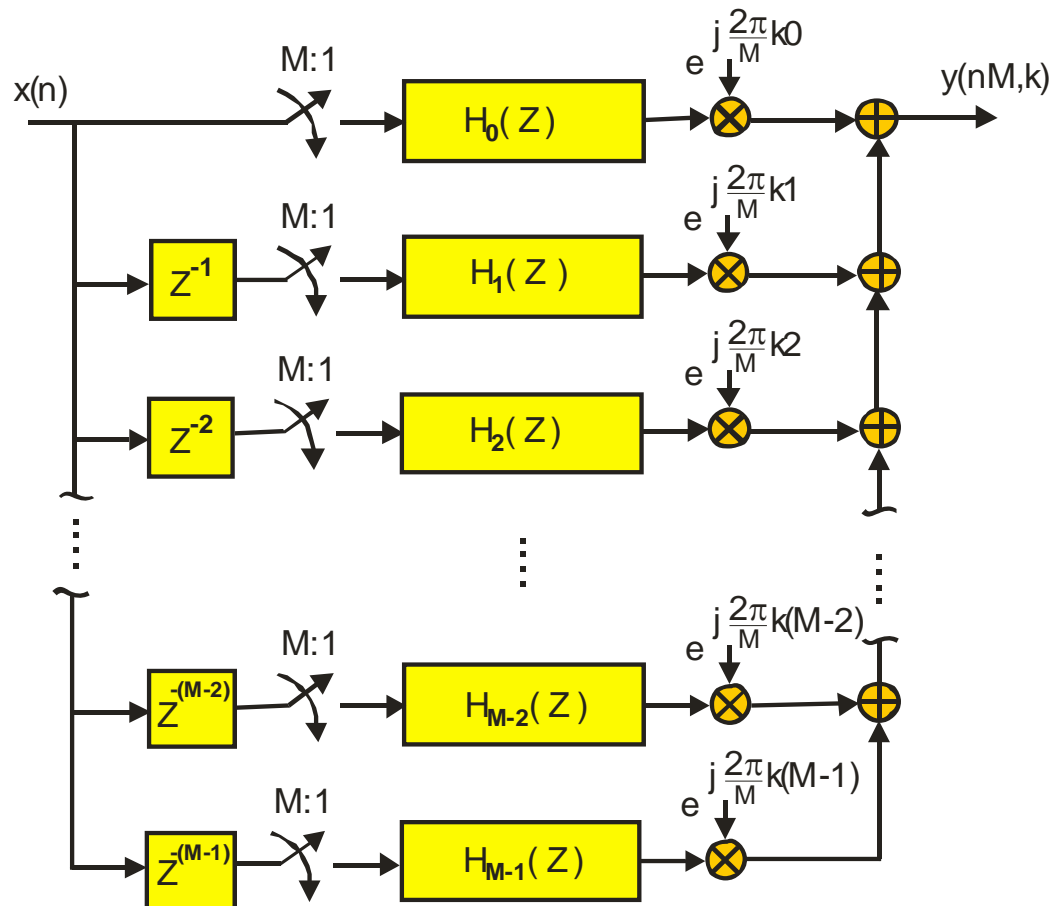
(Nyquist is Raising His Eyebrows!)

We Intentionally Alias the Spectrum.
(Were you Paying Attention
in school when they discussed the
importance of anti-aliasing filters?)

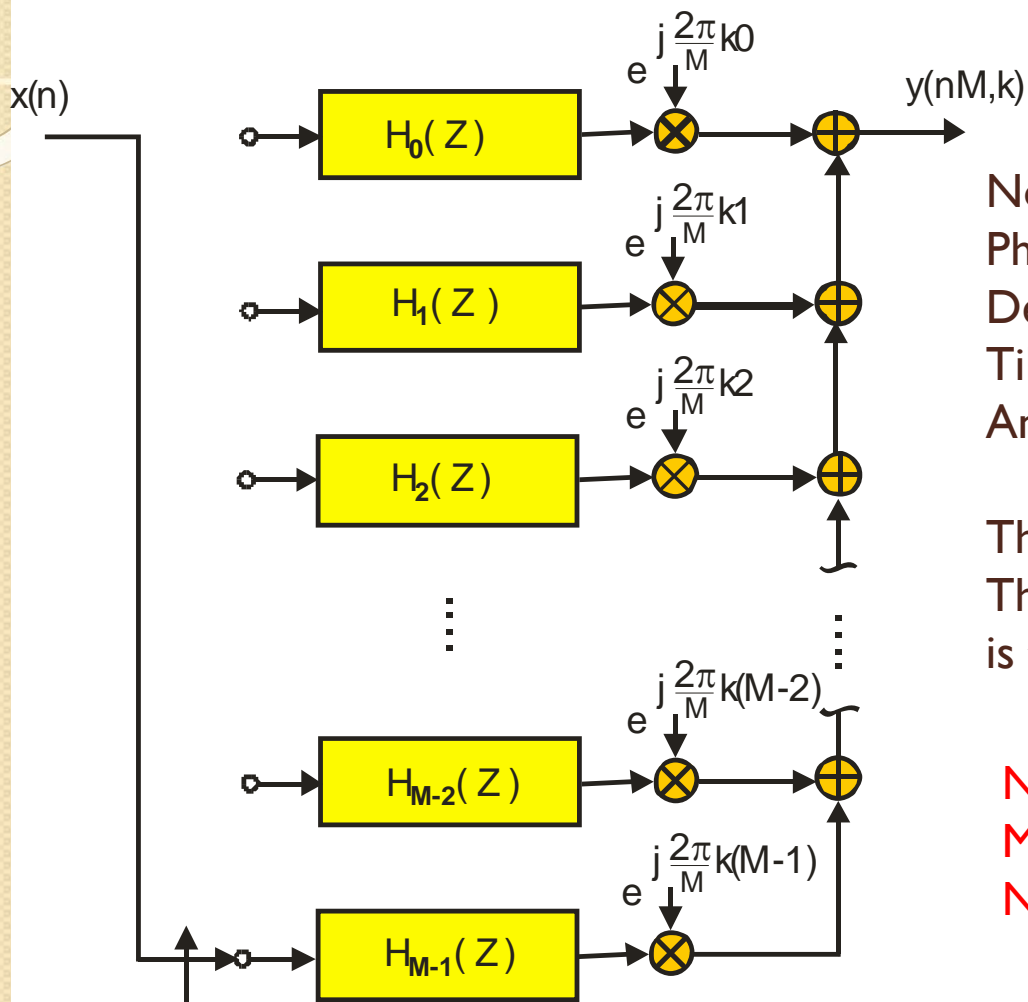
M-fold Aliasing!
M-Unknowns!

M-Paths supply M-Equations
We can the separate Aliases!

Move Phase Spinners to Output of Polyphase Filter Paths



Polyphase Partition with Commutator Replacing the “r” Delays in the “r-th” Path

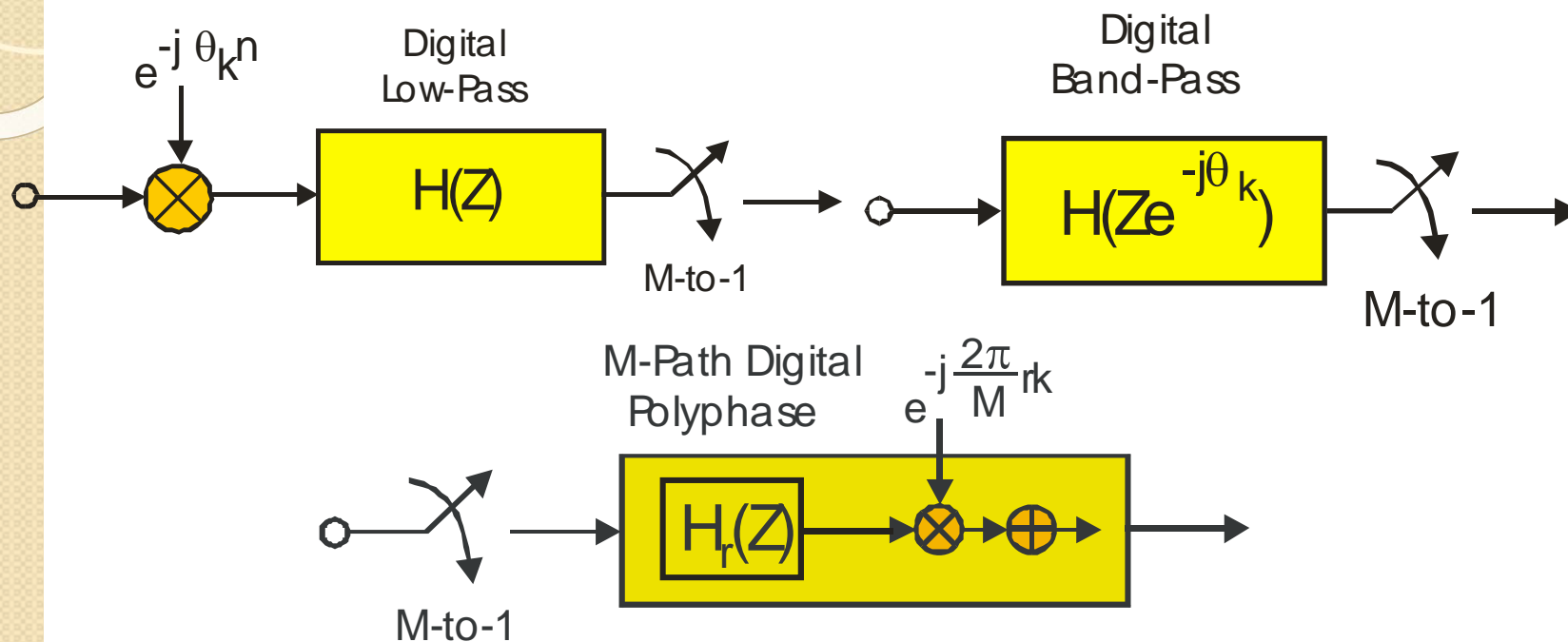


Note: We don't assign Phase Spinners to Select Desired Center Frequency Till after Down Sampling And Path Processing

This Means that The Processing for every Channel is the same till the Phase Spinner

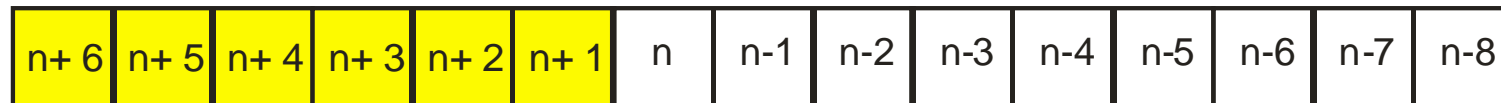
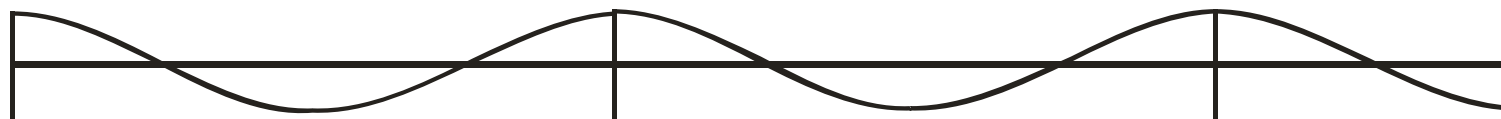
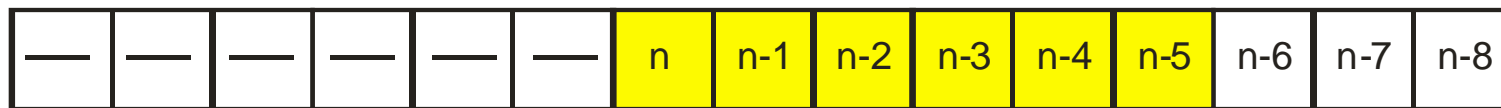
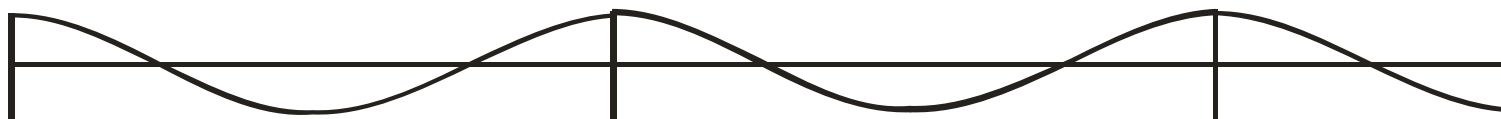
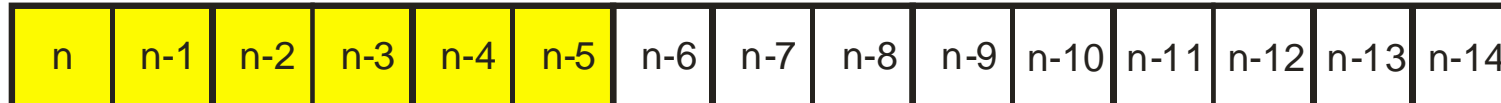
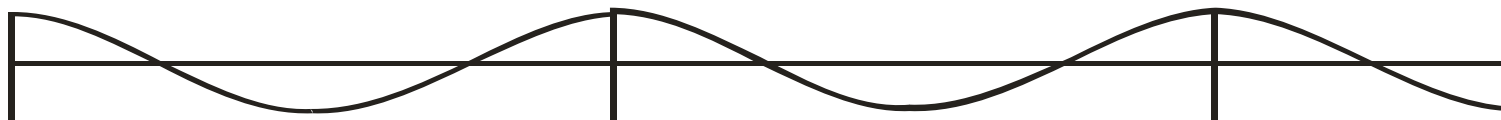
No longer LTI, Filter now has M-Different Impulse Responses!
Now LTV or PTV Filter.

Armstrong to Tuned RF with Alias Down Conversion to Polyphase Receiver

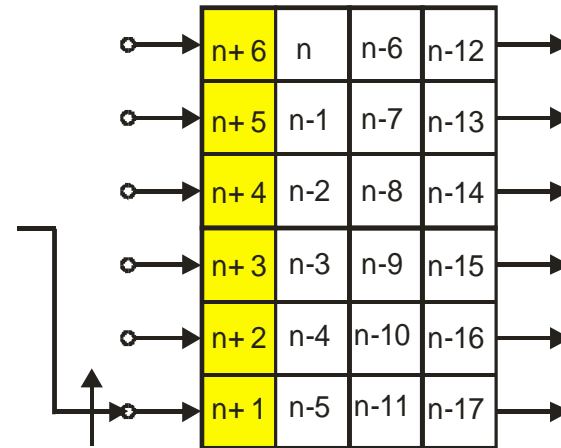
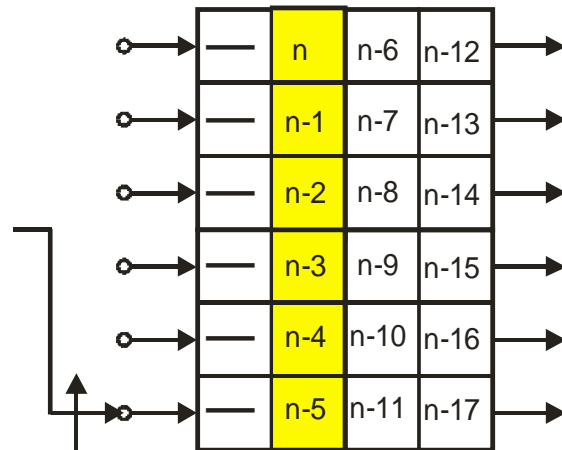
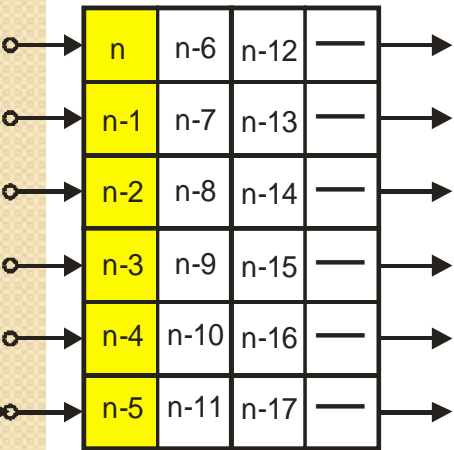


Rather than selecting center frequency at input and reduce sample rate at output, we reverse the order, reduce sample rate at input and select center frequency at output. We perform arithmetic operations at low output rate rather than at high input rate!

Down Sample 6-to-1

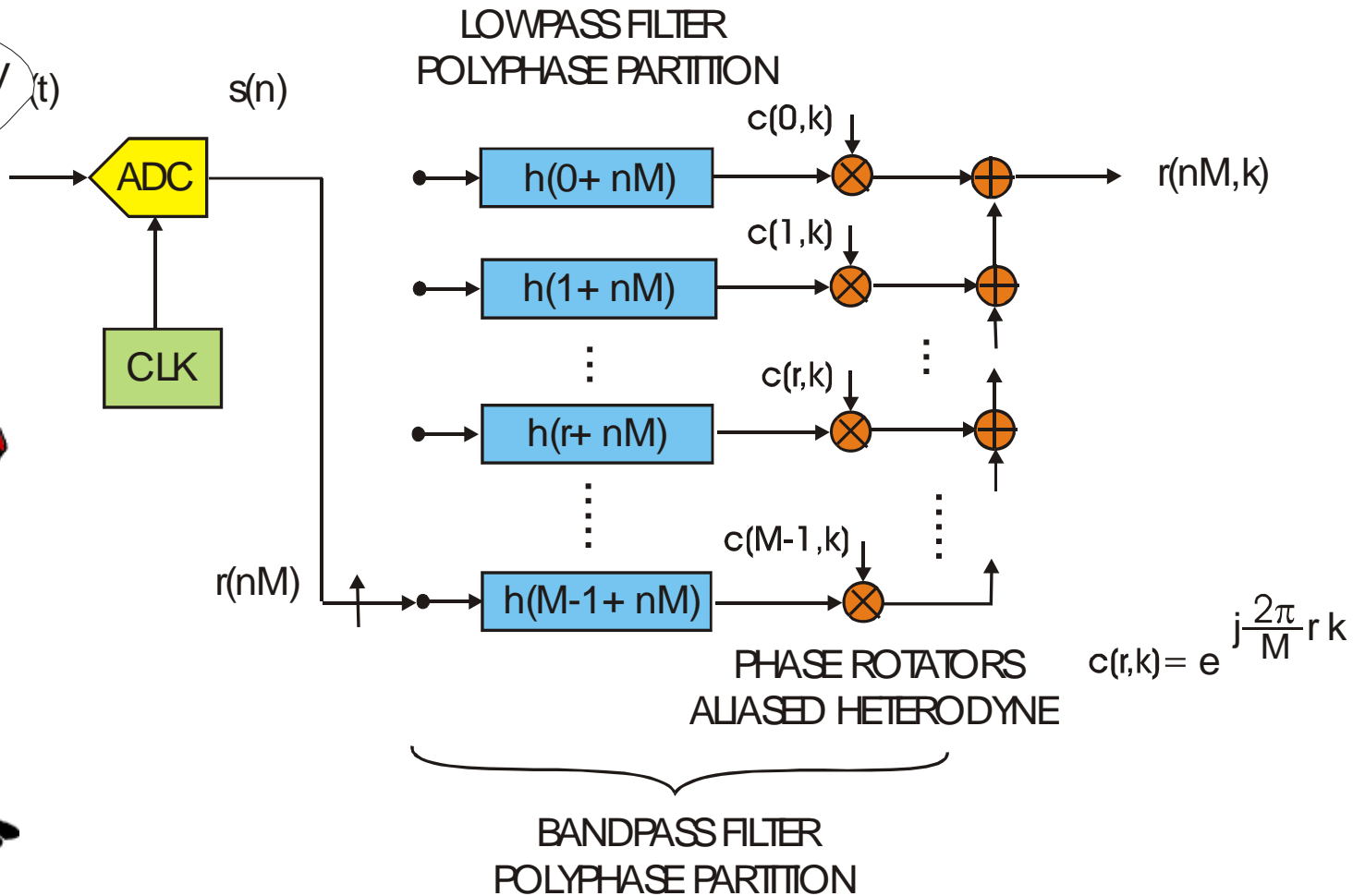


Polyphase Partition I-D filter becomes 2-D M-Path Filter



Reorder Filter and Resample

... this is very stuff....



Phase and Gain Response

(3-Versions of Filter)

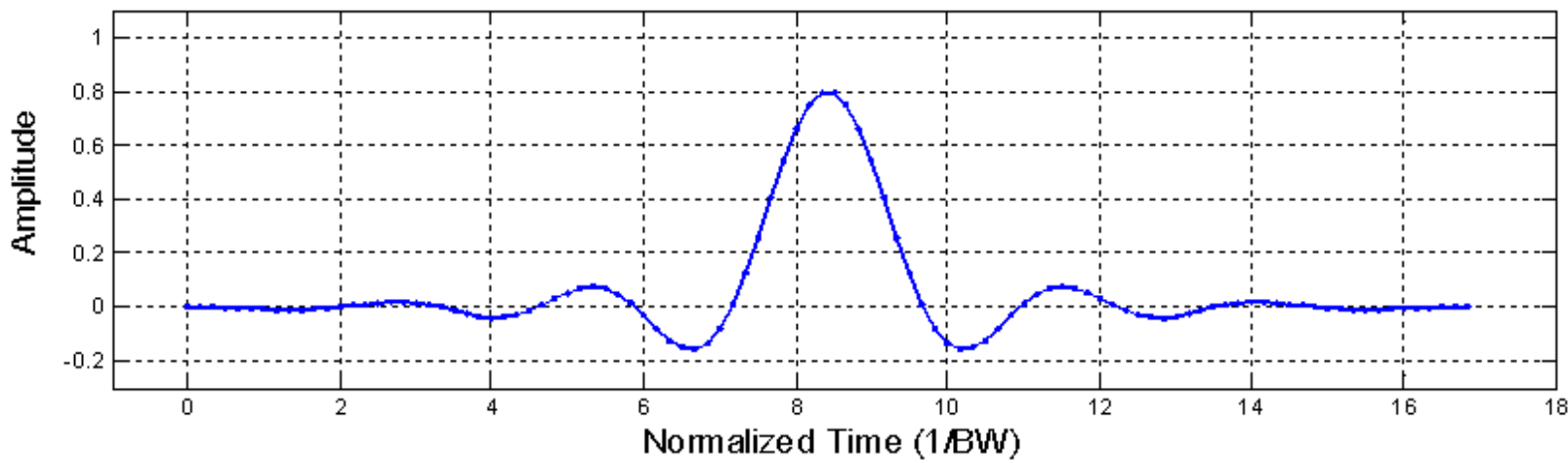
Prototype Filter,

Polyphase Filter Prior to Resampling,

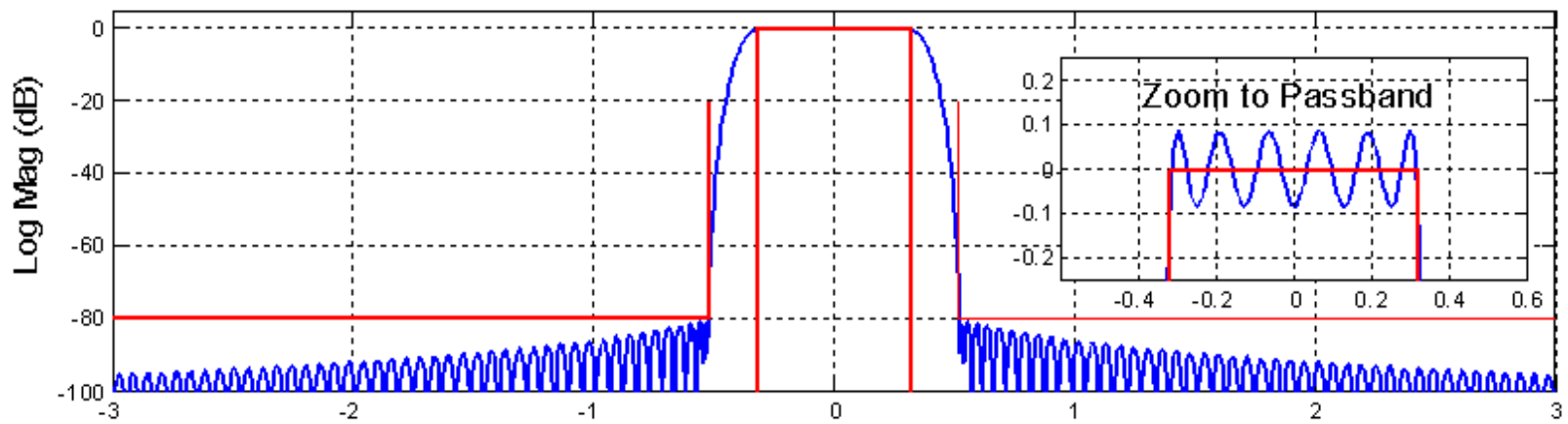
Polyphase Filter after Resampling

Impulse Response and Frequency Response of Prototype Low Pass FIR Filter

Impulse Response, Prototype Lowpass Filter

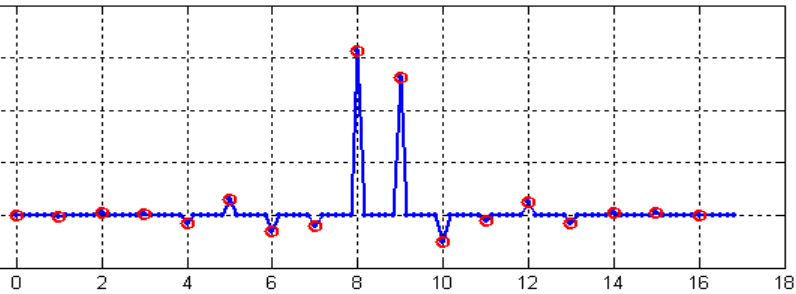


Spectrum

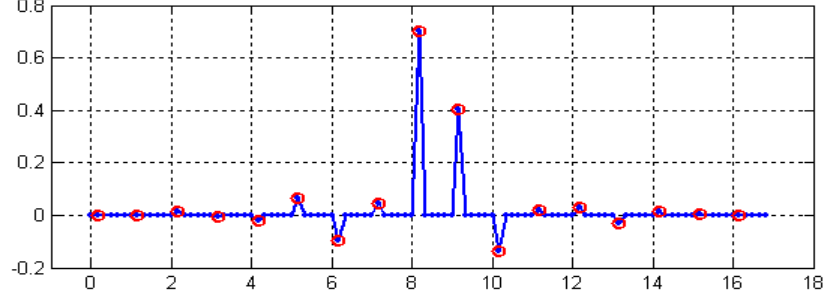


Impulse Response of 6-Path Polyphase Partition Prior to 6-to-1 Resampling

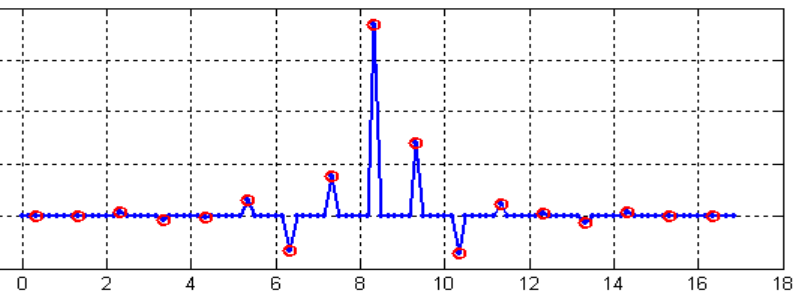
Coefficients, Zero-Packed Sub-Filter 0



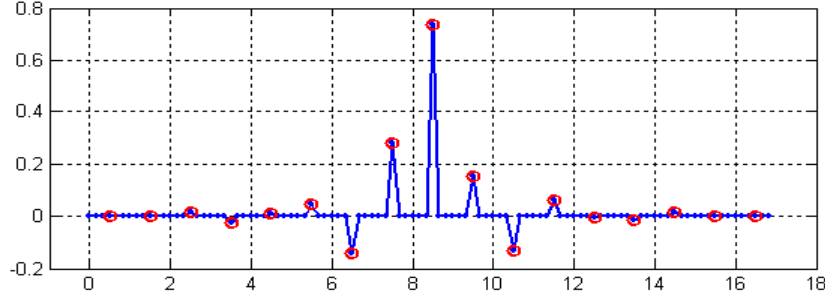
Coefficients, Zero-Packed Sub-Filter 1



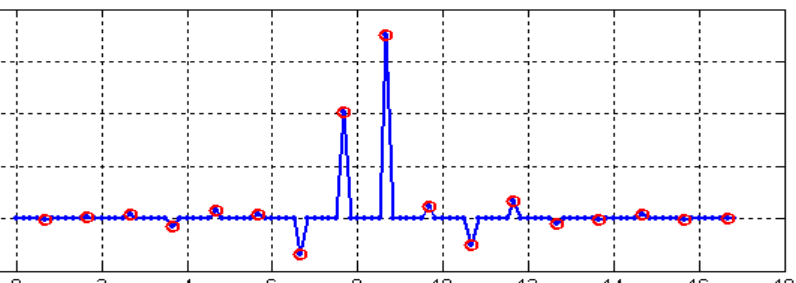
Coefficients, Zero-Packed Sub-Filter 2



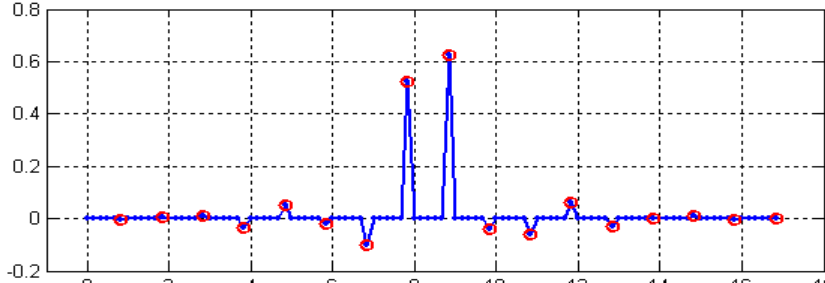
Coefficients, Zero-Packed Sub-Filter 3



Coefficients, Zero-Packed Sub-Filter 4

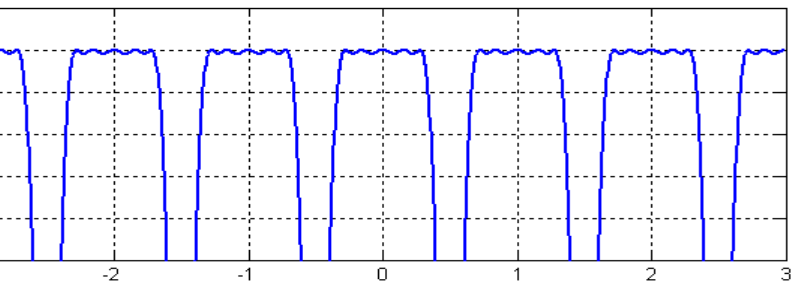


Coefficients, Zero-Packed Sub-Filter 5

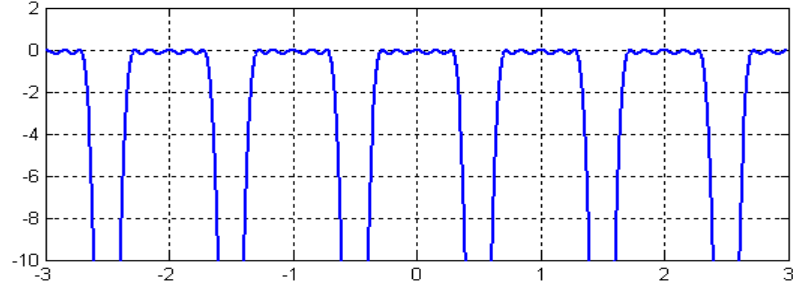


Frequency Response of 6-Path Polyphase Partition Prior to 6-to-1 Resampling

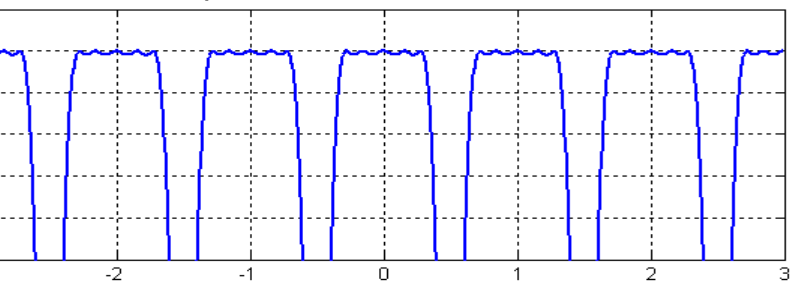
Spectrum, Zero Packed Filter 0



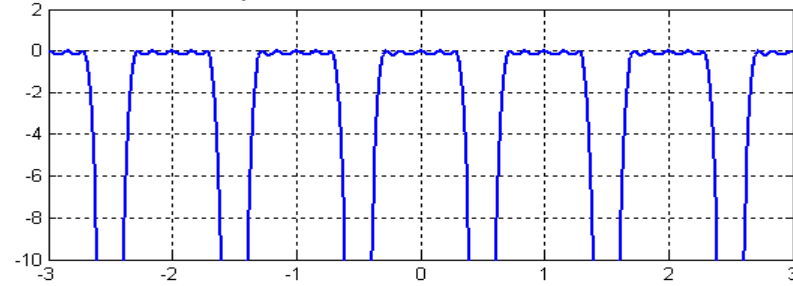
Spectrum, Zero Packed Filter 1



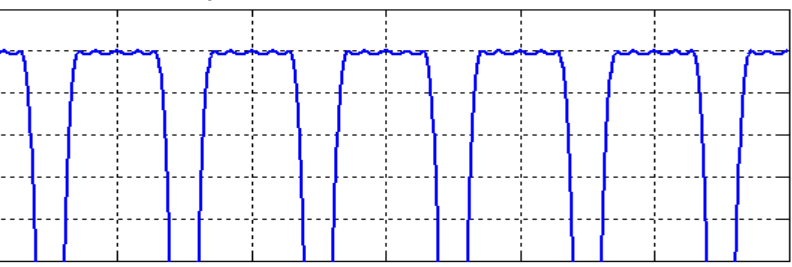
Spectrum, Zero Packed Filter 2



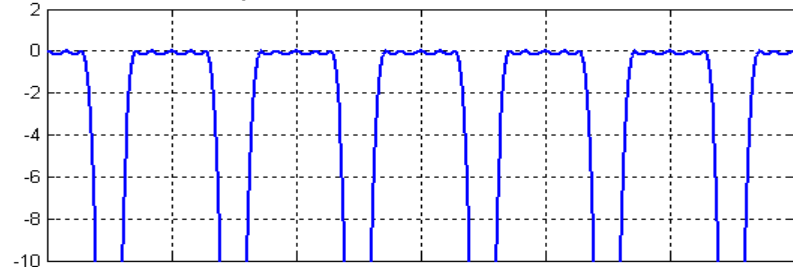
Spectrum, Zero Packed Filter 3



Spectrum, Zero Packed Filter 4

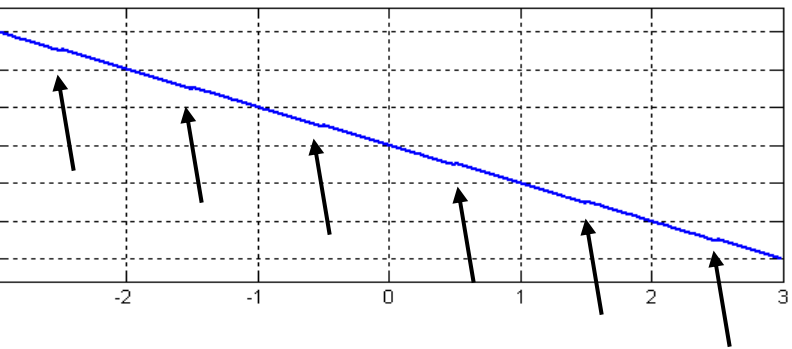


Spectrum, Zero Packed Filter 5

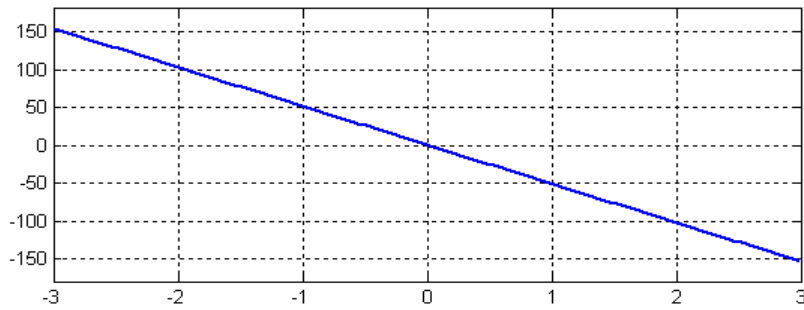


Phase Response of 6-Path Polyphase Partition Prior to 6-to-1 Resampling

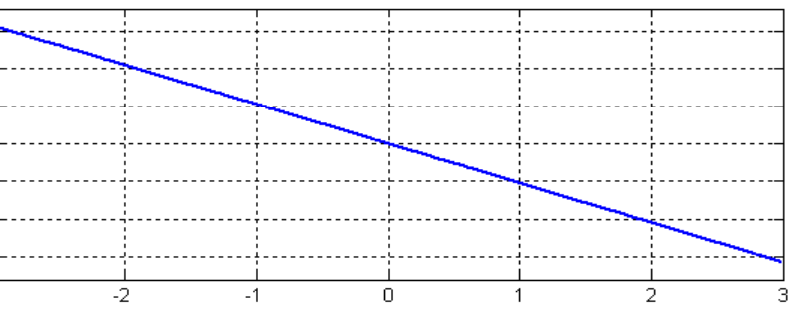
Phase, Zero Packed Filter 0



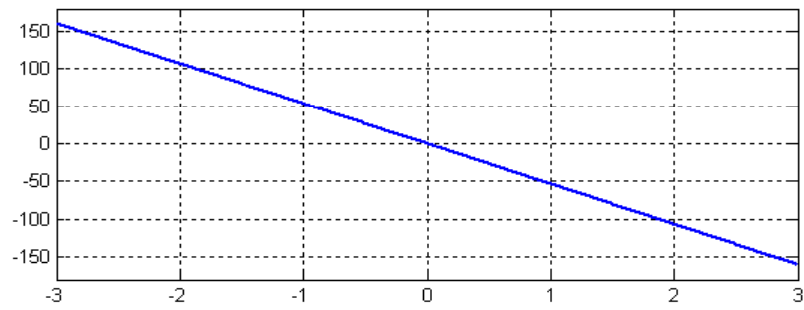
Phase, Zero Packed Filter 1



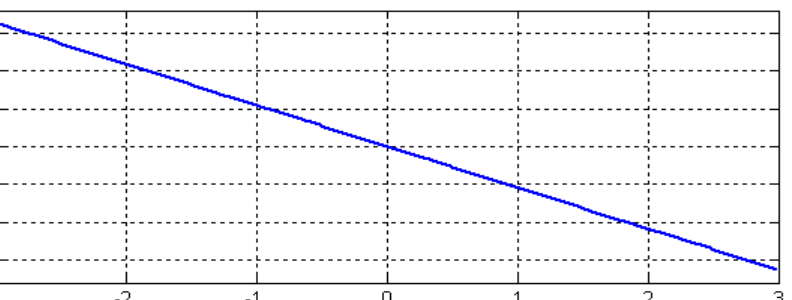
Phase, Zero Packed Filter 2



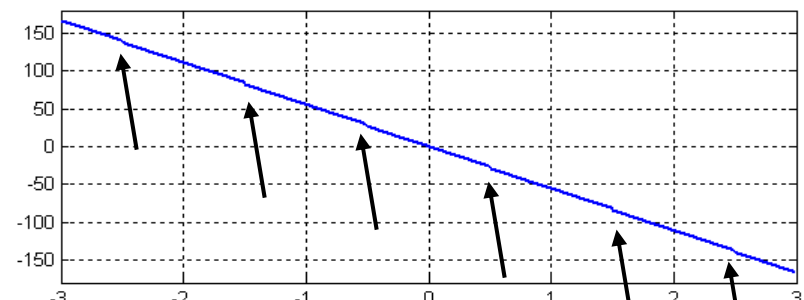
Phase, Zero Packed Filter 3



Phase, Zero Packed Filter 4

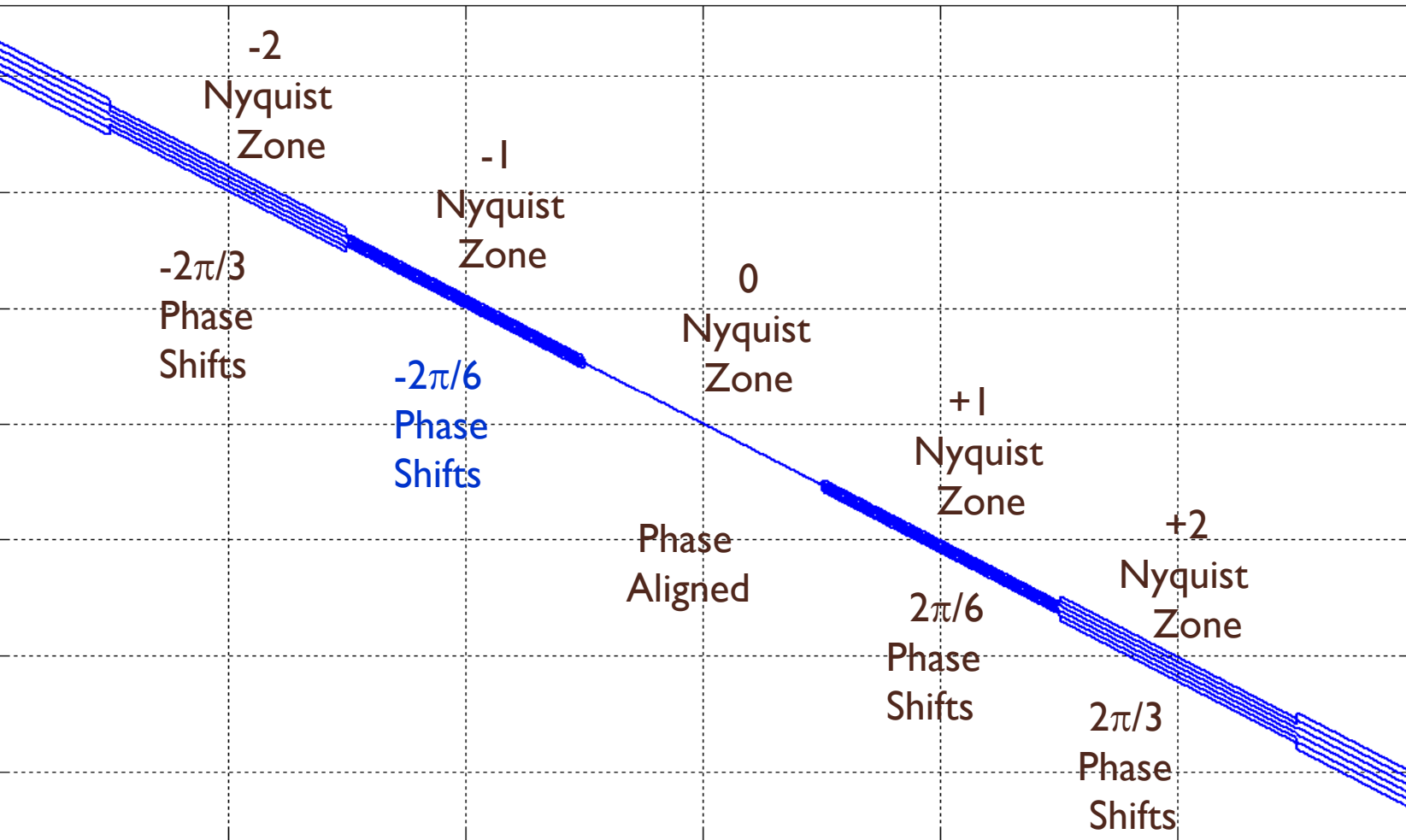


Phase, Zero Packed Filter 5



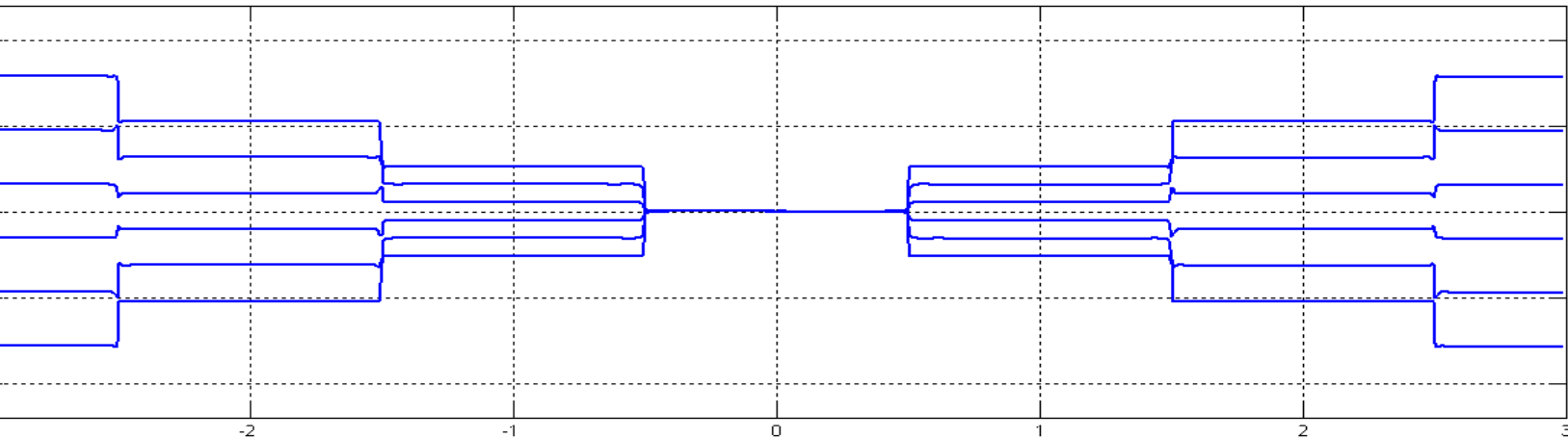
Overlay Phase Response of 6-Path Polyphase Partition Prior to 6-to-1 Resampling

Phase Profiles, Zero Packed Filters (0-5)

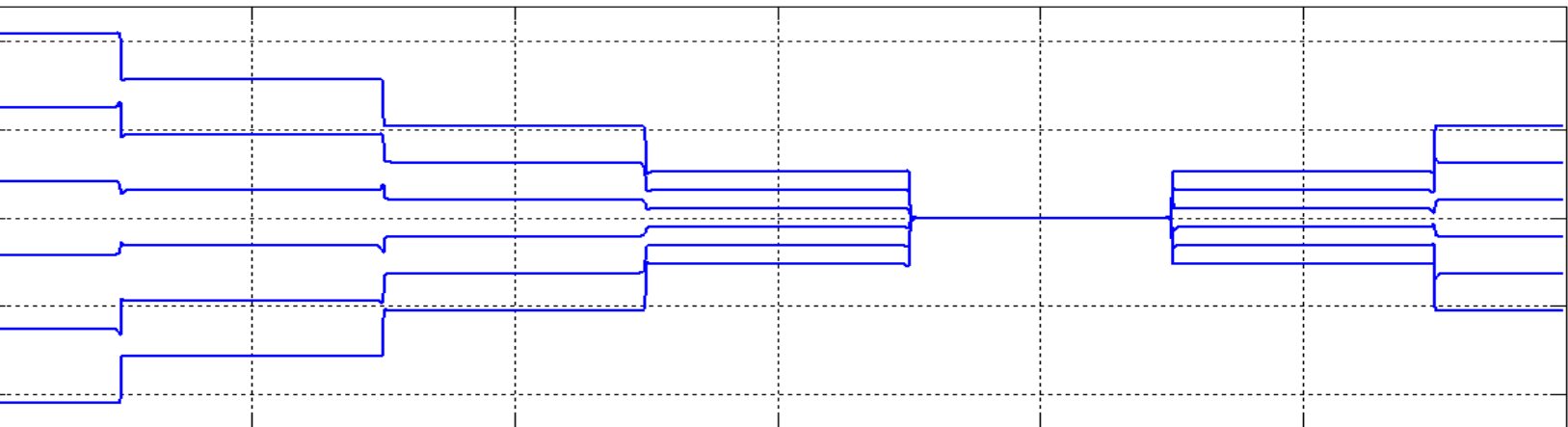


De-Trended Overlay Phase Response: 6-Path Partition Prior to 6-to-1 Resampling

Phase Profiles Non-Causal Sub-Filters (0-5)

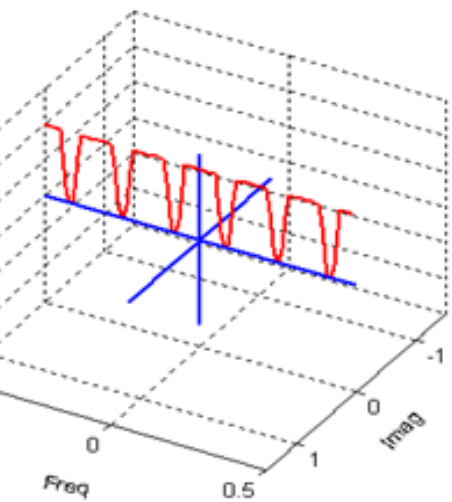


Phase Profiles Non-Causal Phase Rotated sub-filters (0-5)

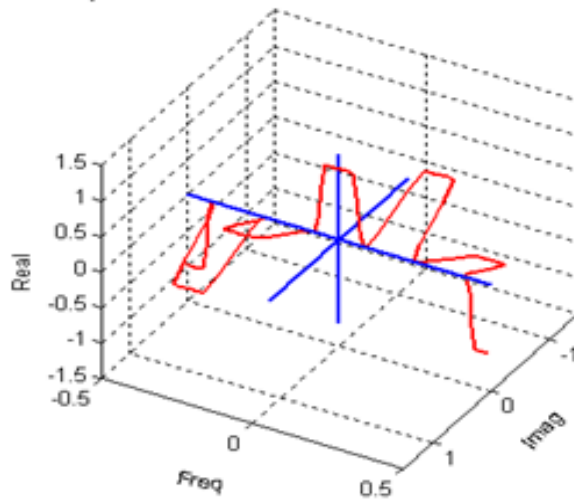


3-D Paddle-Wheel Phase Profiles, 6-Path Partition Prior to 6-to-1 Resampling

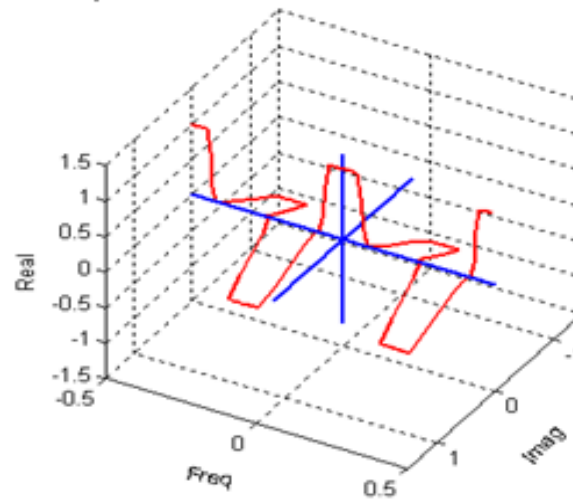
Central Phase Profile for sub-filter 0



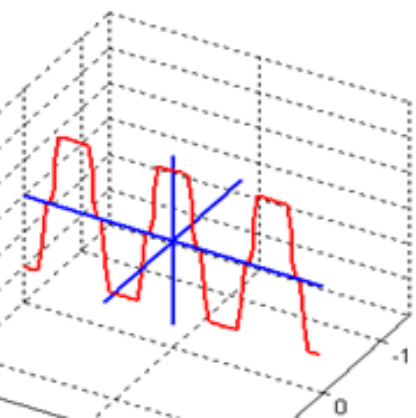
Spectral Phase Profile for sub-filter 1



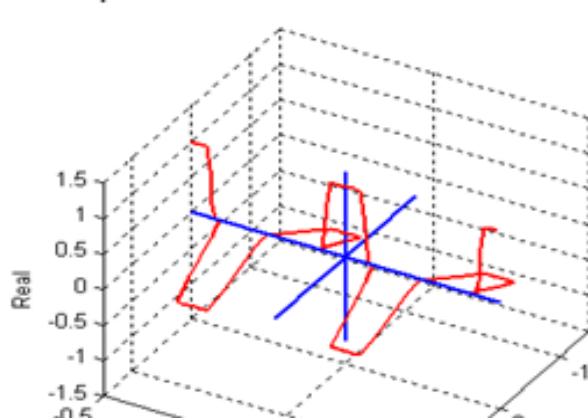
Spectral Phase Profile for sub-filter 2



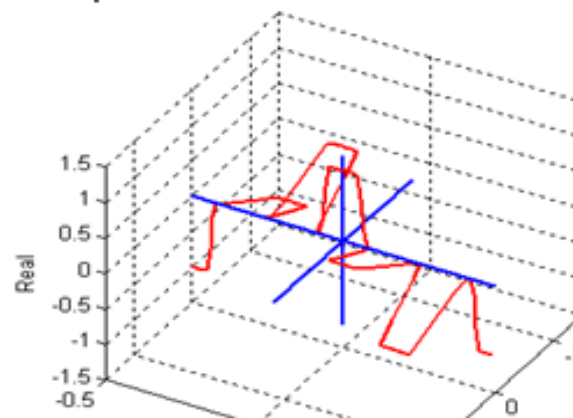
Central Phase Profile for sub-filter 3



Spectral Phase Profile for sub-filter 4

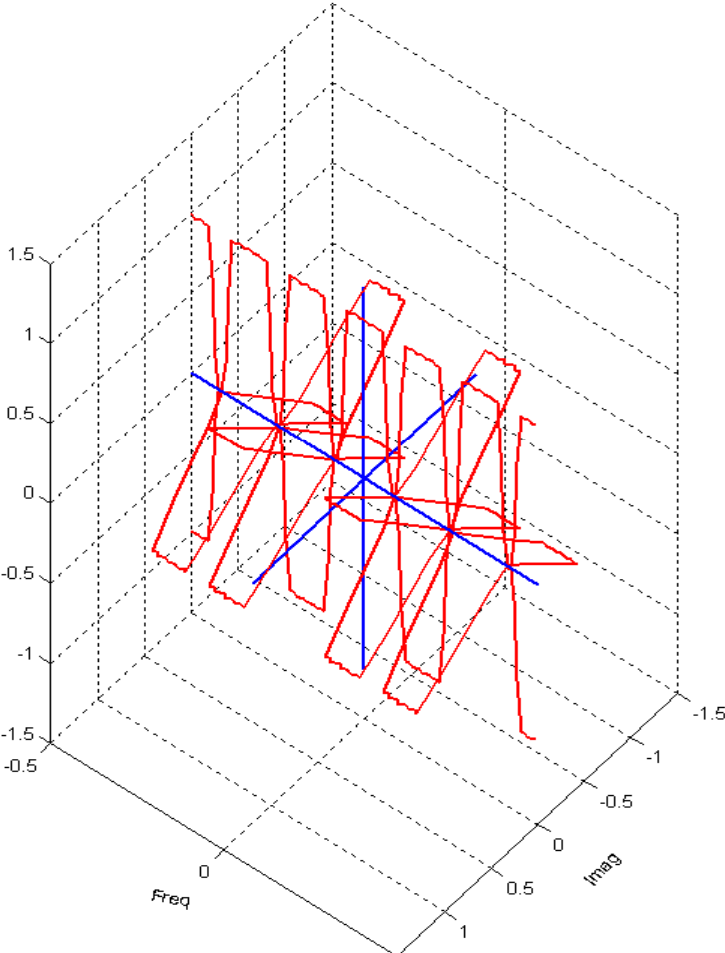


Spectral Phase Profile for sub-filter 5

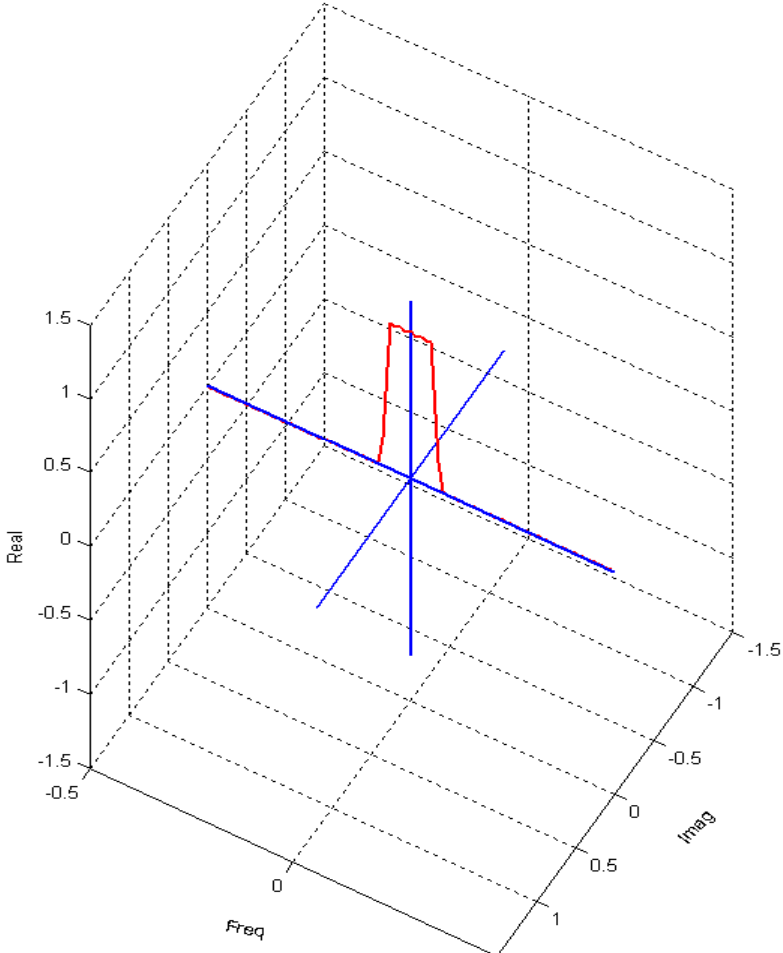


Overlay 3-D Paddle-Wheel Phase Profiles, 6-Path Partition Prior to 6-to-1 Resampling

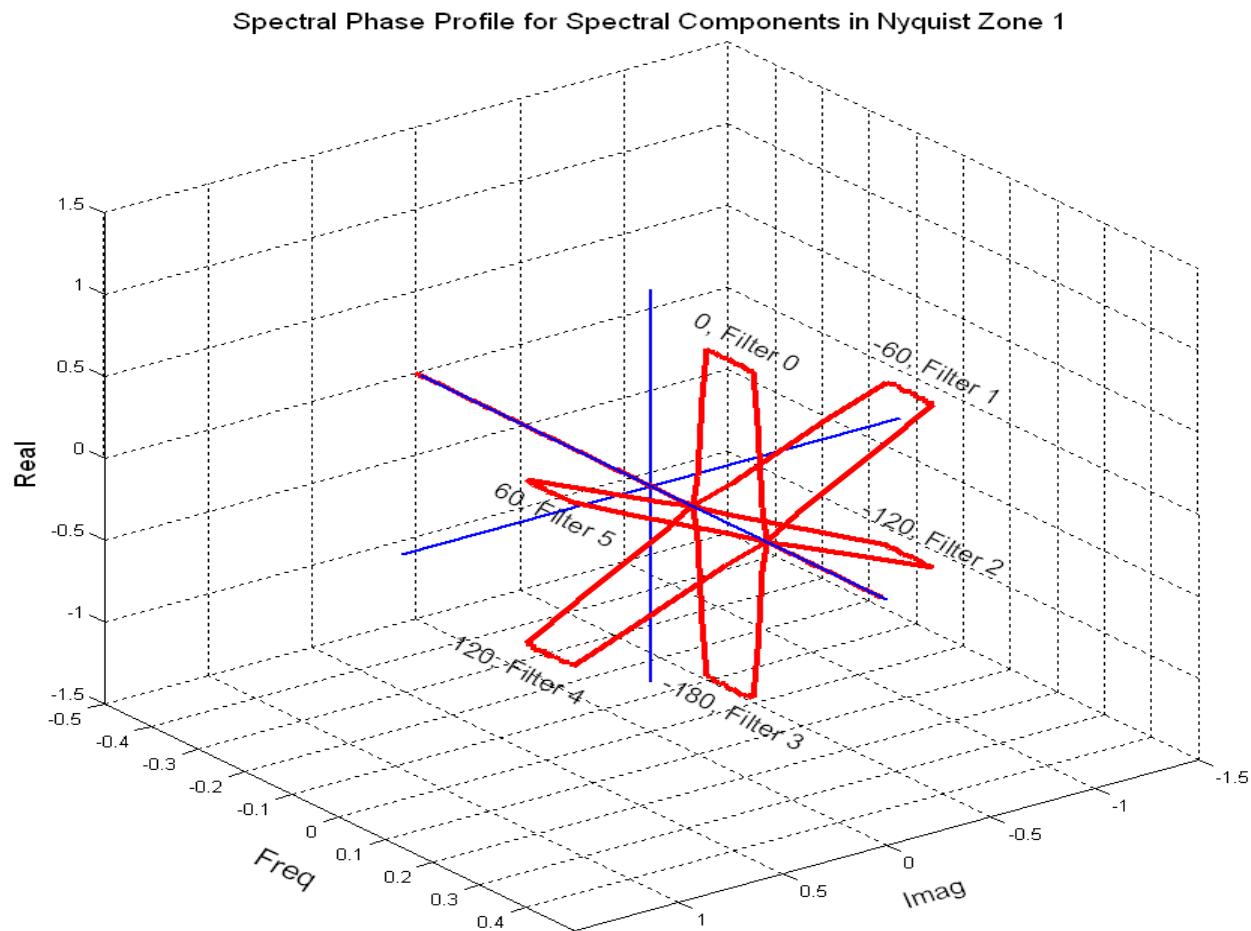
Spectral Phase Profile for sub-filter 0



Spectral Phase Profile for sub-filter 0

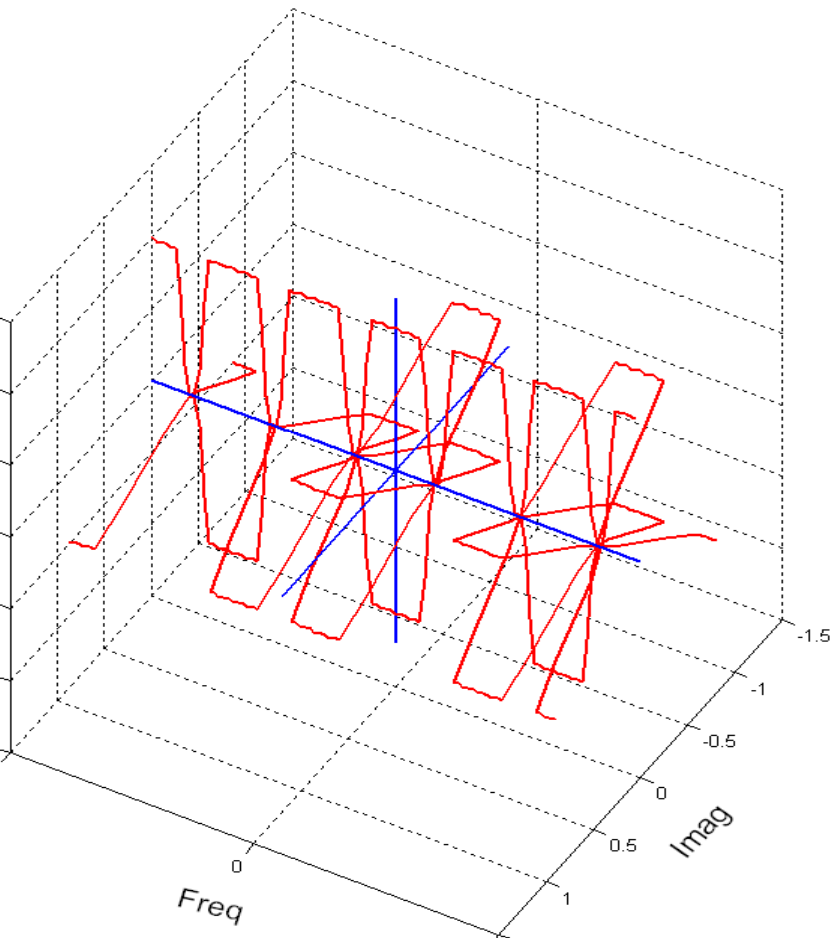


Overlay 3-D Paddle-Wheel Phase Profiles, Showing Phase Shifts in +I Nyquist Zone

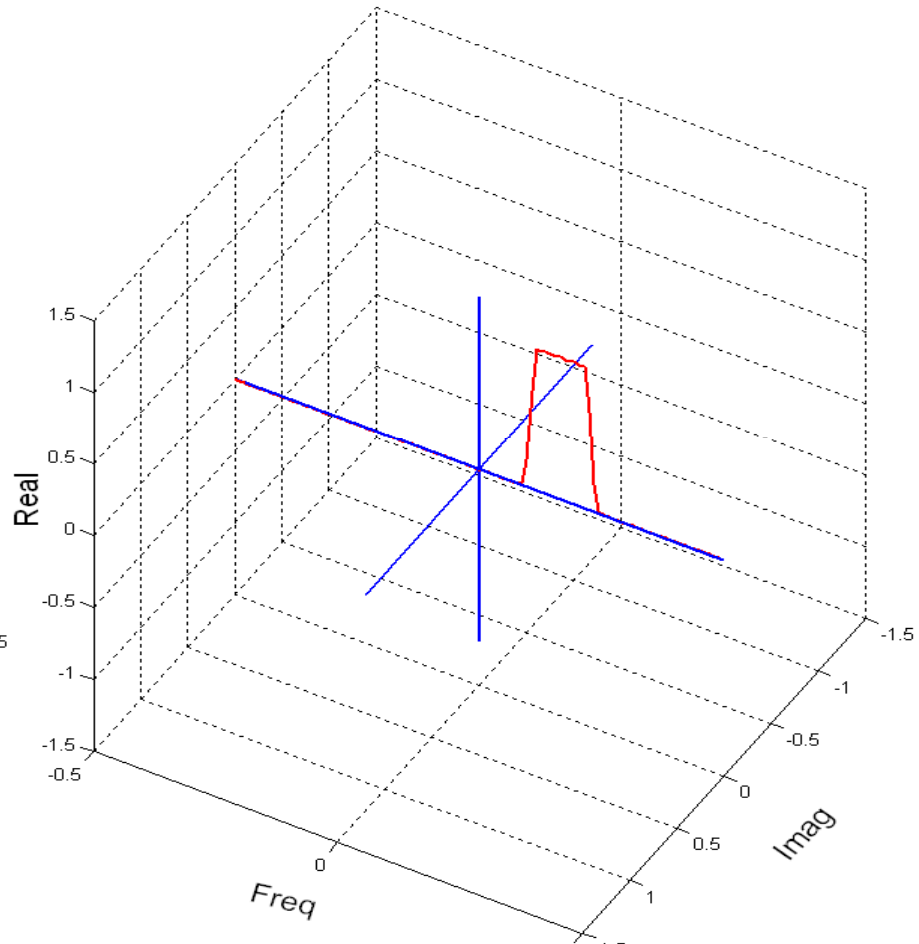


Overlay 3-D Paddle-Wheel Phase Profiles, Phase Shifted to Align Phases in +1 Nyquist Zone

Spectral Phase Profile for sub-filter 1



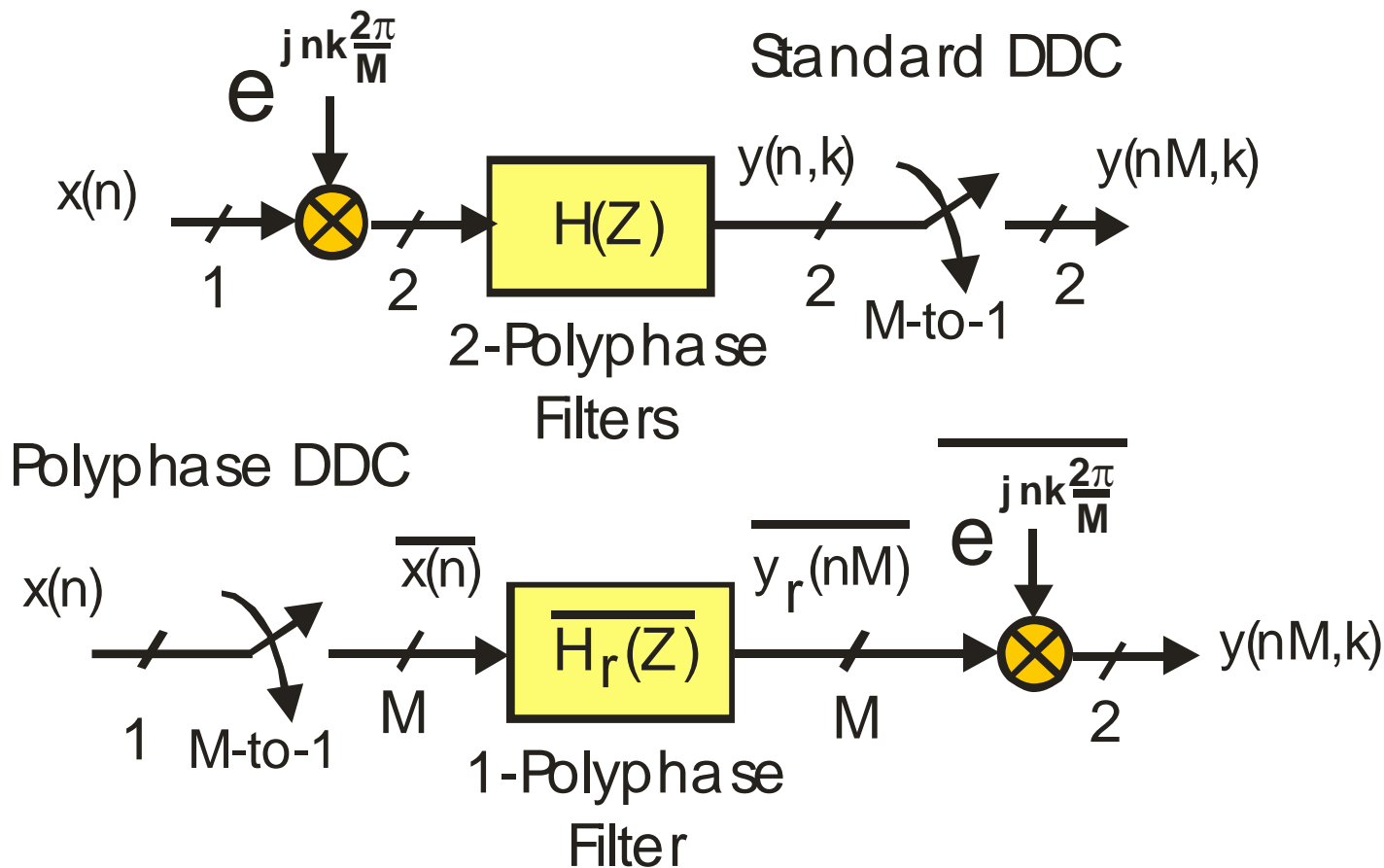
Spectral Phase Profile for sub-filter 1



MATLAB DEMO-1

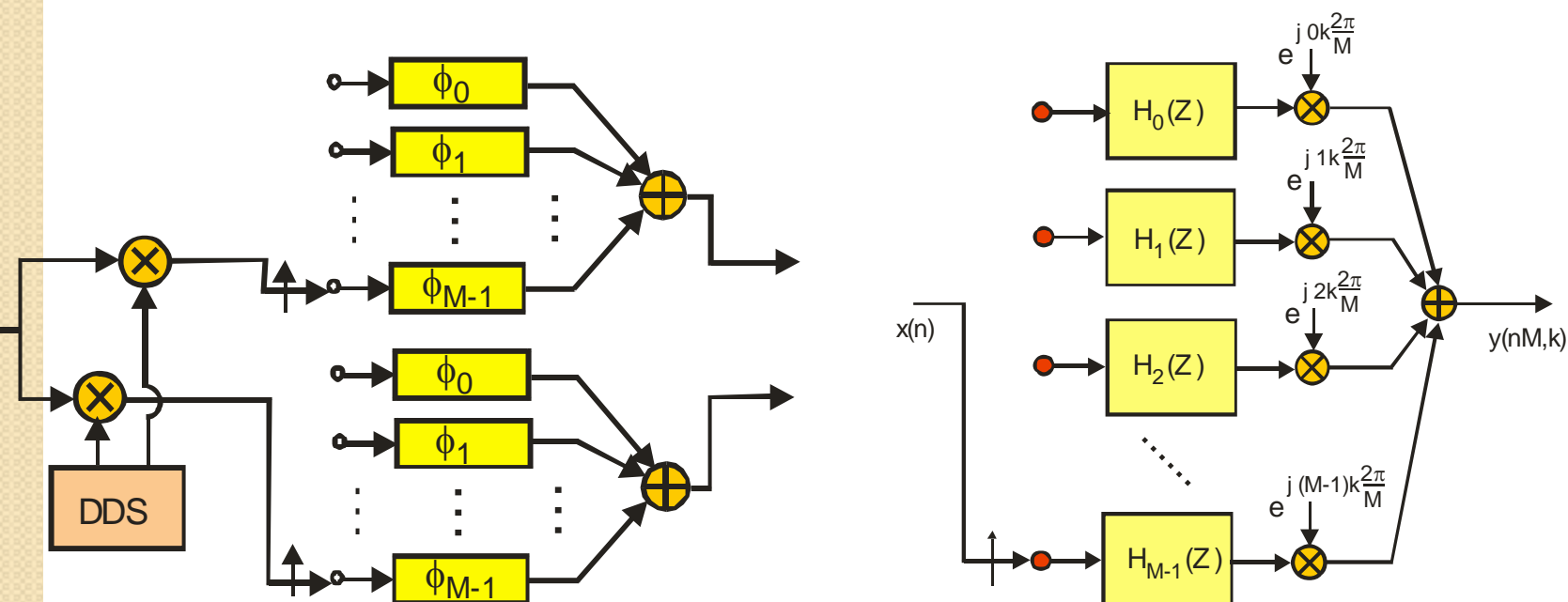
PolyChanDemo

Single Channel Armstrong and Multirate Aliased Polyphase Receiver

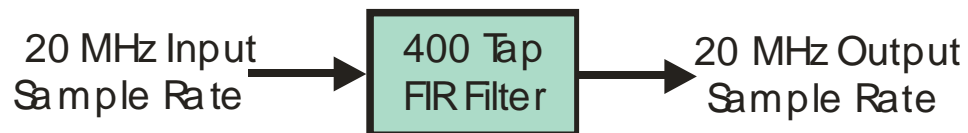
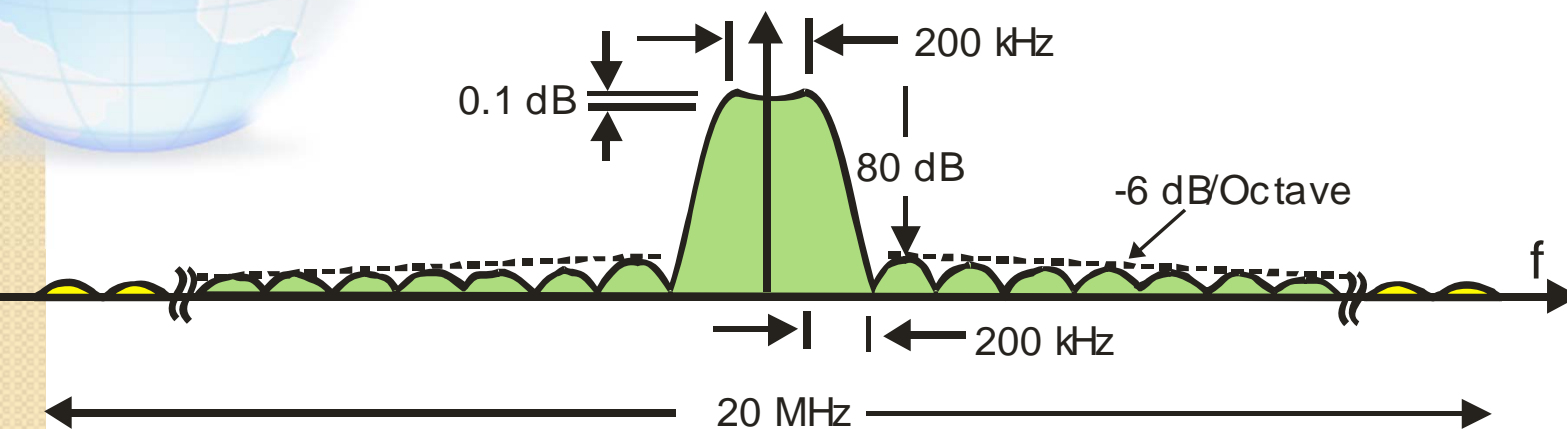


Input Down Conversion to Output of Filter Where it
 Vanishes Due to Down Sampling. Rotators in Filter Factor
 Out and are Applied to Path Outputs Rather than to
 Coefficients.

Advantage: Real sequence is made complex at output of
 Filter Rather than at Input to Filter

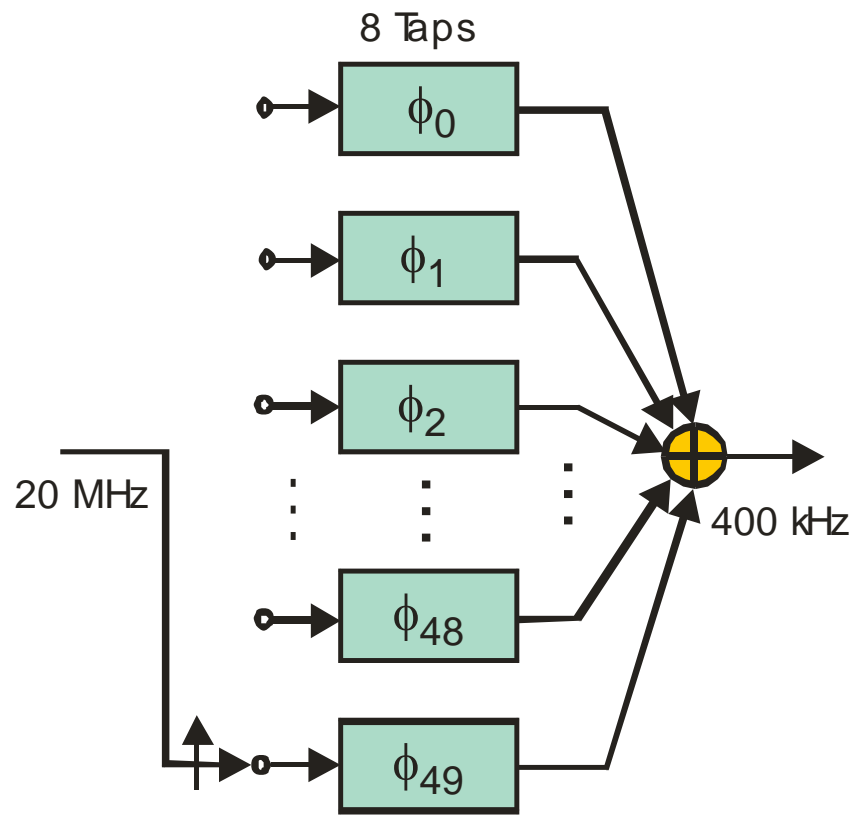
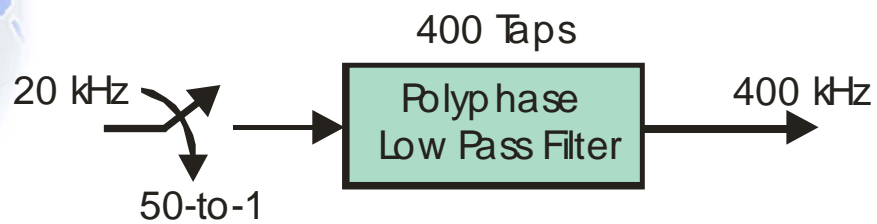


Bad Mismatch: Sample Rate Large Compared to Transition Bandwidth

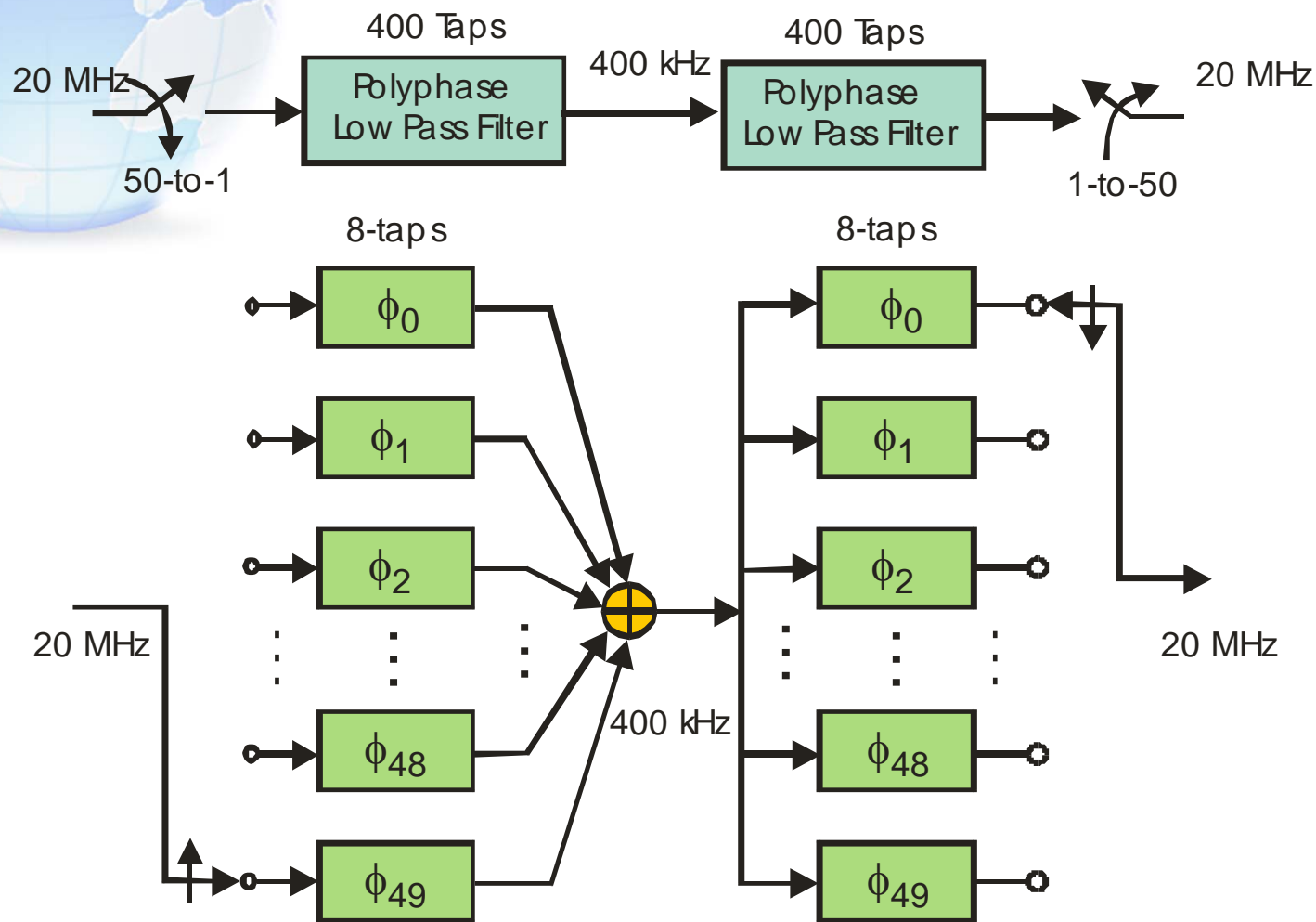


Nyquist Rate for Filter is
 $200 \text{ kHz} + 200 \text{ kHz} = 400 \text{ kHz}$ or $f_s/50$

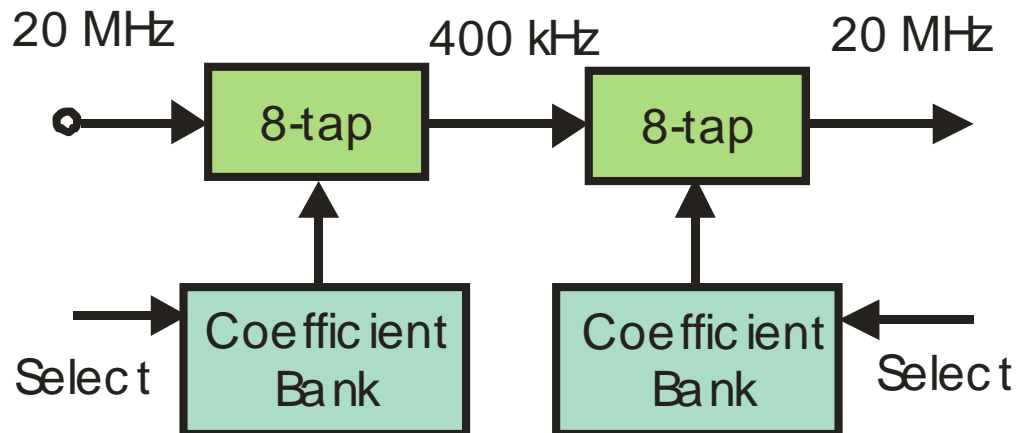
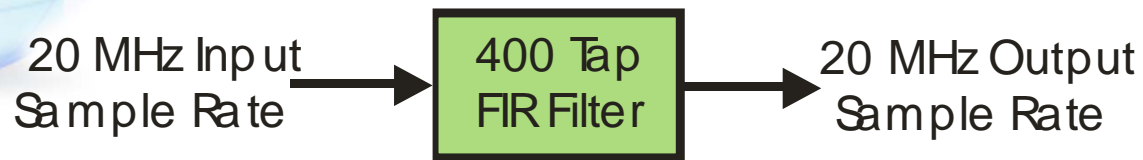
Polyphase Partition of Low-Pass Filter



Cascade Polyphase Filter Down-Sampling and Up-Sampling

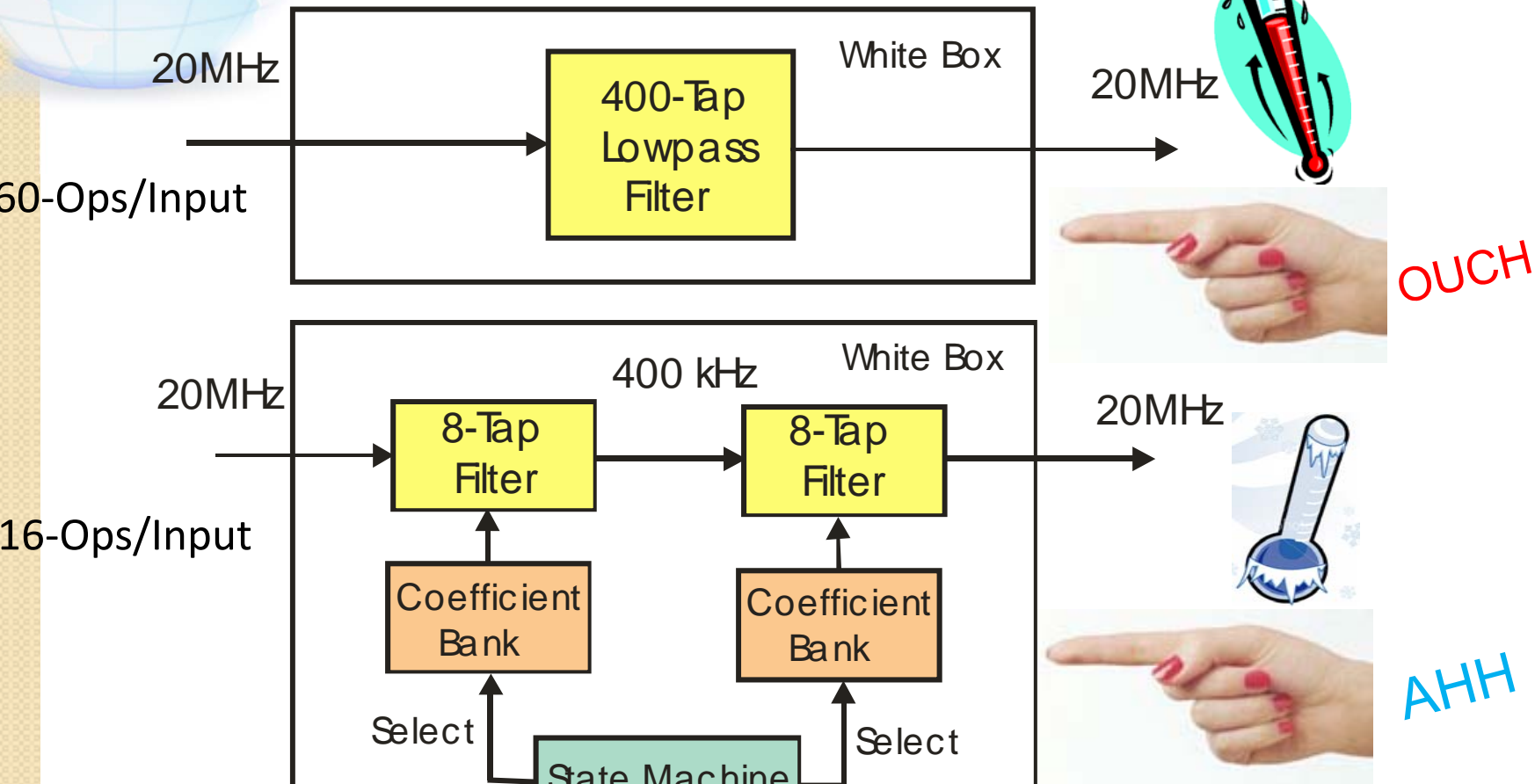


Efficient Polyphase Filter Implementation

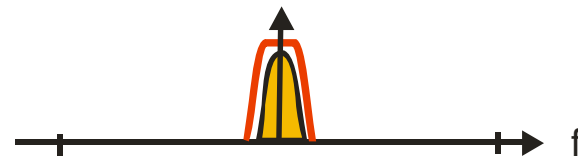
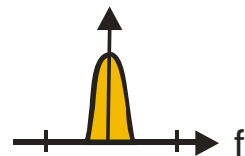
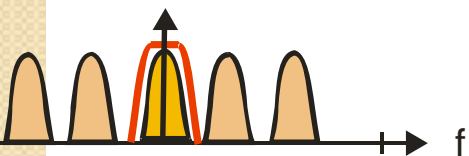
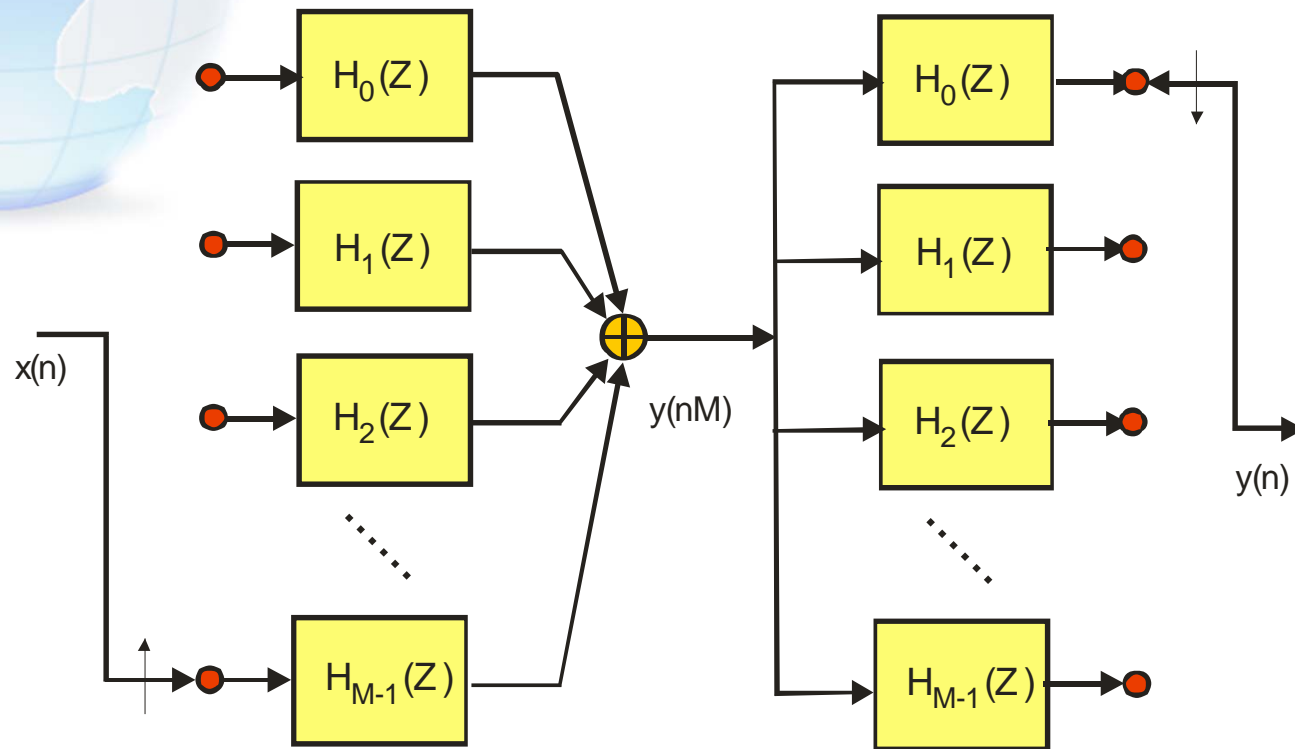


Two Processing in Boxes: How can you tell which is which from outside box?

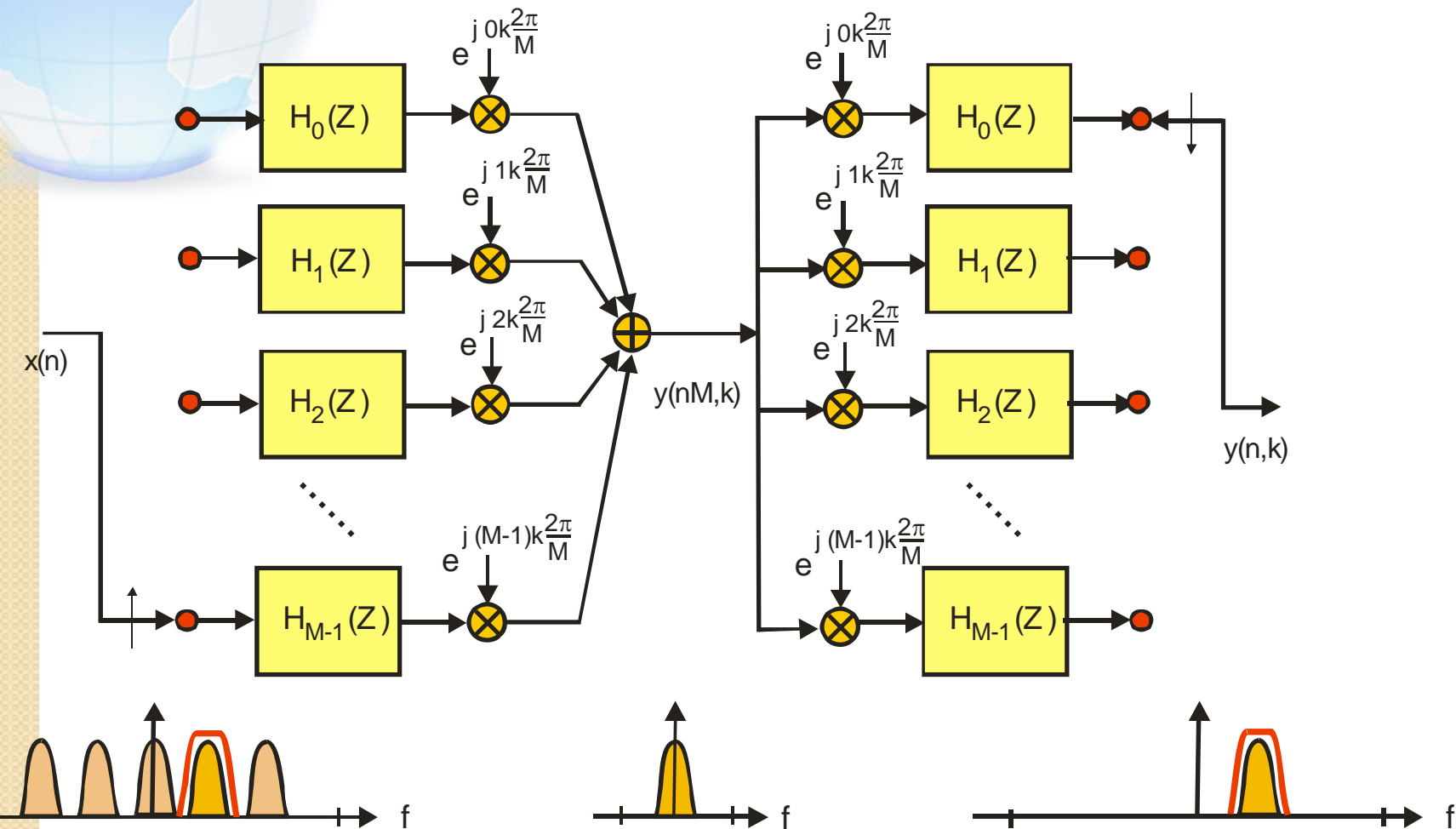
(The Wet Finger Test)



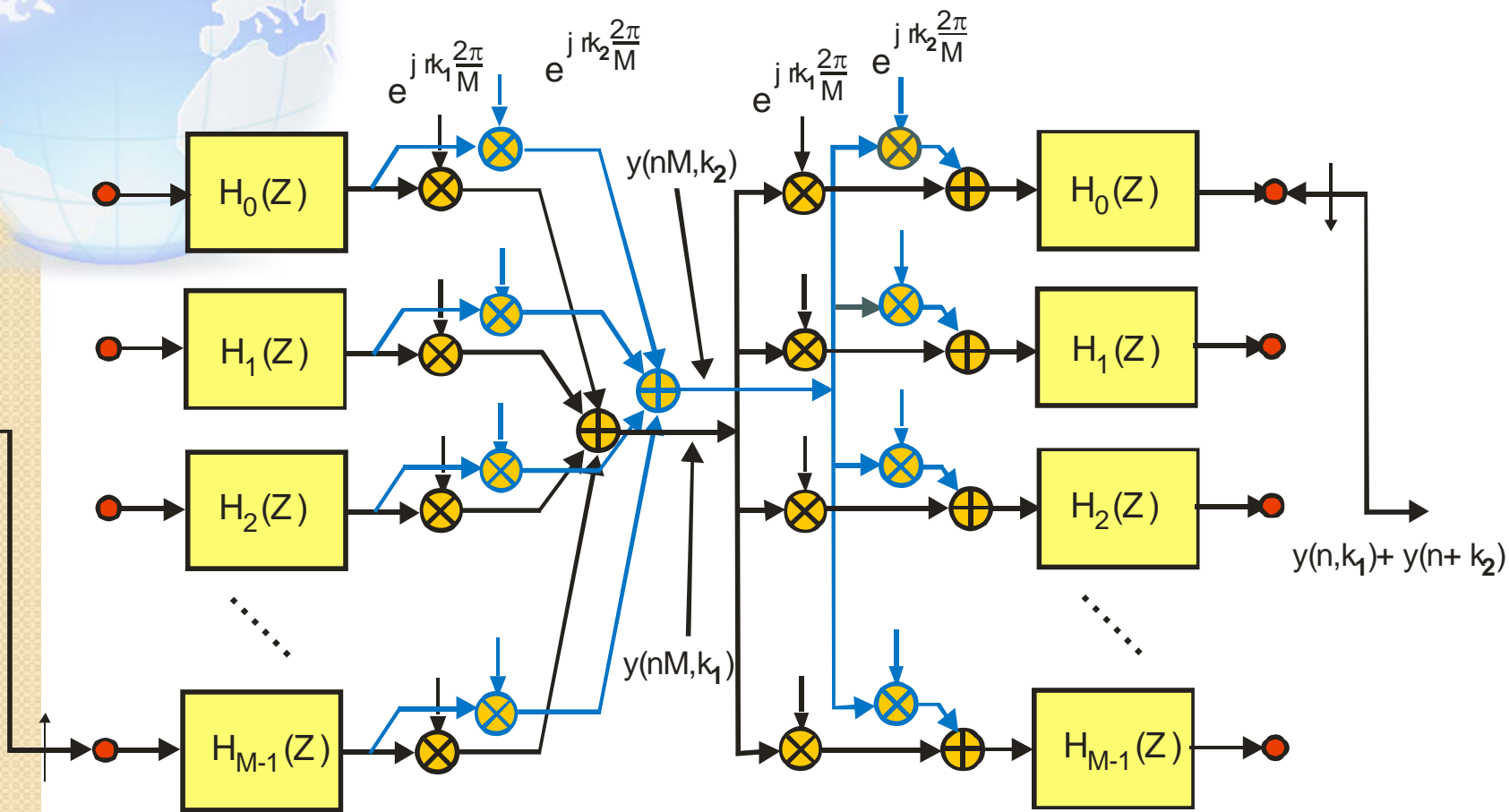
Polyphase Partition of Low-Pass Filter



Polyphase Partition of Band Pass Filter



Multirate Partition of Two Band Pass Filters



Workload for Multiple M-Path Filters

- 1-Channel M-to-1 Down Sample
 - 1-Filter and M Complex Phase Rotators
- 2-Channels M-to-1 Down Sample
 - 1-Filter and 2M Complex Phase Rotators
- K-Channels M-to-1 Down Sample
 - 1-Filter and kM Complex Phase Rotators
- M-channels M-to-1 Down Sample (use FFT)
 - 1-Filter and $[\log_2(M)/2]M$ Complex Phase Rotators

When $k > \log_2(M)/2$ Build all channels and discard the channels you don't need!

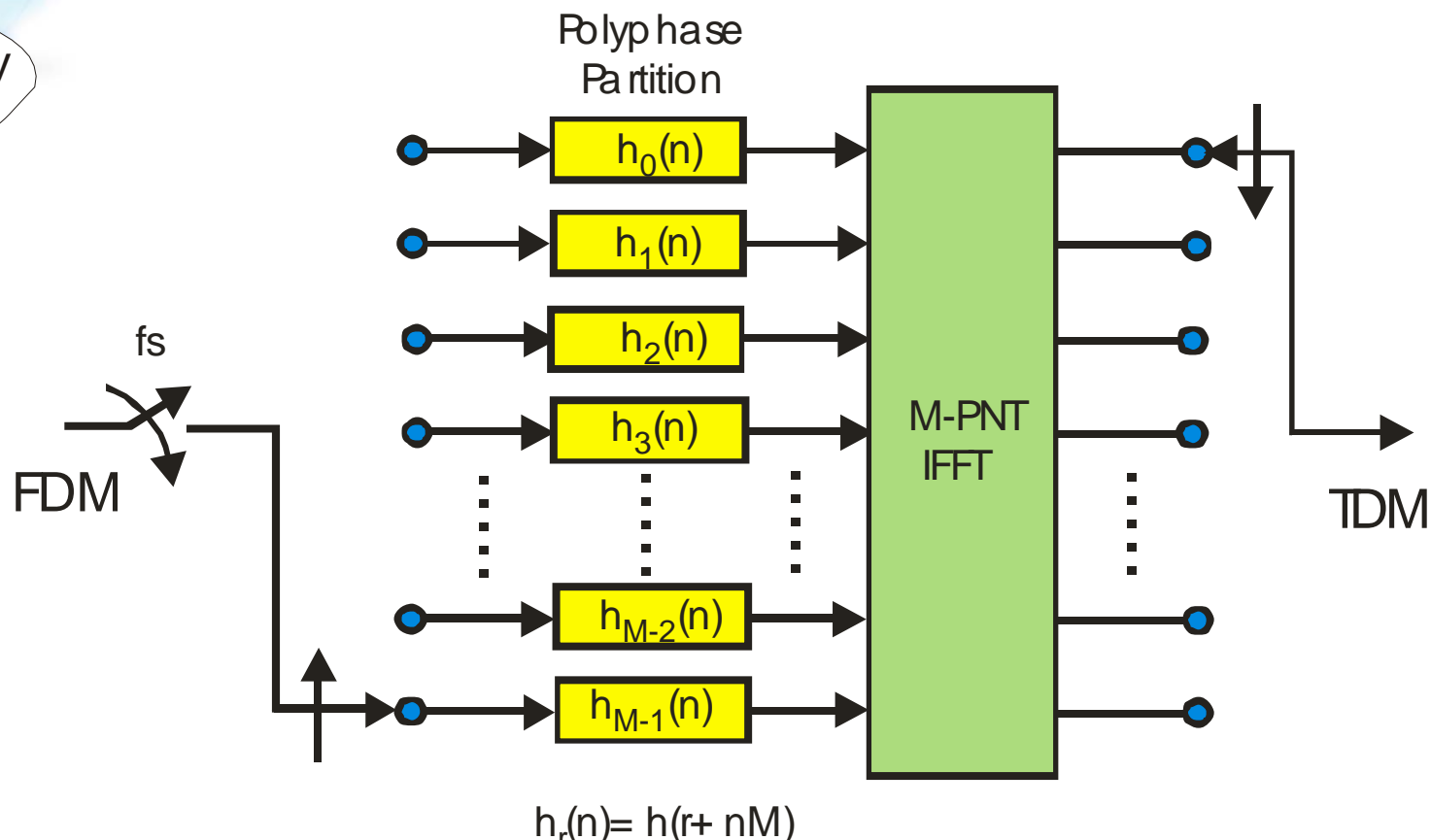
M=16, $\log_2(16)/2 = 2$: thus if you want 2 or more, Build them all!

M=128, $\log_2(128)/2 = 3.5$: thus if you want 4 or more, Build them all!

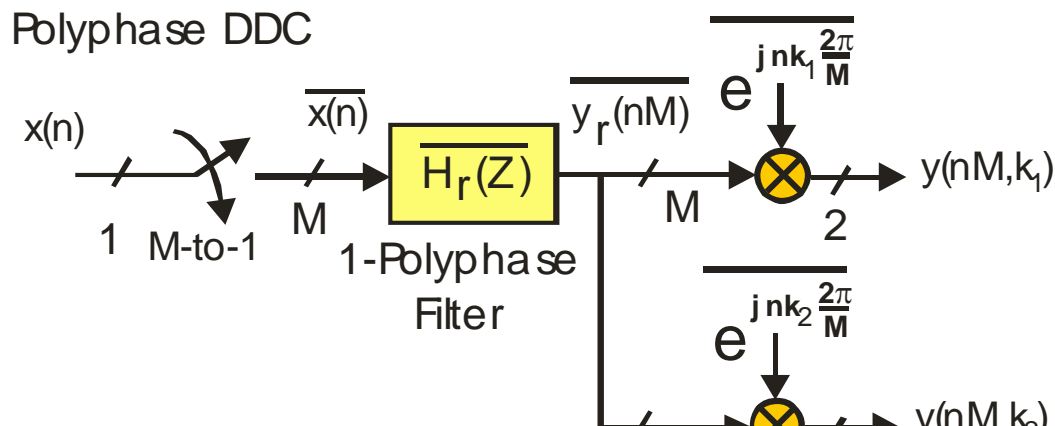
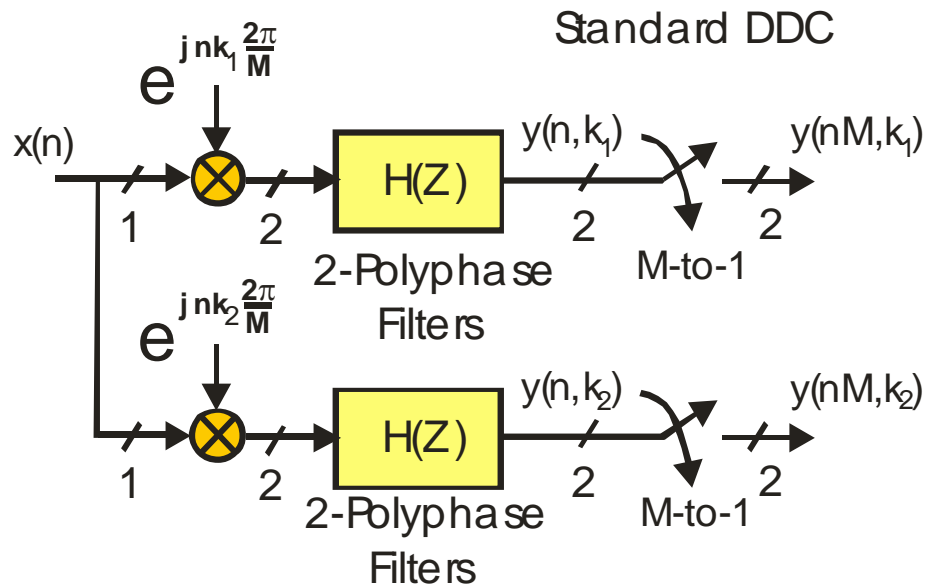
M=1024, $\log_2(1024)/2 = 5$: thus if you want 5 or more, Build them all!

M-Channel Channelizer: Resampled M-Path Narrowband Filter
 Channels Alias to Baseband: Phase Aligned Sums Separate Aliases:
 Work Performed at Low Output Rate Rather Than at High Input Rate.
 One Input Filter Services M-Output Channels

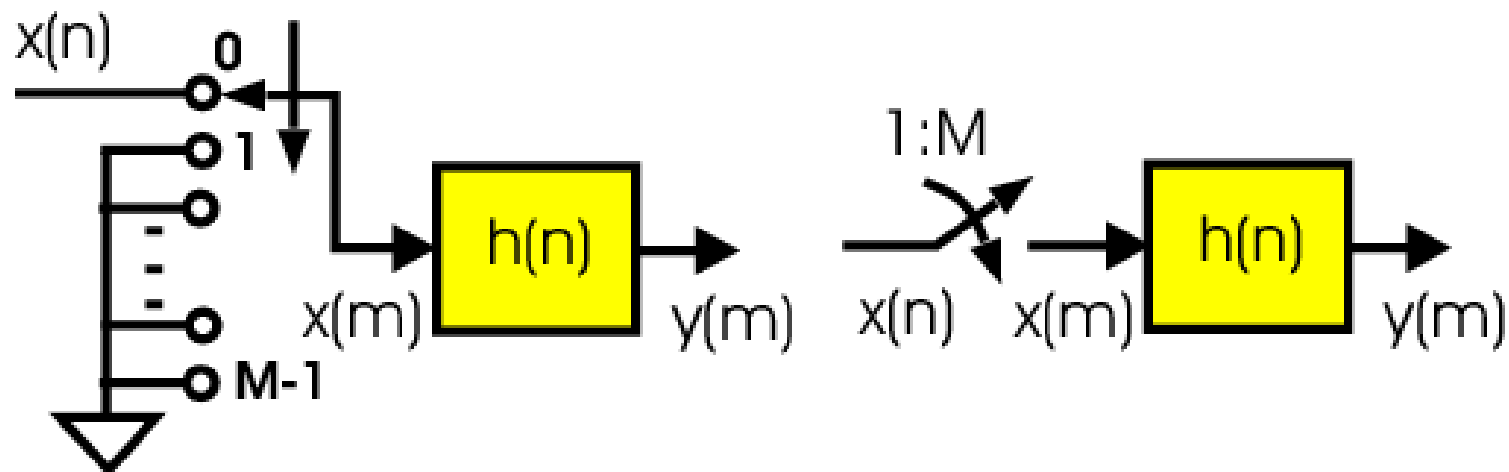
... this is very
 stuff....



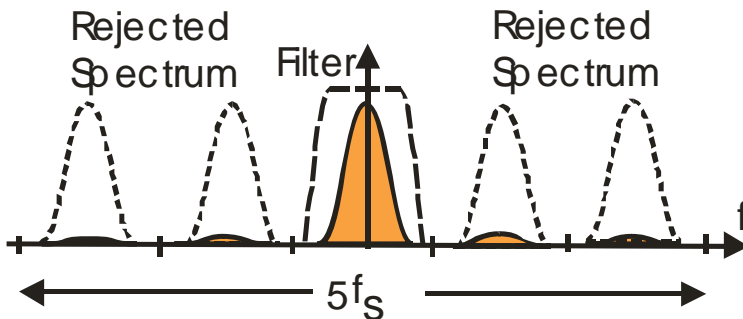
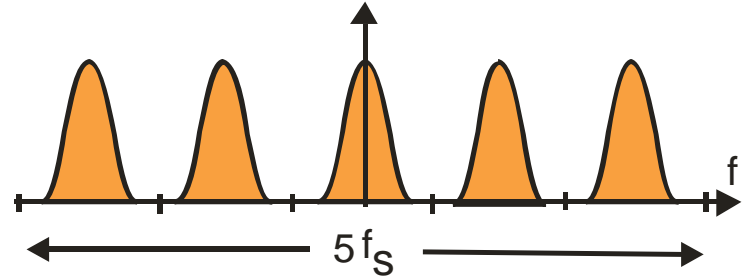
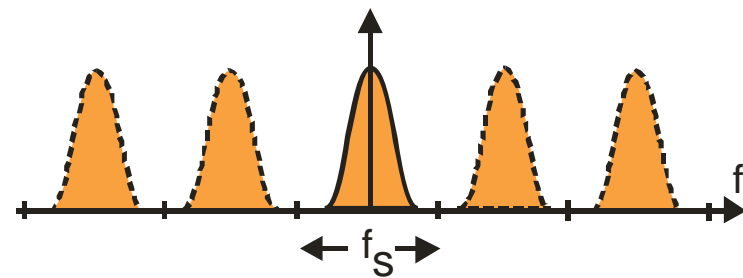
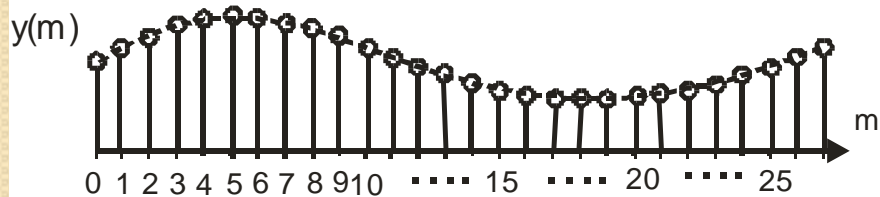
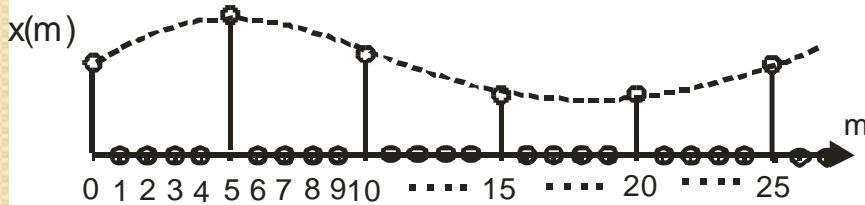
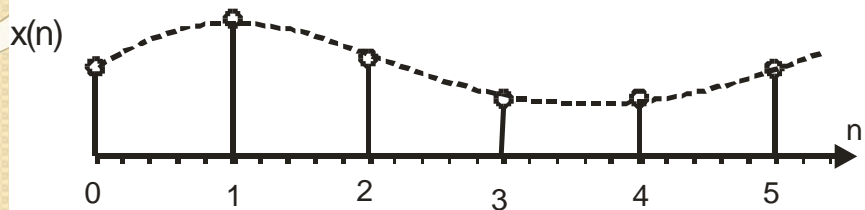
Dual Channel Armstrong and Multirate Aliased Polyphase Receiver



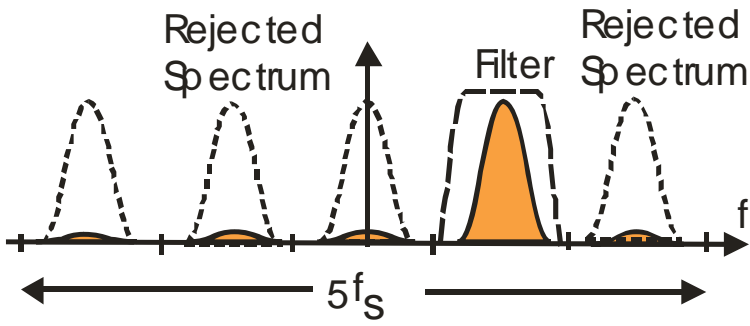
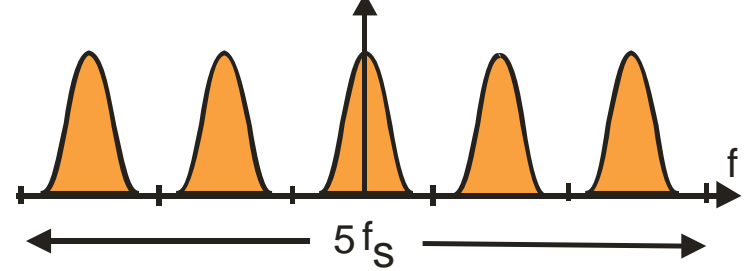
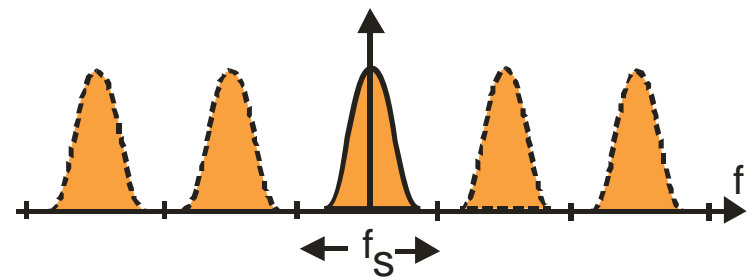
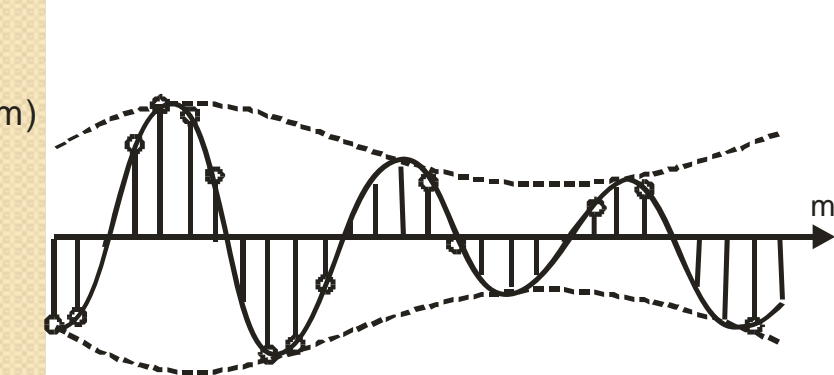
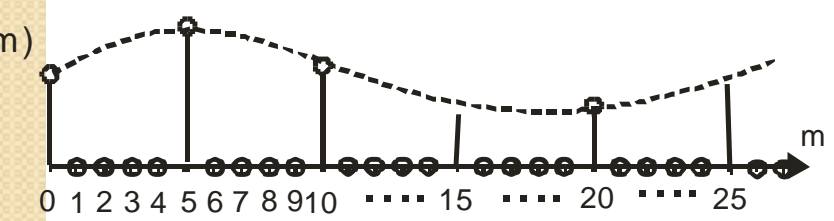
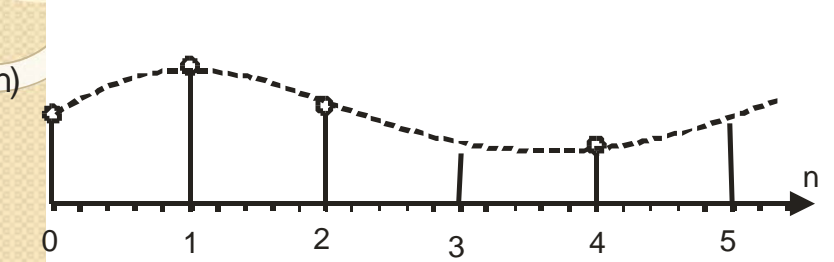
Up-sampling by Zero Packing and Filtering



Spectra Of Input, of Zero-Packed, and of Low-Pass Filtered Zero-Packed Signal

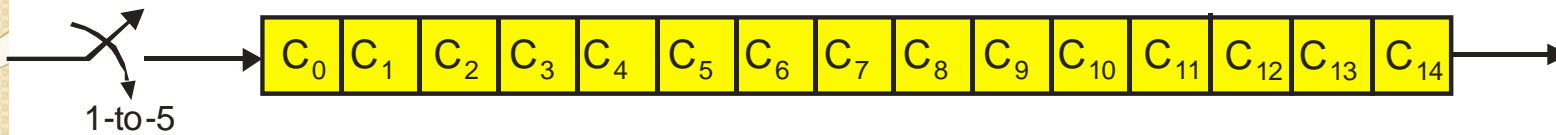


Spectra Of Input, of Zero-Packed, and of Band Pass Filtered Zero-Pack Signal

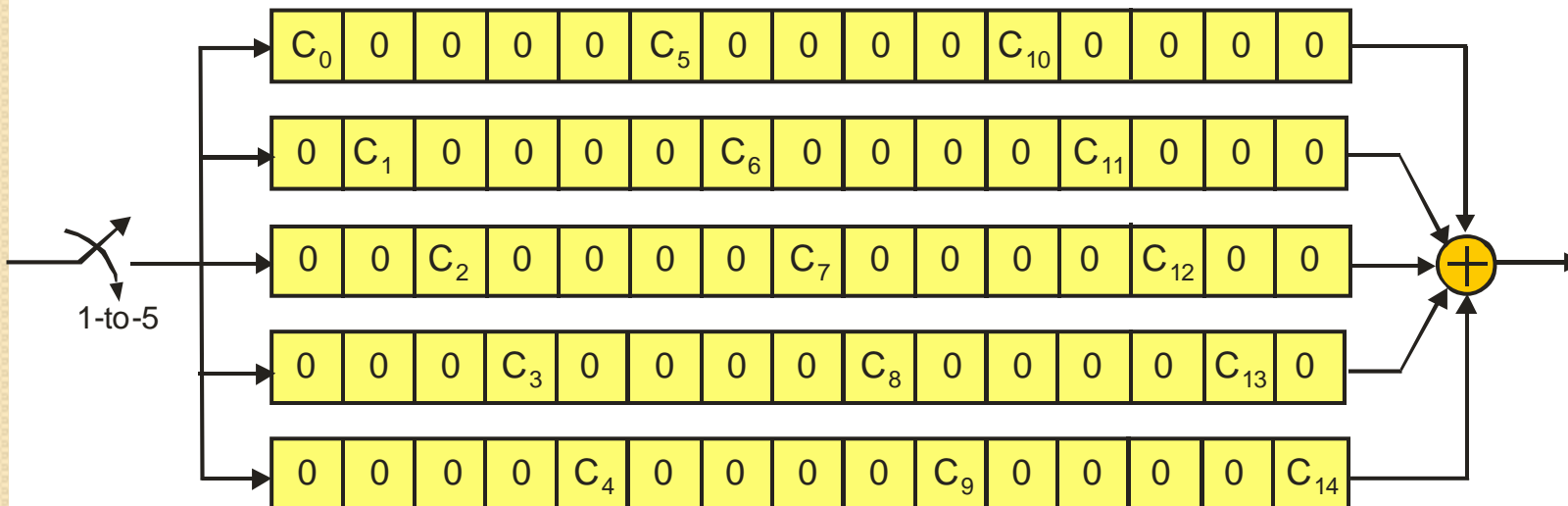


Polyphase Partition of Resampling Filter

$$H(Z) = \sum_{n=0}^{N-1} h(n)Z^{-n}$$

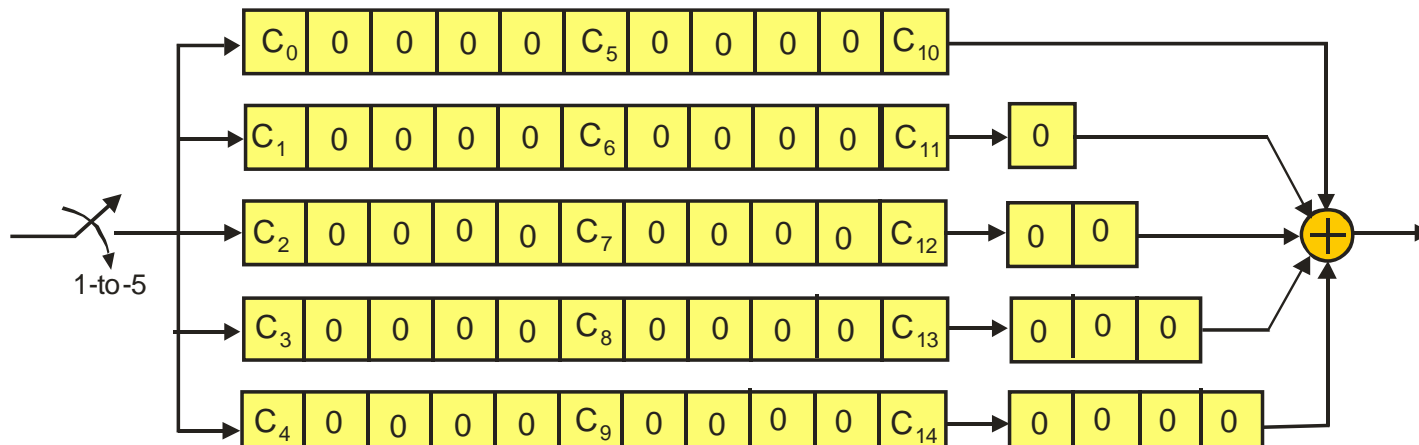
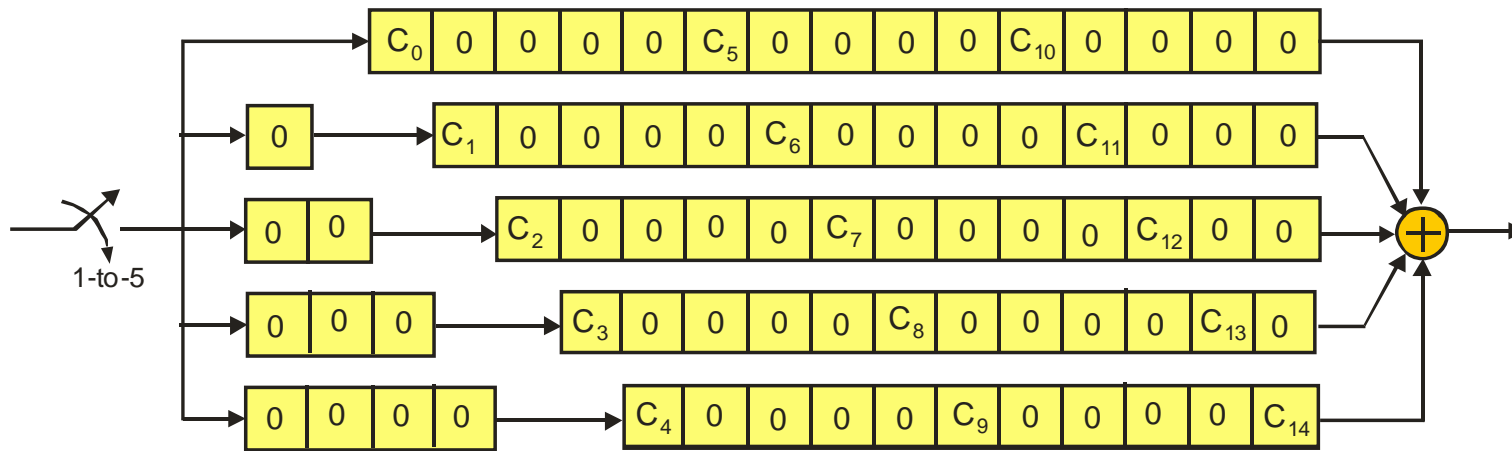


$$H(Z) = \sum_{r=0}^{M-1} \sum_{n=0}^{\frac{N}{M}-1} h(r+nM)Z^{-(r+nM)}$$



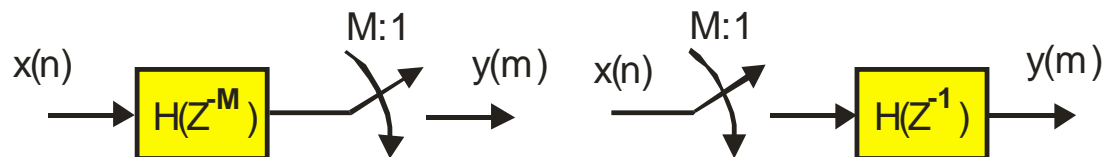
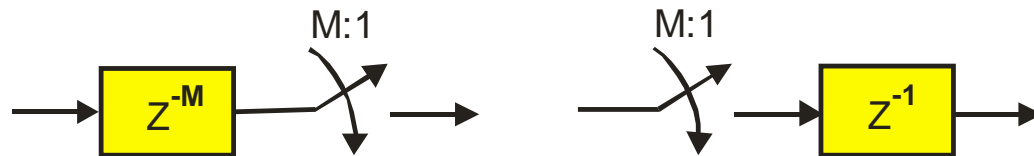
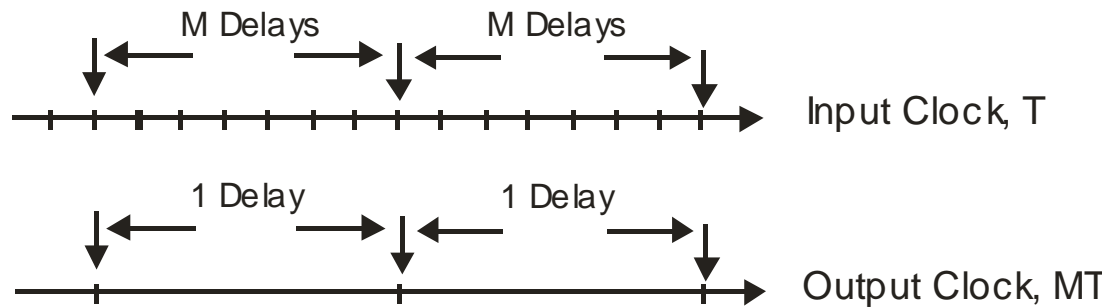
Factor Delays and Rearrange

$$H(Z) = \sum_{r=0}^{M-1} Z^{-r} \sum_{n=0}^{\frac{N}{M}-1} h(r+nM) Z^{-nM}$$

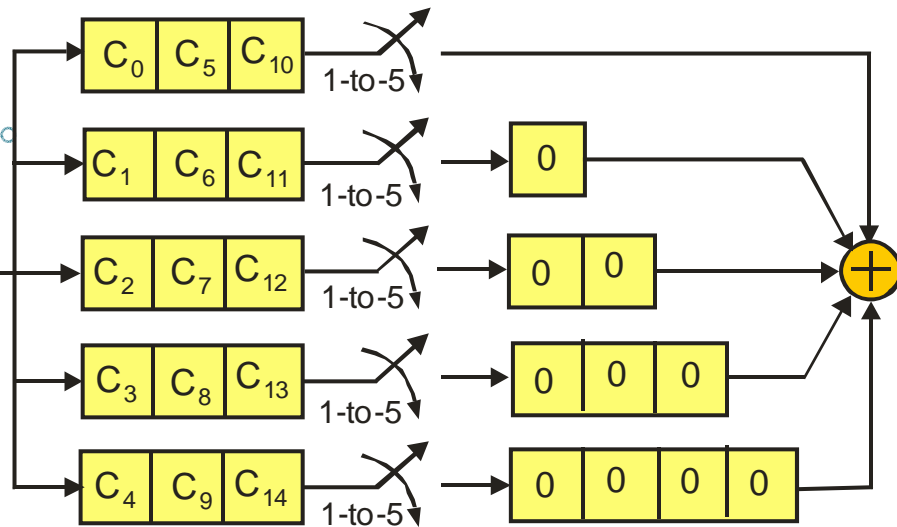


Noble Identity:

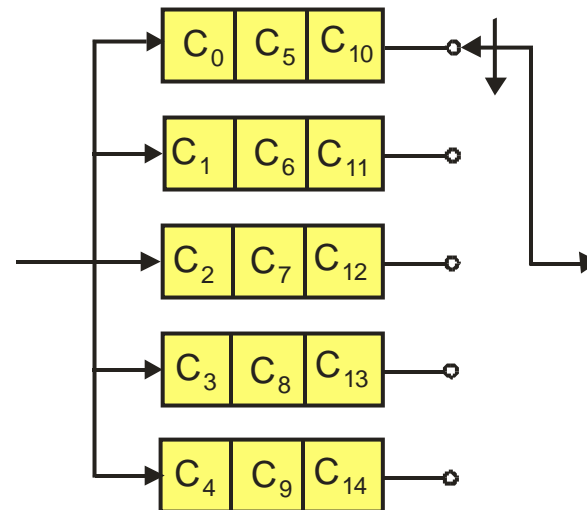
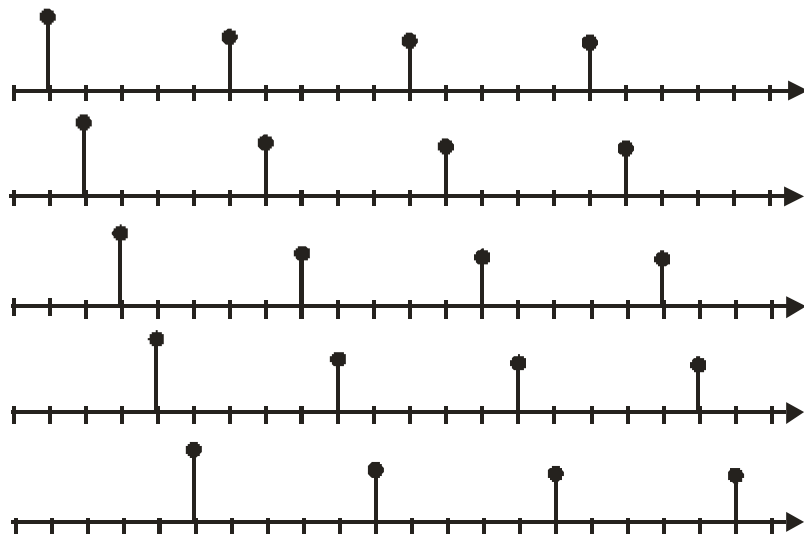
Interchange M-Delays with M-to-1 Resample



Interchange Filter and Resampler



Replace Up Samplers,
Delays, and Summer
with M-Port Output
Commutator



Low-Pass Replaced by Band-Pass

$$G(Z) = \sum_{n=0}^{N-1} h(n) e^{j\frac{2\pi}{M}kn} Z^{-n}$$

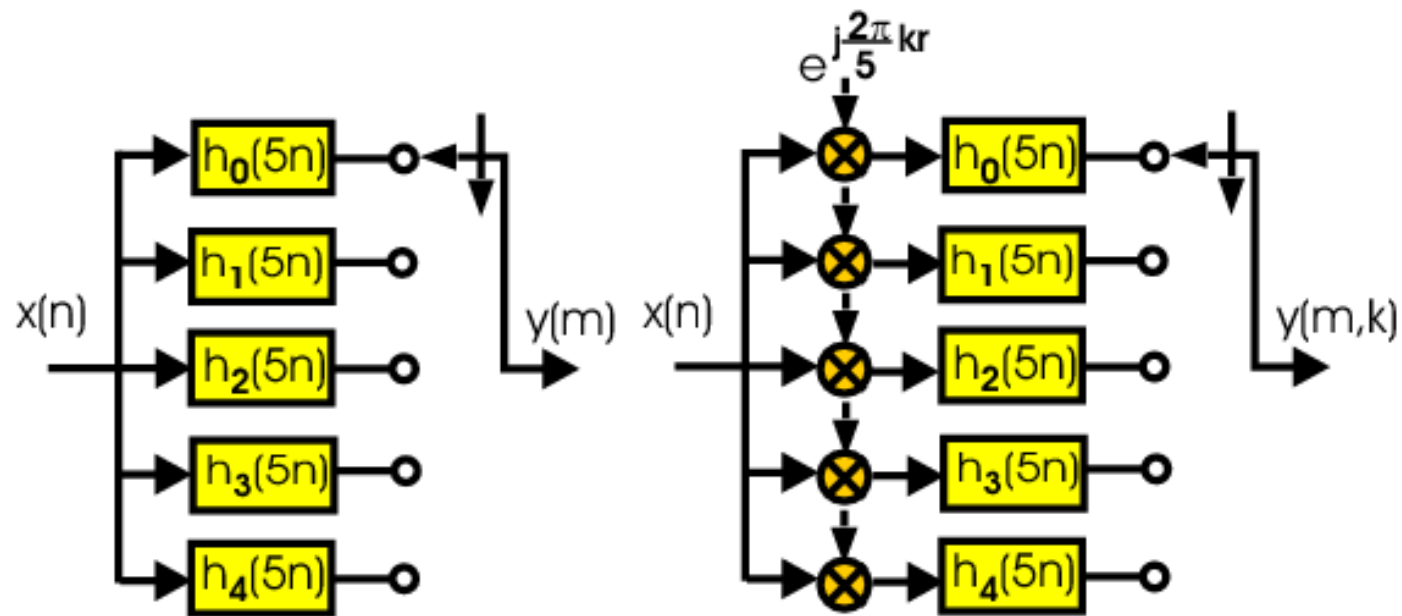
$$G(Z) = \sum_{r=0}^{M-1} \sum_{n=0}^{\frac{N}{M}-1} h(r+nM) e^{j\frac{2\pi}{M}(r+nM)k} Z^{-(r+nM)}$$

$$G(Z) = \sum_{r=0}^{M-1} Z^{-r} e^{j\frac{2\pi}{M}rk} \sum_{n=0}^{\frac{N}{M}-1} h(r+nM) e^{j\frac{2\pi}{M}nM} Z^{-nM}$$

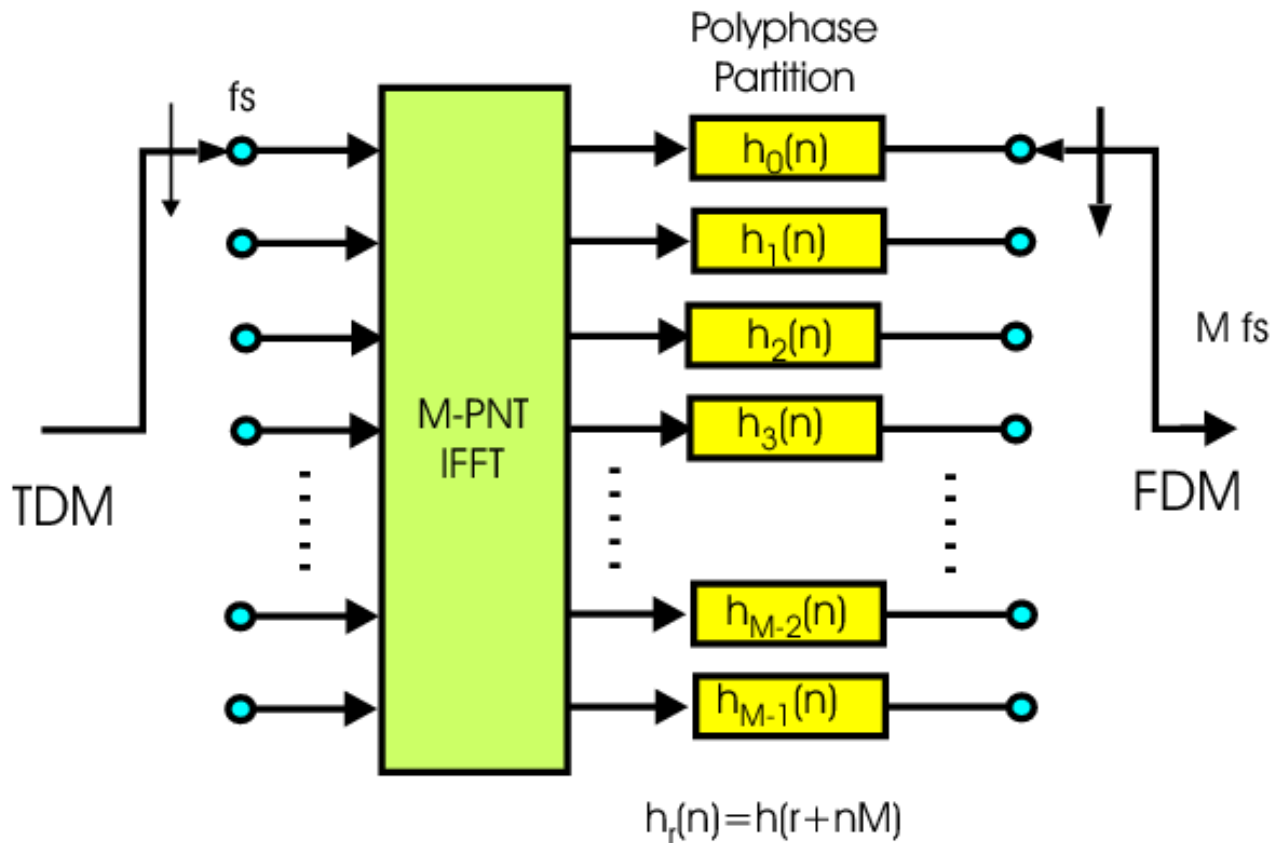
$$G(Z) = \sum_{r=0}^{M-1} Z^{-r} e^{j\frac{2\pi}{M}rk} \sum_{n=0}^{\frac{N}{M}-1} h(r+nM) Z^{-nM}$$

Spin The Delays,
Don't Touch the M-Path Partitioned Weights

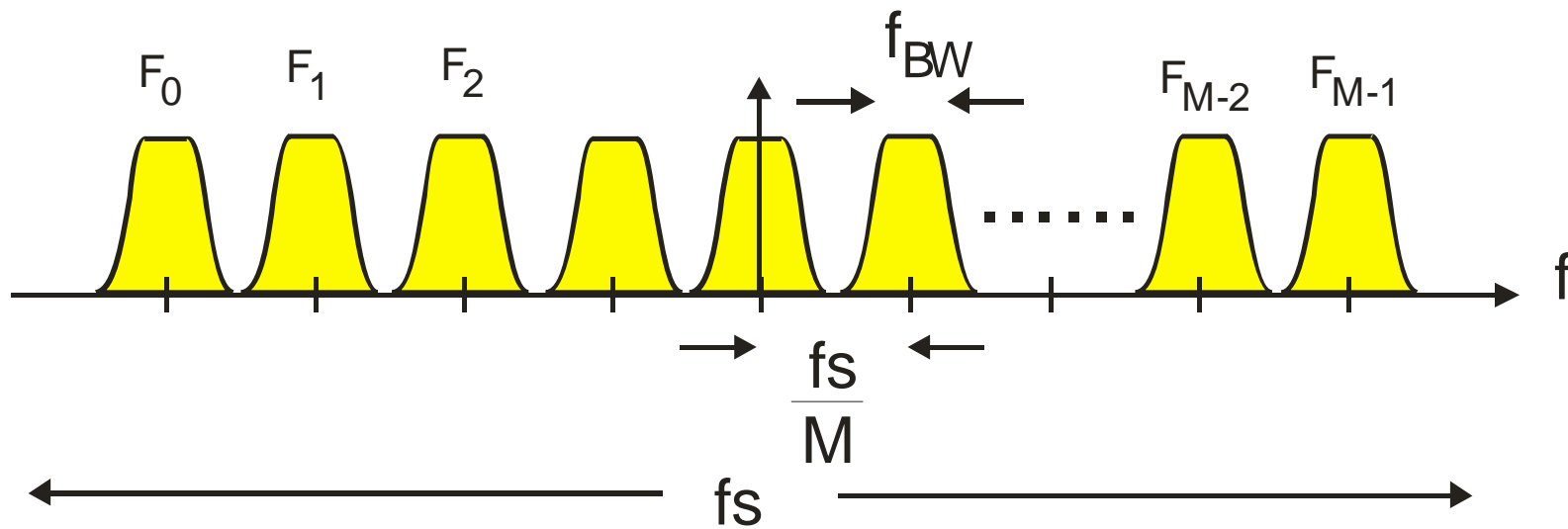
Low-Pass to Band-Pass I-to-M Up-Sampling Filter



M-Path, M-Channel Channelizer: Spinners are in IFFT



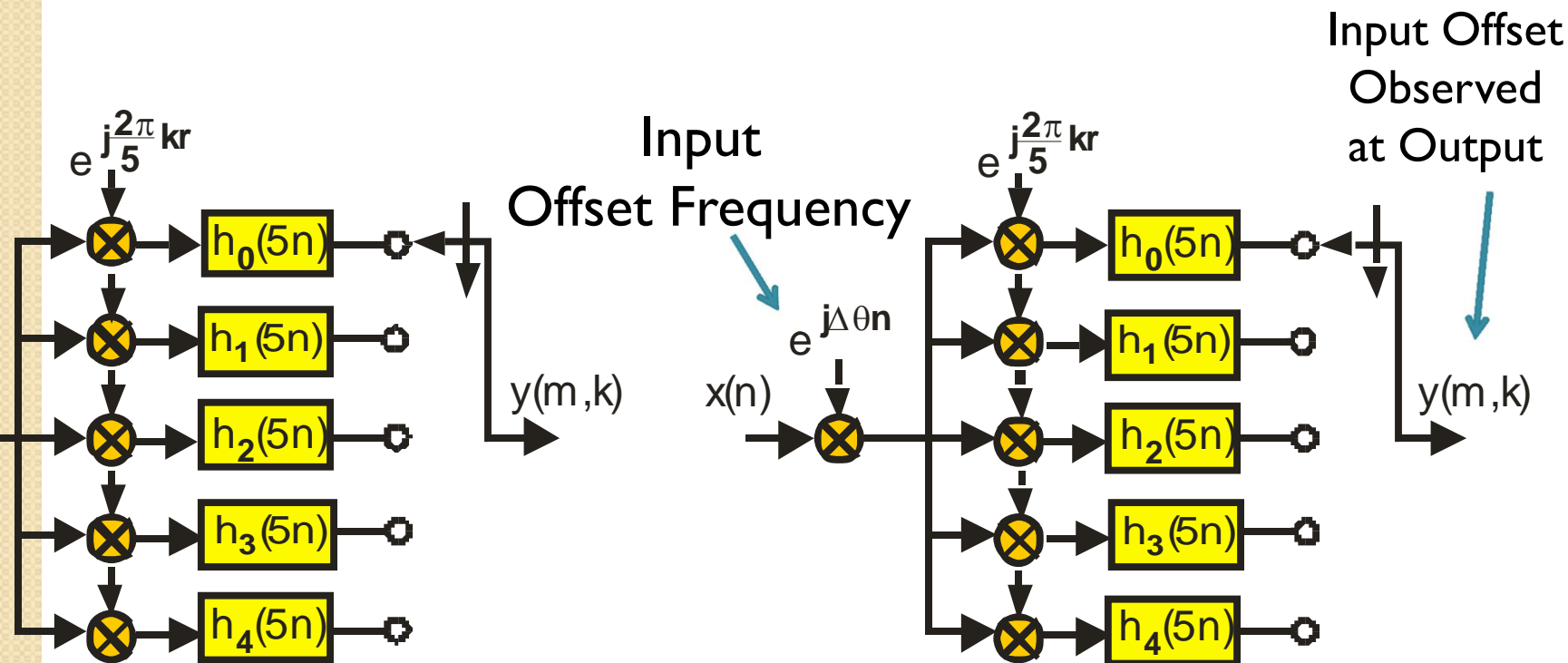
M-Point IFFT Supplies Phase Spinners to Form Up Converters to all Multiples of Input Sample Rate

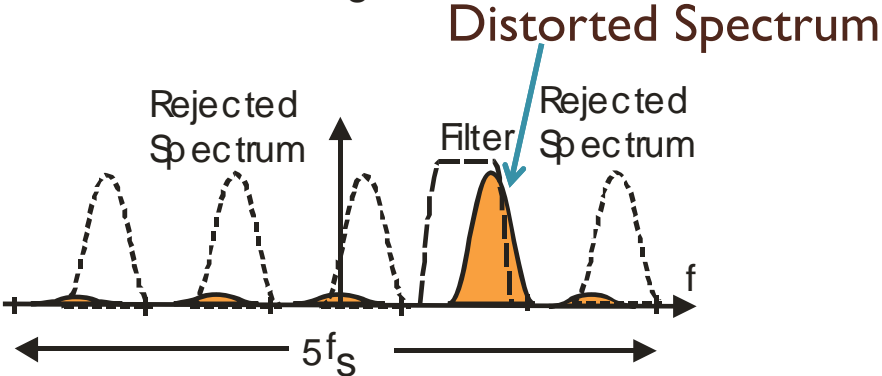
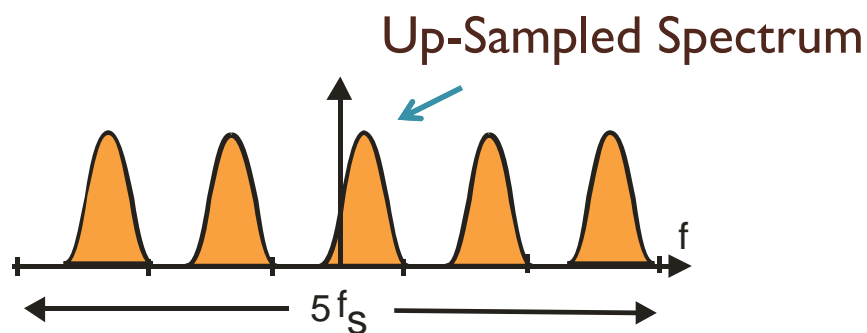
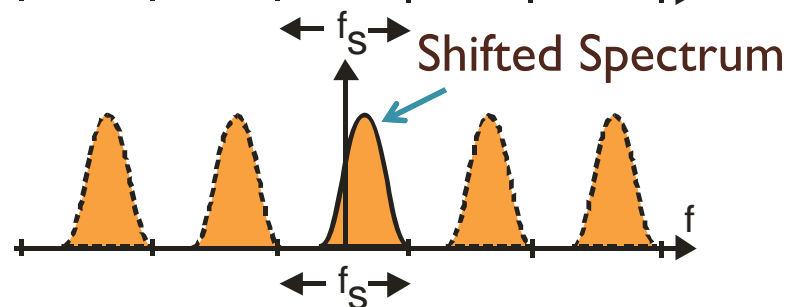
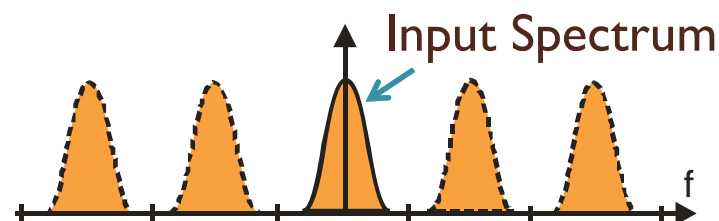
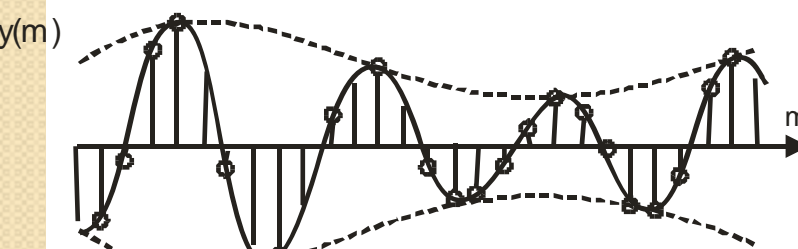
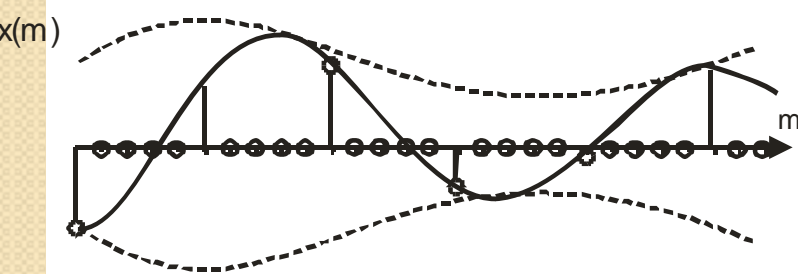
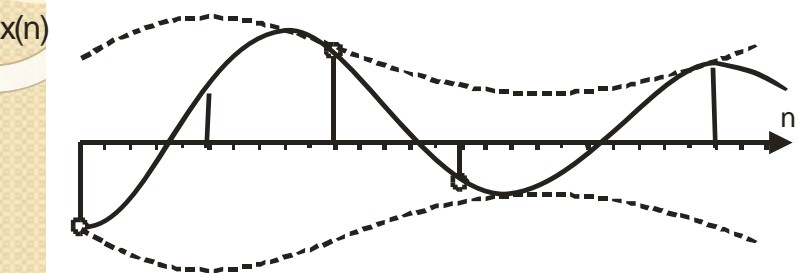
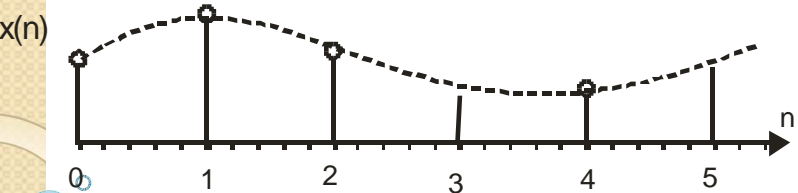


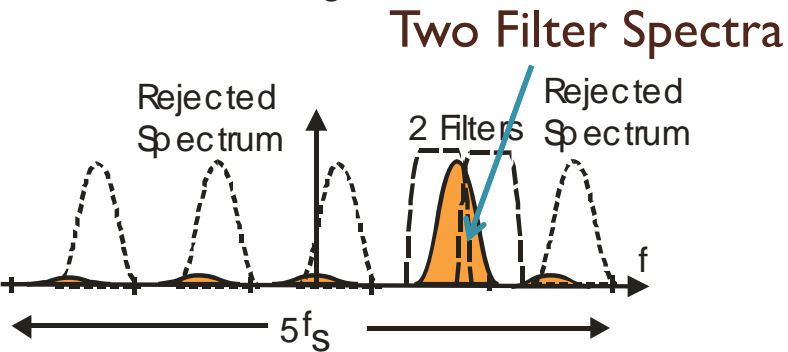
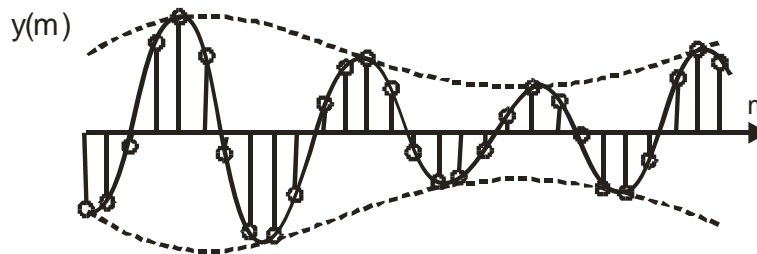
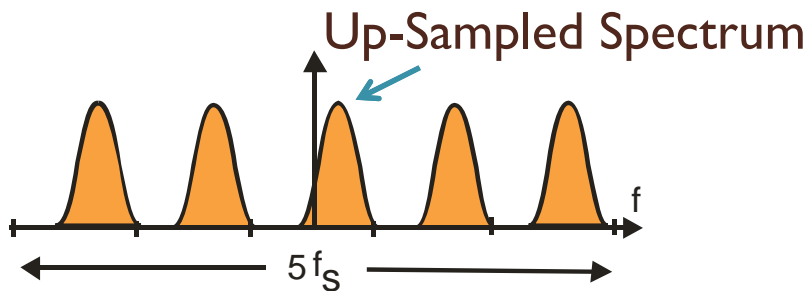
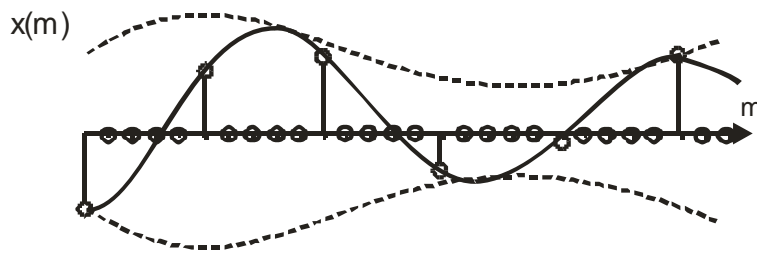
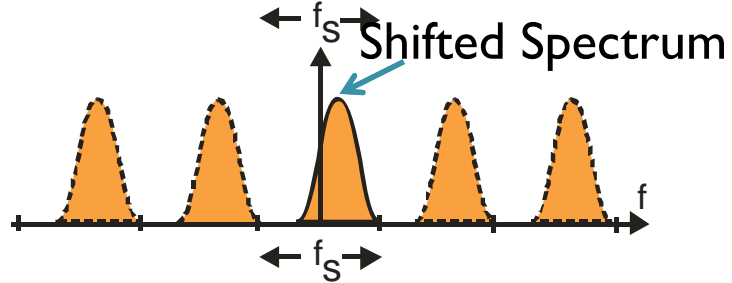
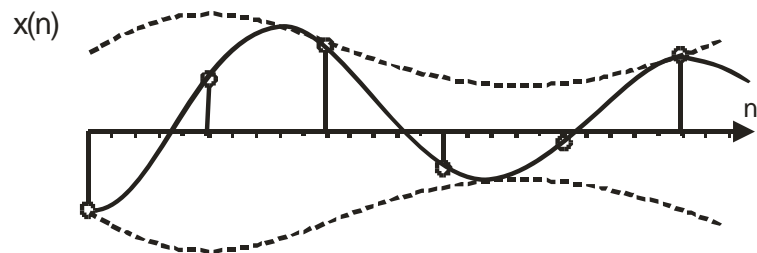
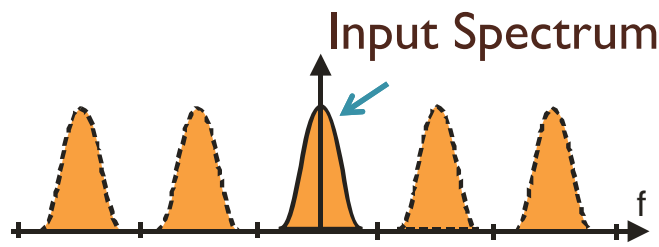
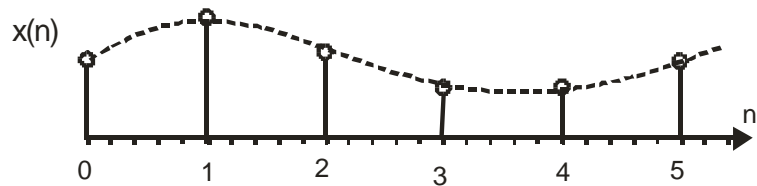
All Output Channels Centered on Multiples of Input Sample Rate

Example: Multiples of 6-MHz

Heterodyne Input Signal a Small Frequency Offset from DC: Channelizer Aliases DC to Channel Center and offset signal from DC is Offset from Channel Center

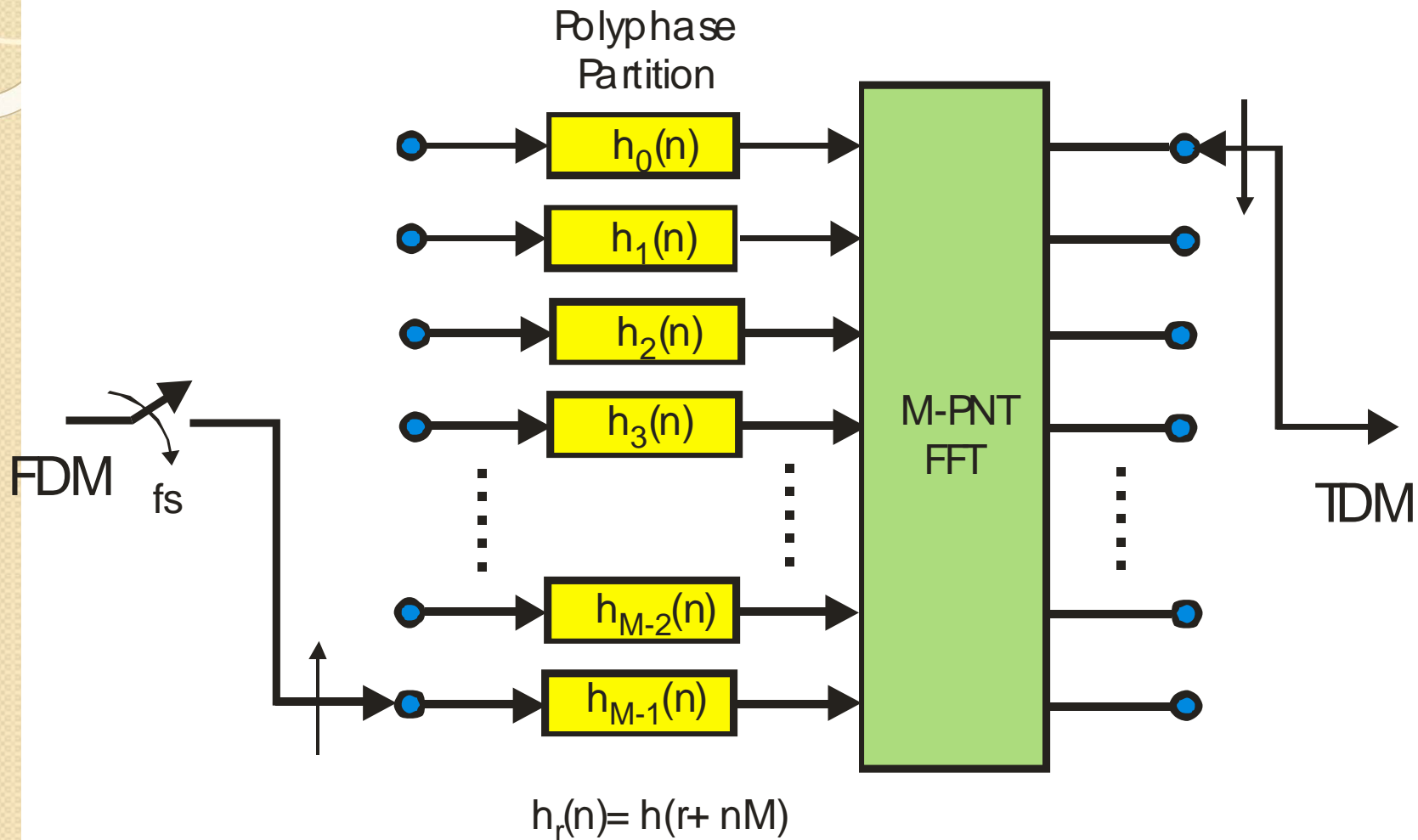






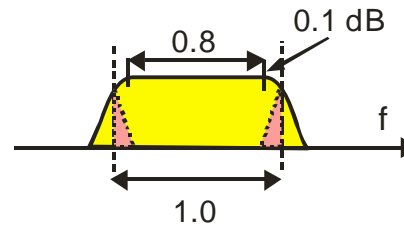
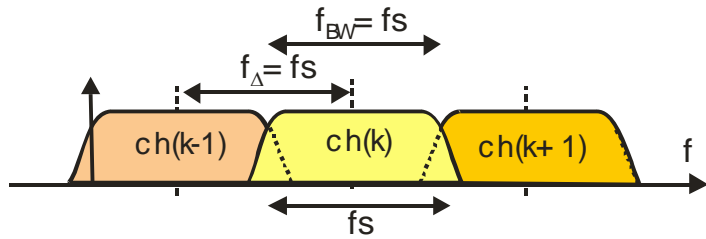
M-Channel Polyphase Channelizer:

M-path Filter and M-point FFT

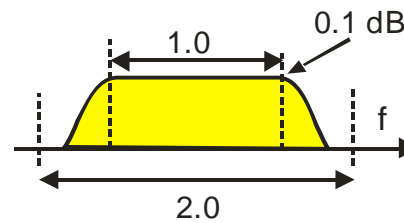
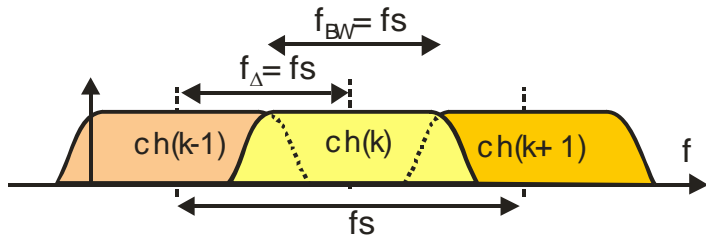


Various Filter-Channelizer Configurations

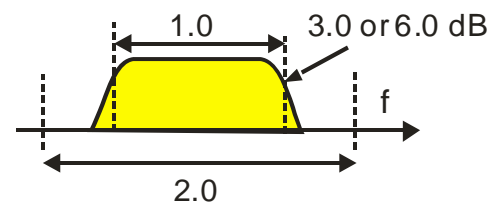
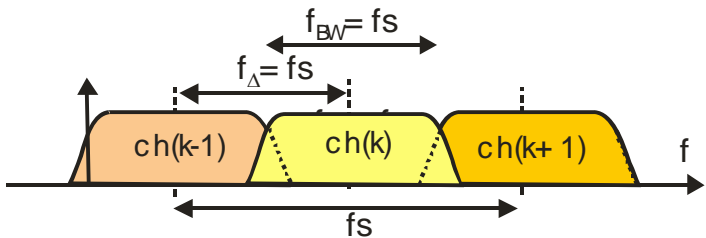
Critically
Sampled
 $f_s = f_c$



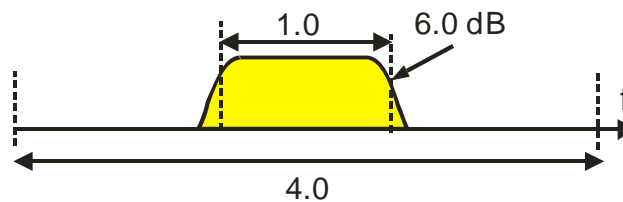
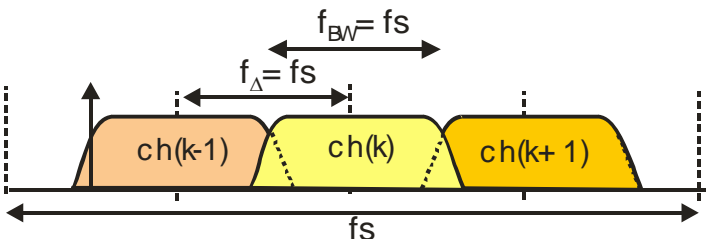
Nyquist
Sampling
 $f_s = 2f_c$



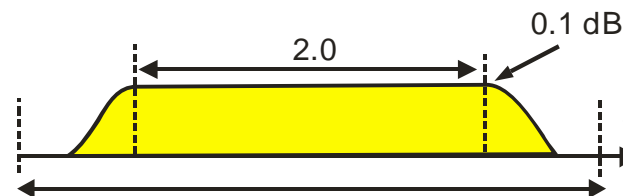
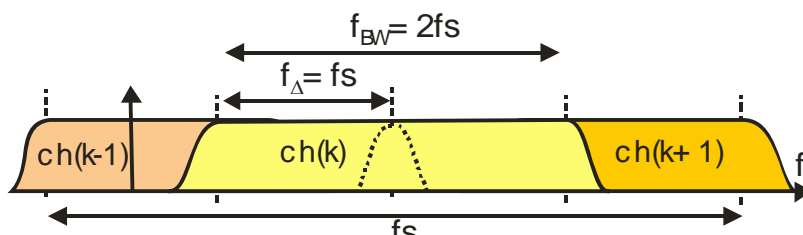
RT Nyquist
Filter
 $f_s = 2f_c$



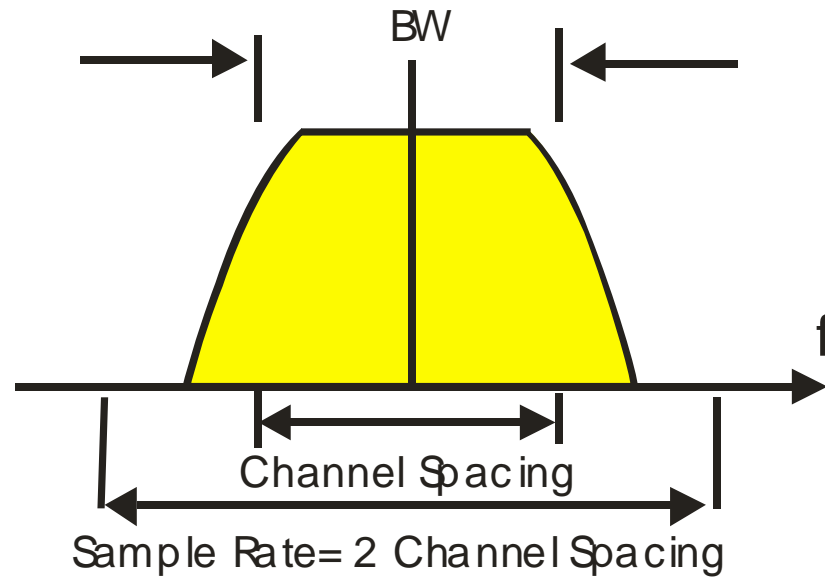
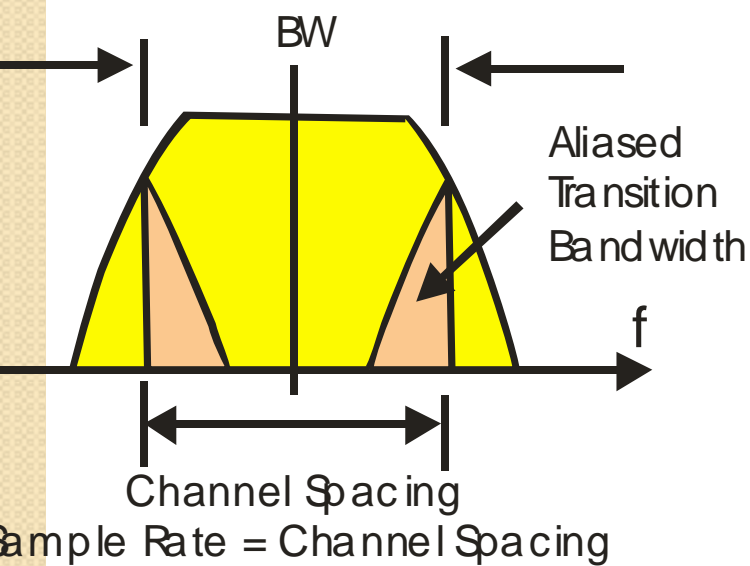
QRT Nyquist
Filter
 $f_s = 4f_c$



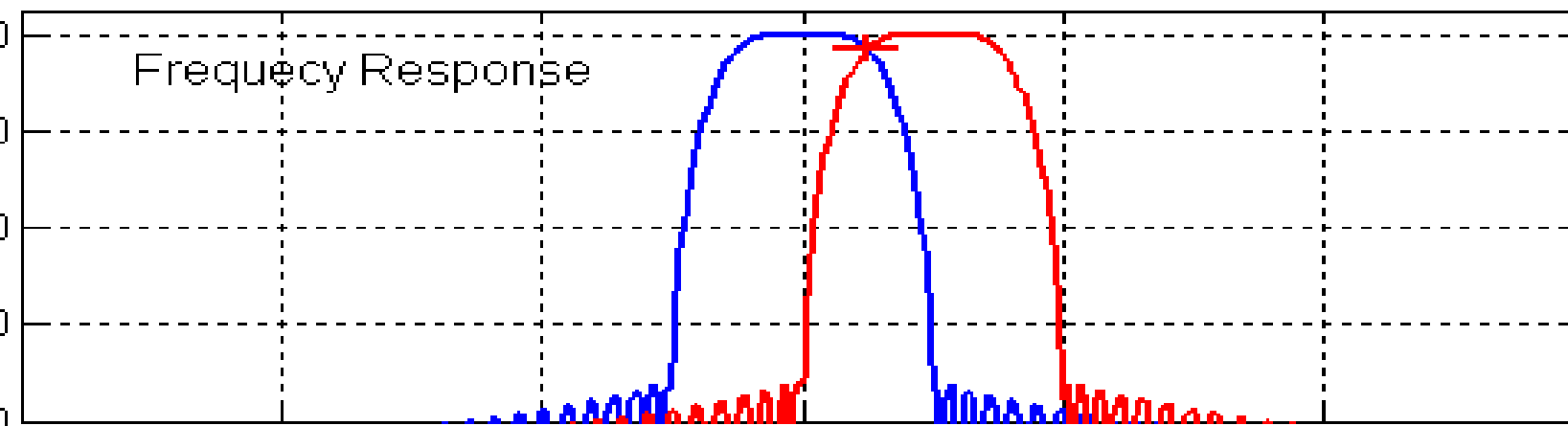
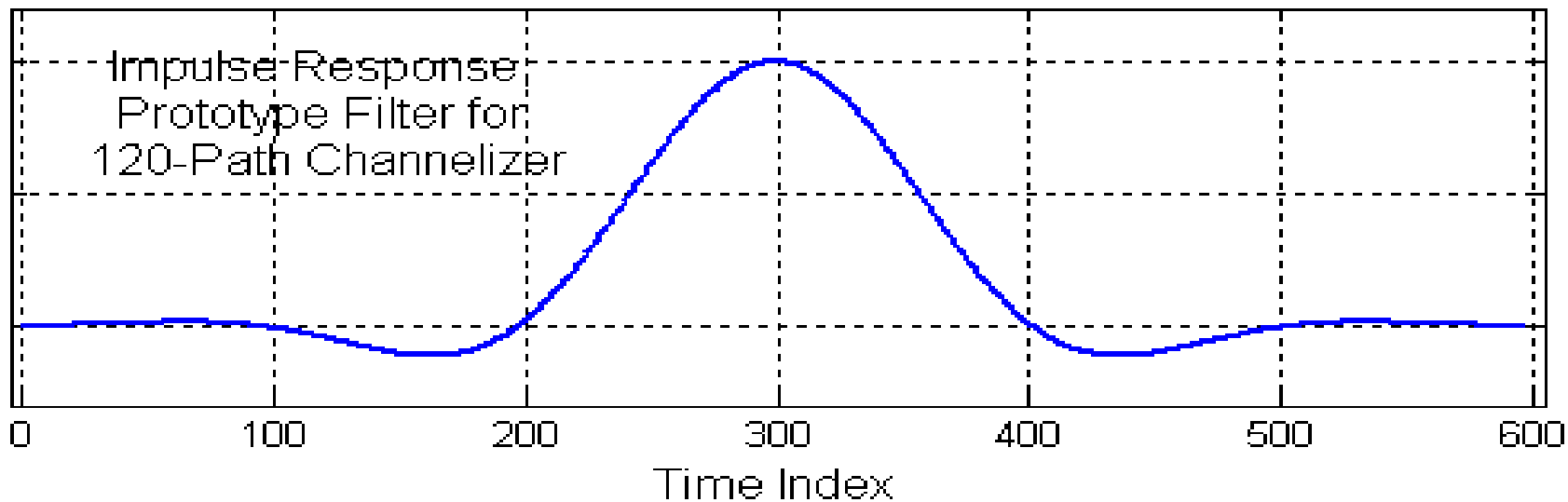
Nyquist
Sampling
 $f_s = 4f_c$



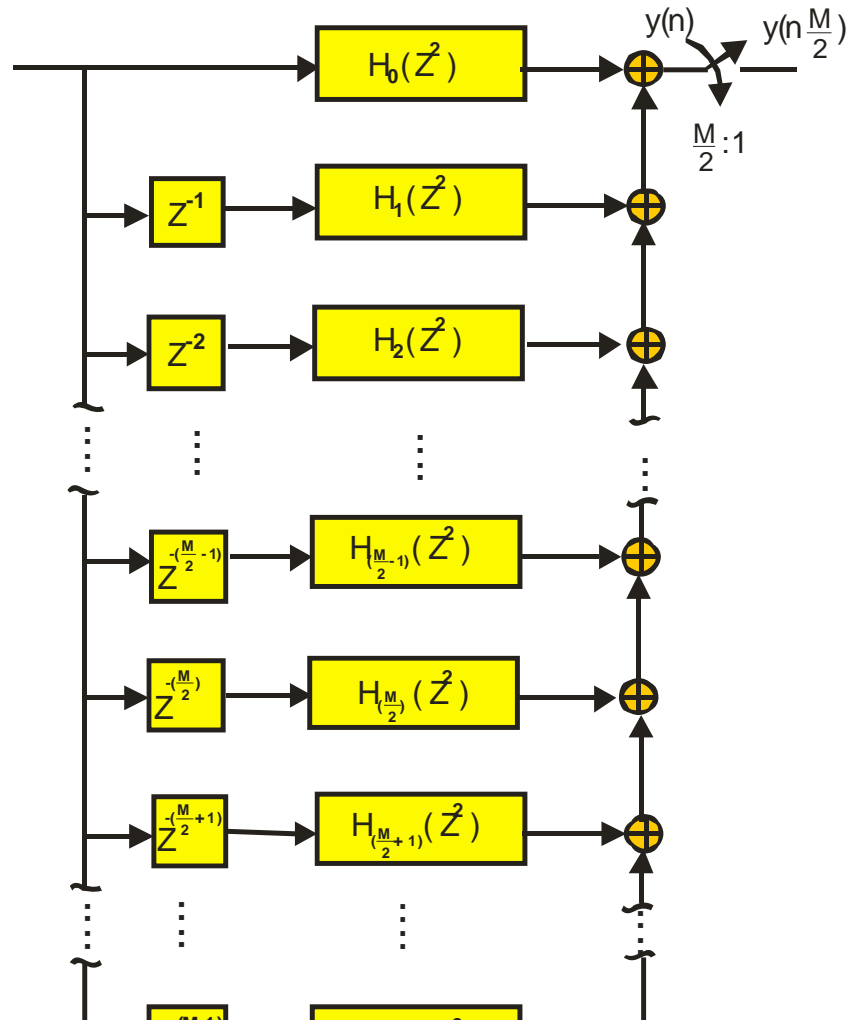
Filter Sampled at Rate to Avoid Band Edge Aliasing



Prototype Low-Pass Filter for 120 Channel Channelizer

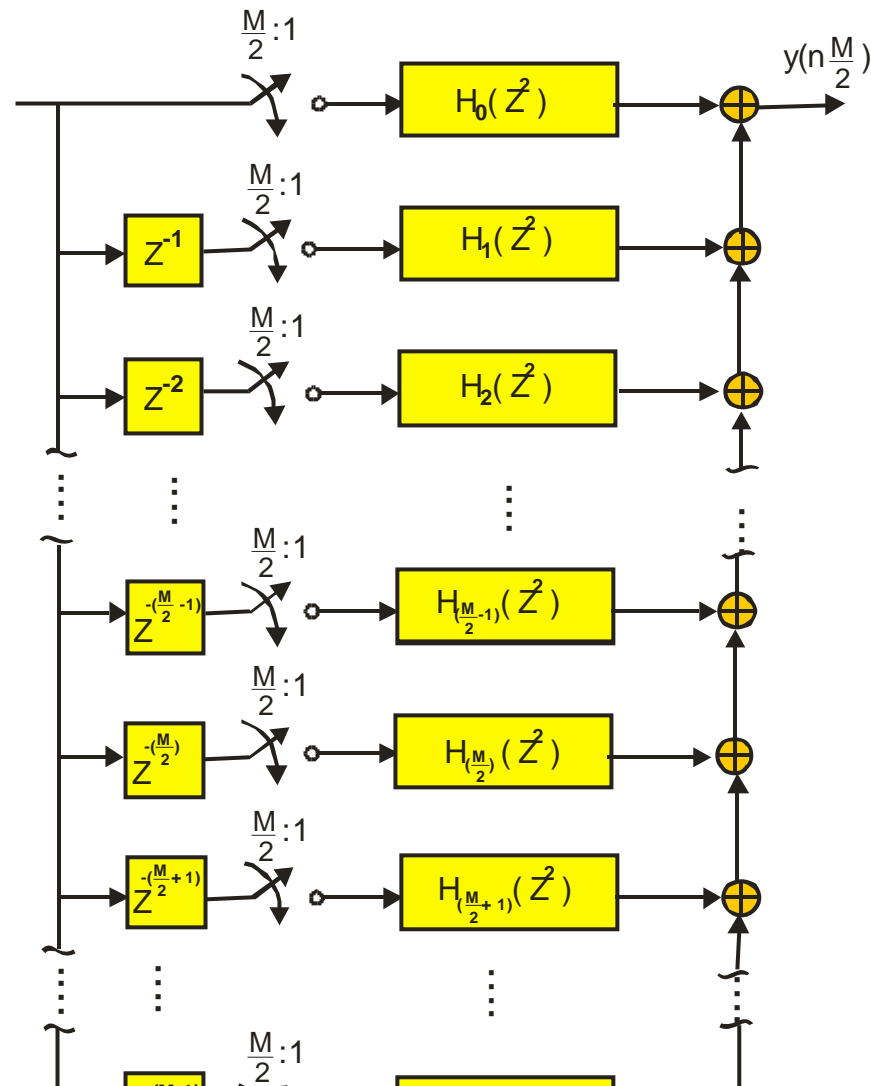


M-Path Polyphase Filter and M/2-to-1 Down Sampling

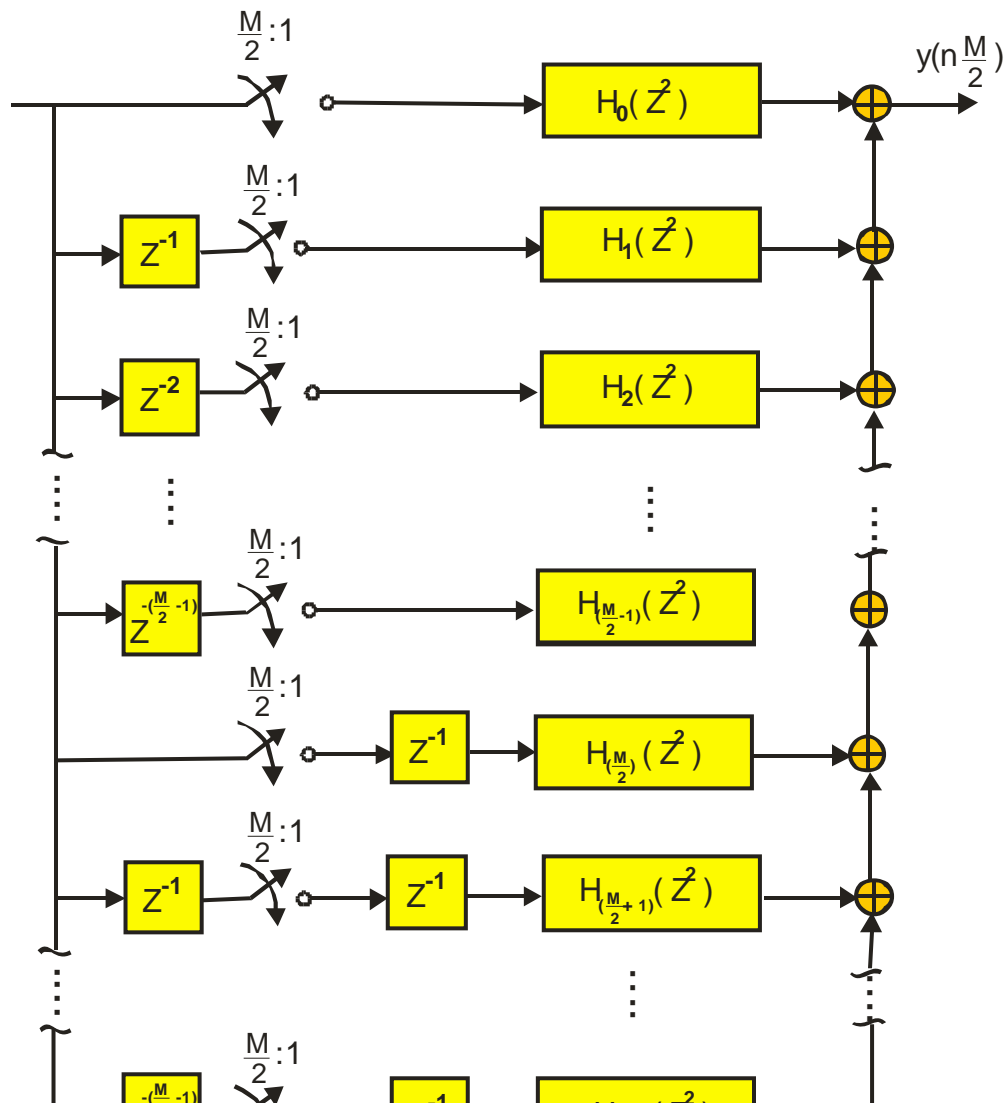


Use Noble Identity to Pull M/2-to-1 Resampler Through Path Filter

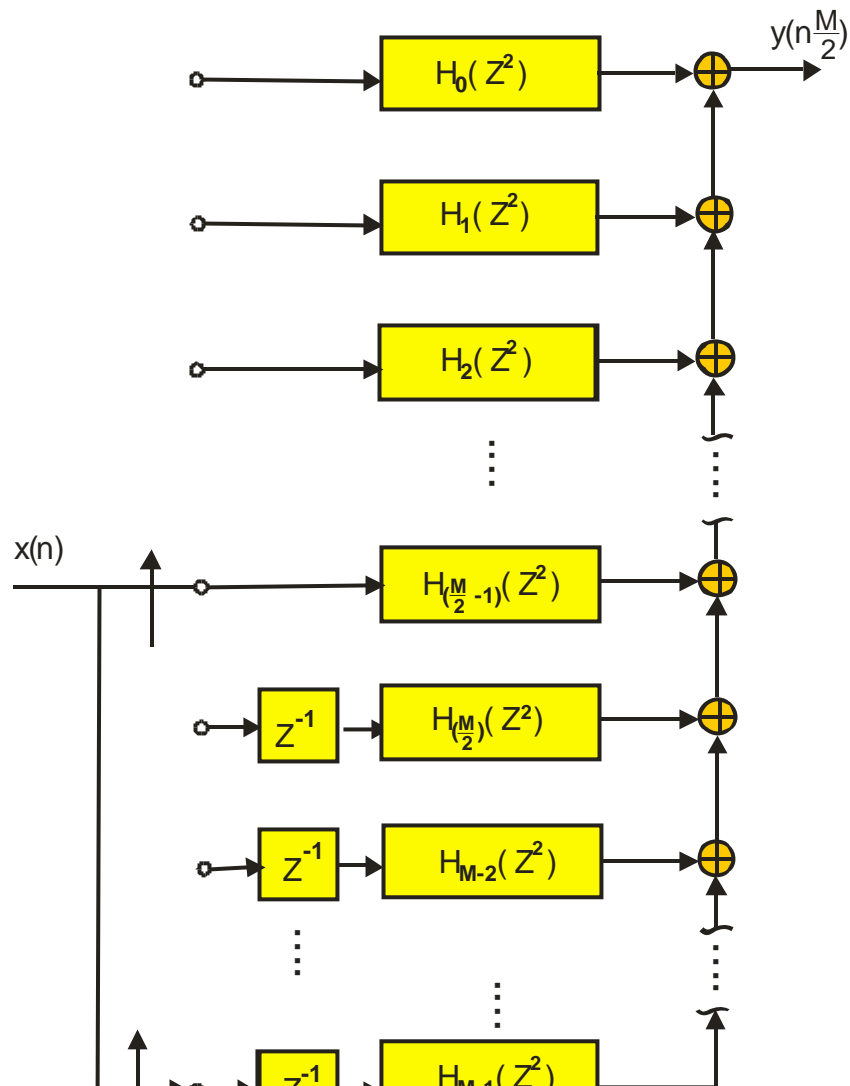
Path Filters:
Polynomials in
 Z^M
Converted to
Polynomials in
 Z^2



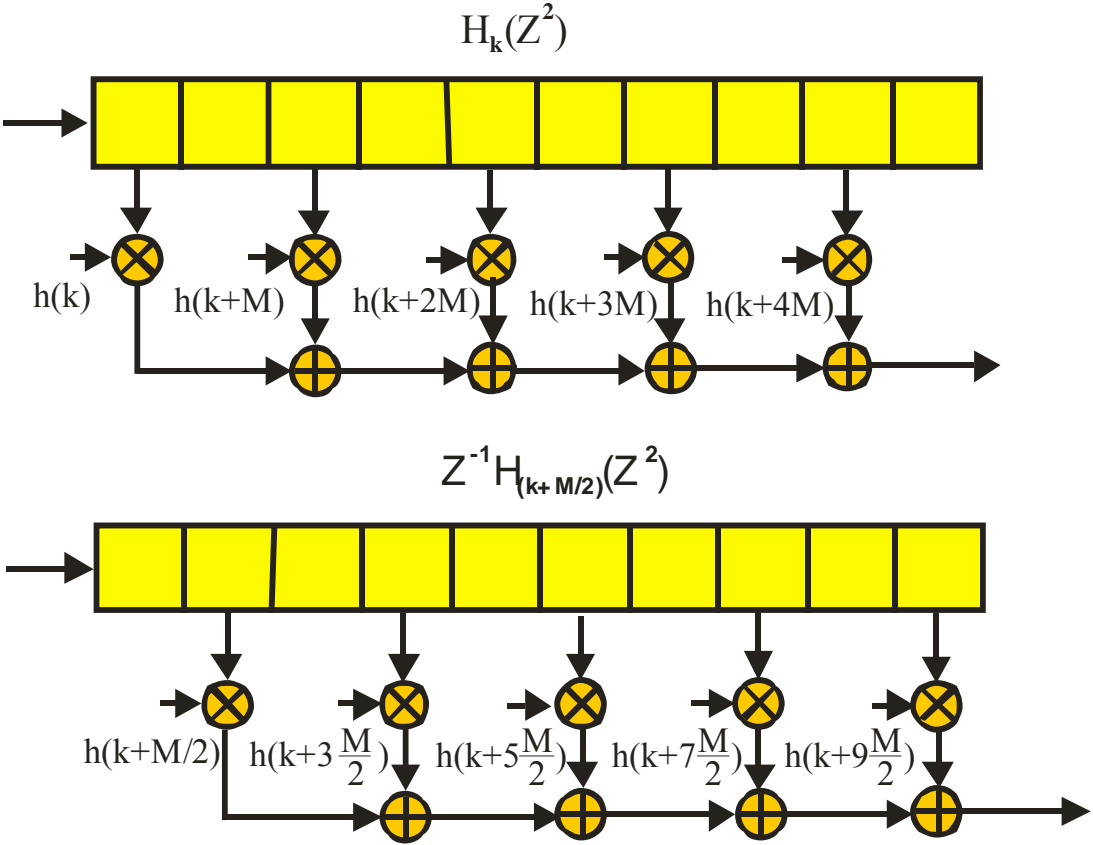
Use Noble Identity to Pull $M/2$ -to-1 Resampler Through Delays in Lower Half of Paths



Replace Delays and M/2-to-1 Resamplers with Dual Input M/2 Path Commutator



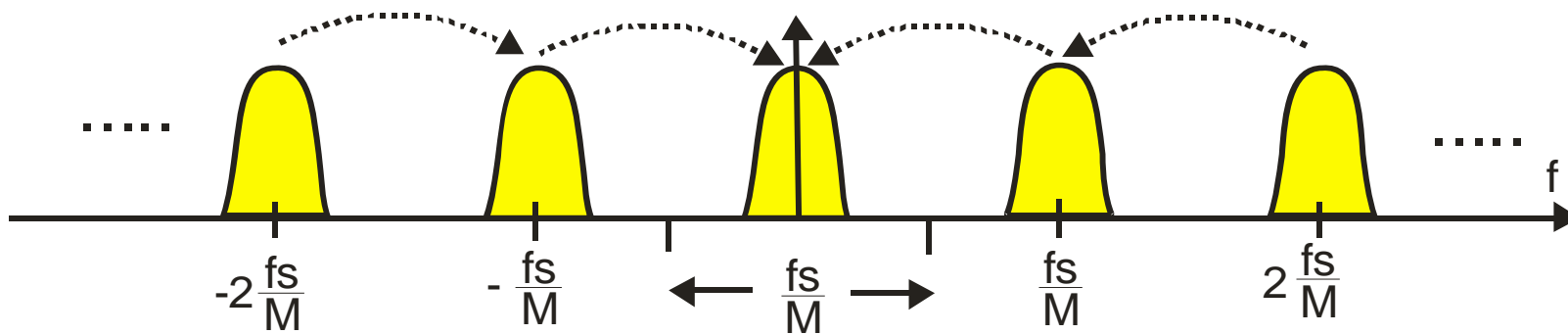
Fold Unit Delays in lower half Filter Paths Into Filter Polynomials in Z^2



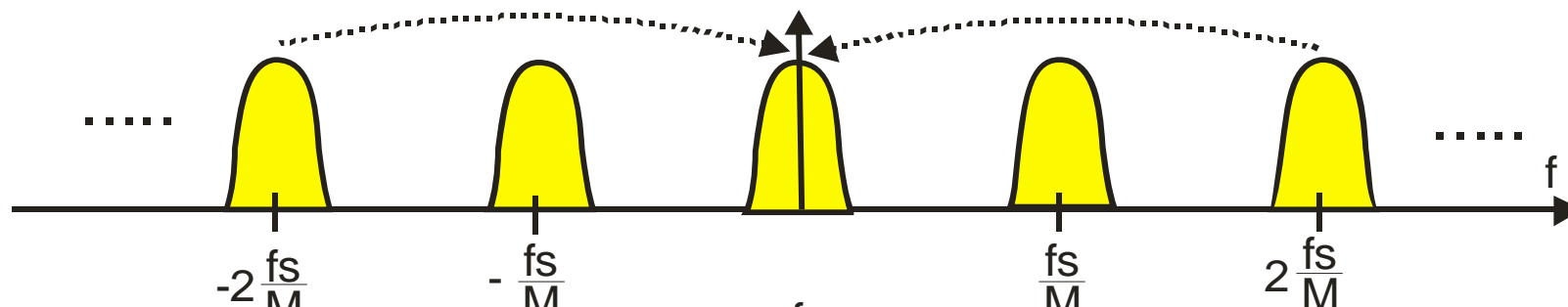
M-to-1 Down Sample Aliases Multiples of Output Sample Rate to DC

M/2-to-1 Down Sample Aliases Odd Multiples of Output Sample Rate to Half sample Rate

M-to-1 Resample

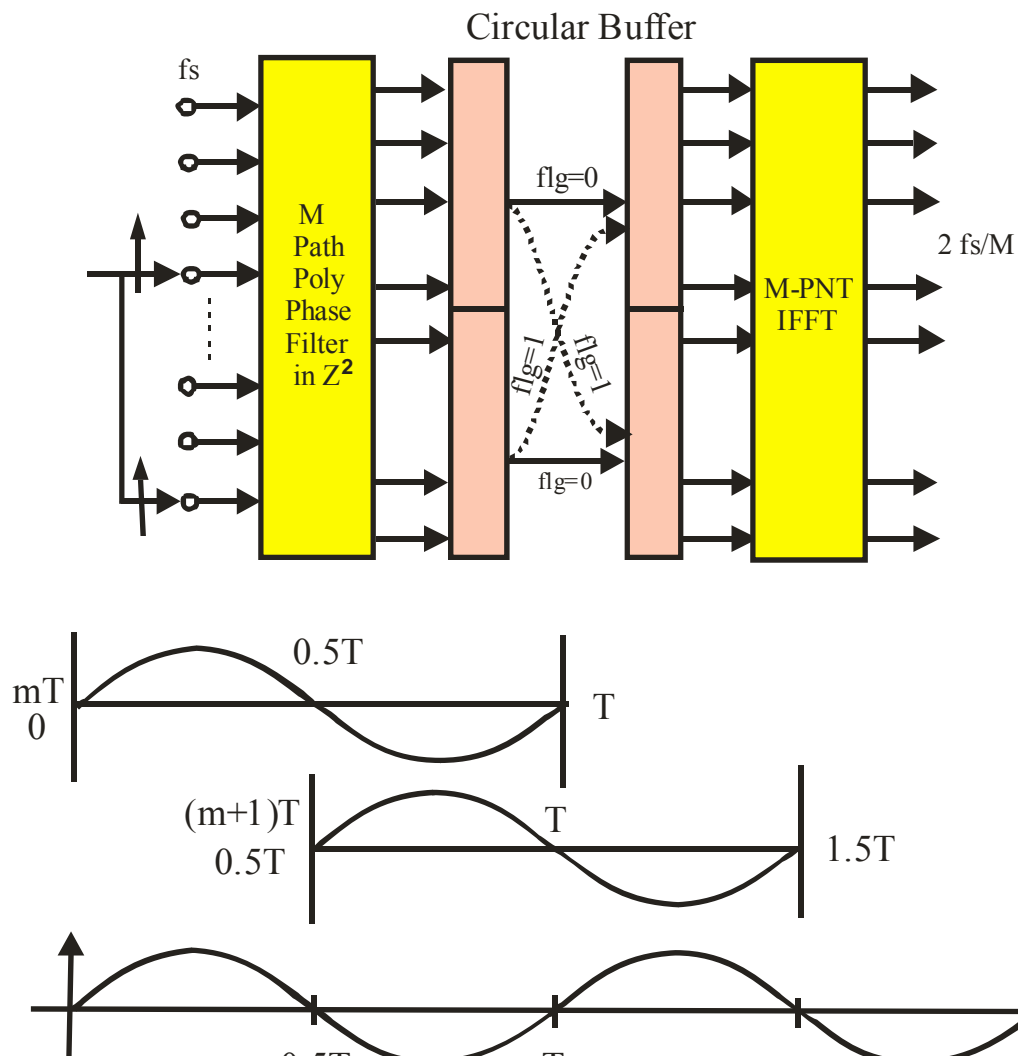


M/2-to-1 Resample

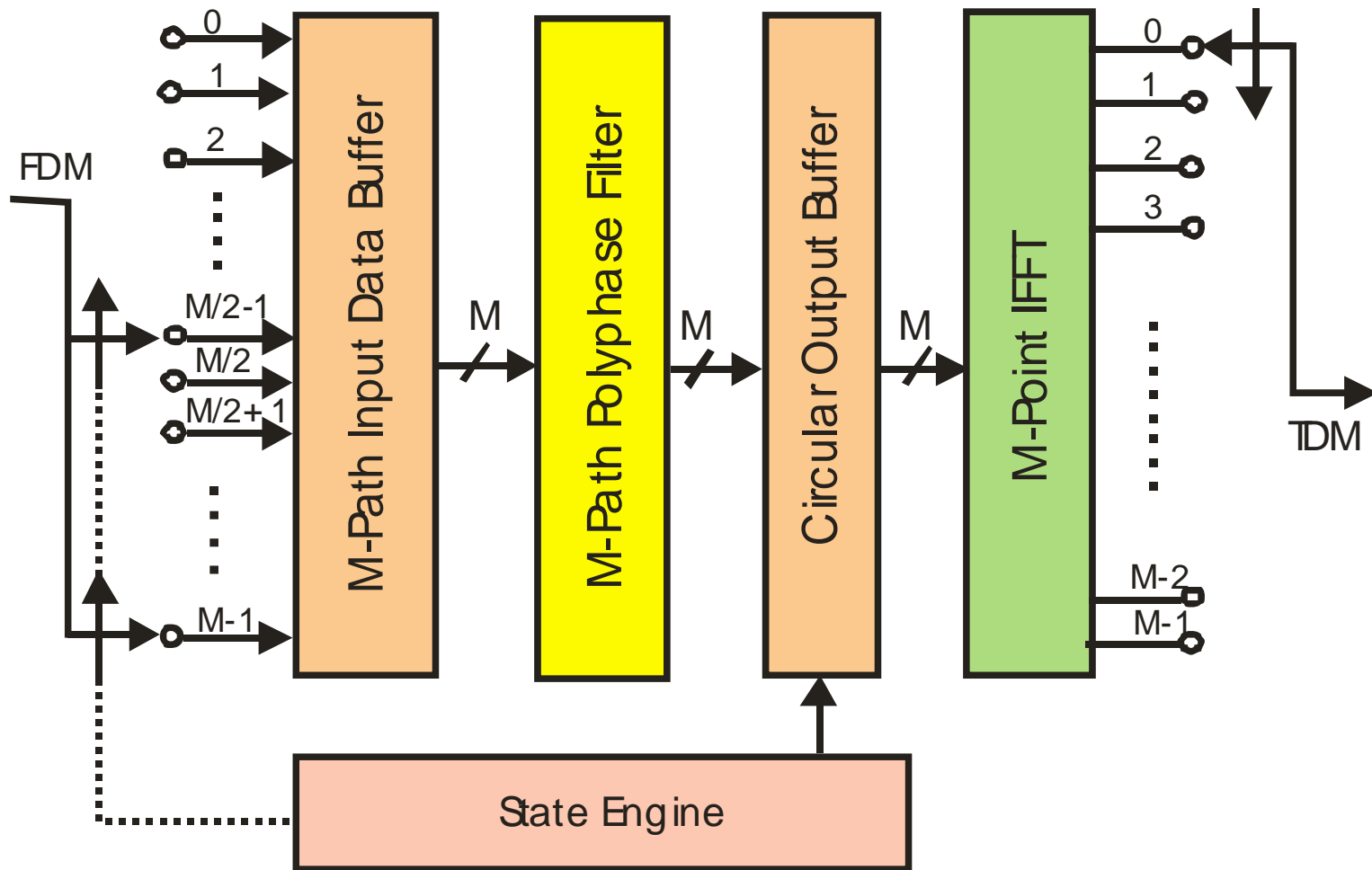


Circular Buffer Between Polyphase Filter and IFFT

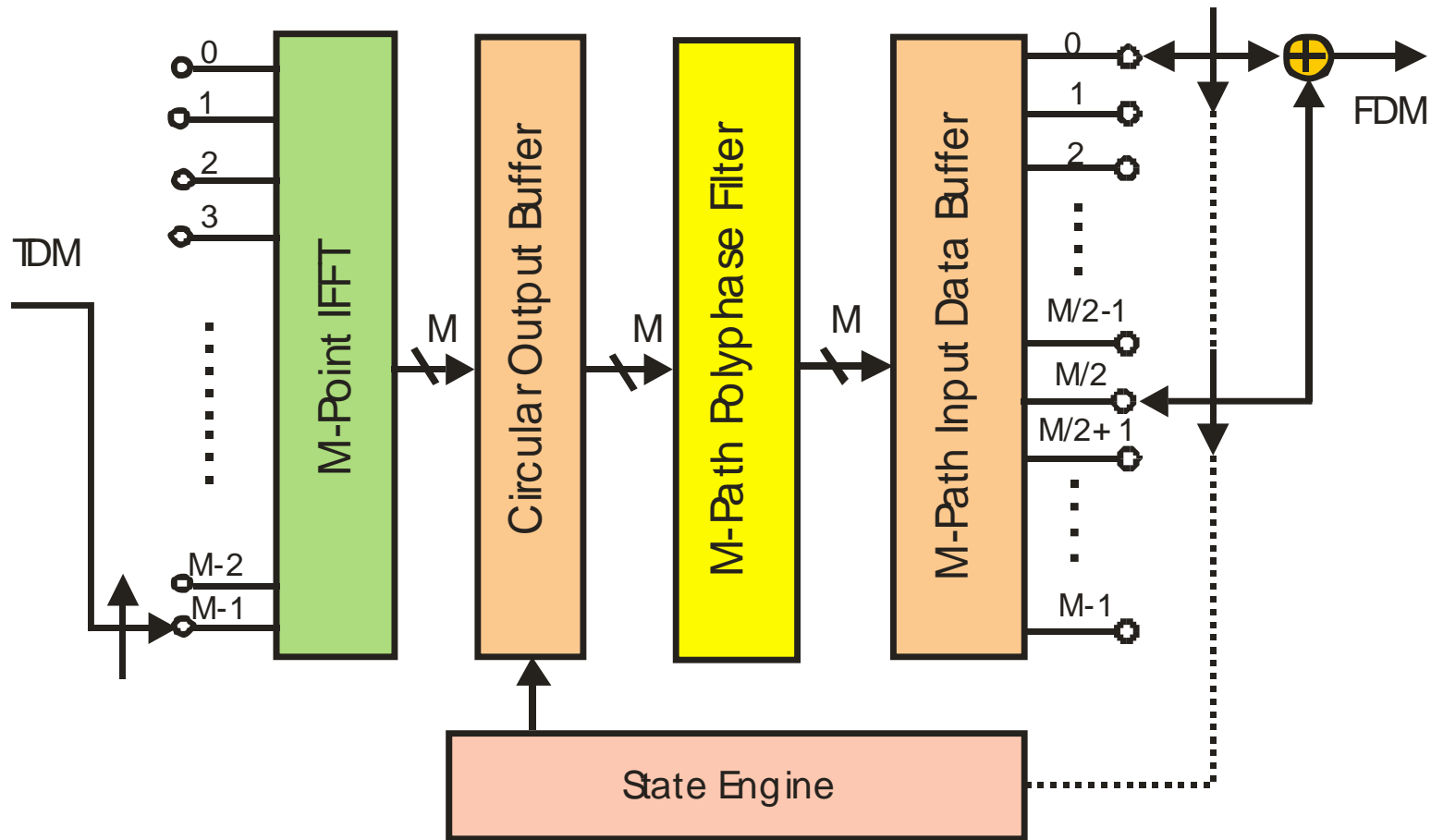
Aligns Shifting Input Origin with IFFT's Origin



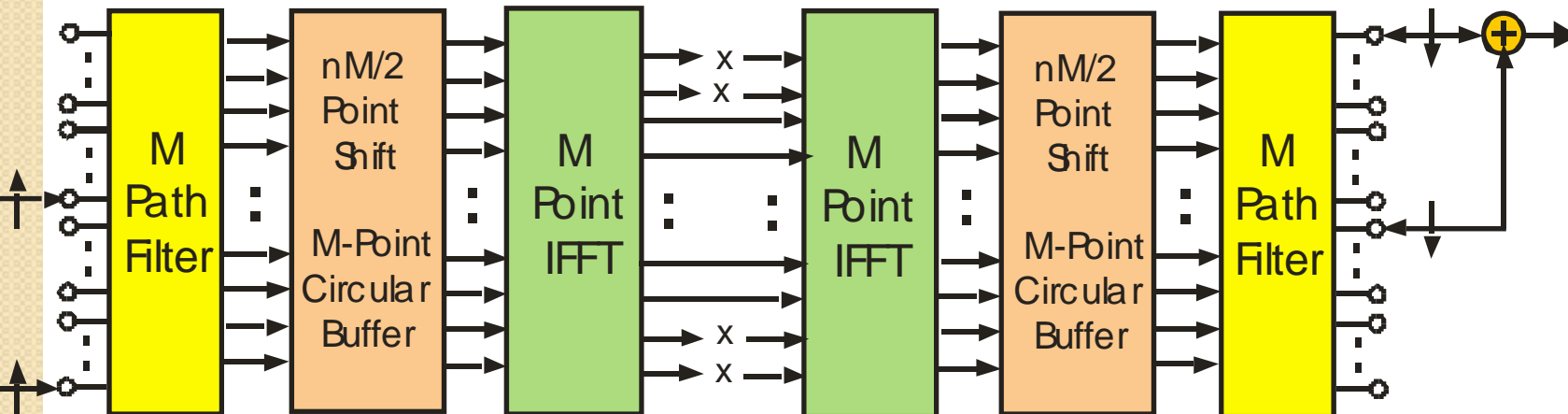
M/2-to-1 Analysis Channelizer



1-to-M/2 Synthesis Channelizer



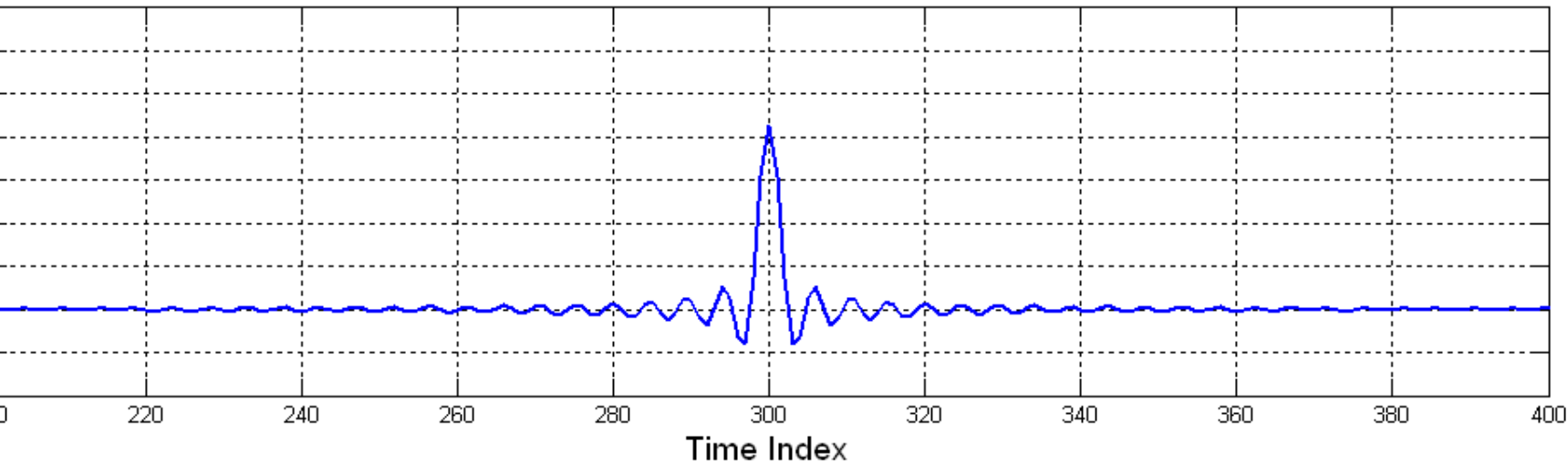
Frequency Domain Filtering With Cascade M/2-to-1 Analysis and 1-to-M/2 Synthesis Channelizers



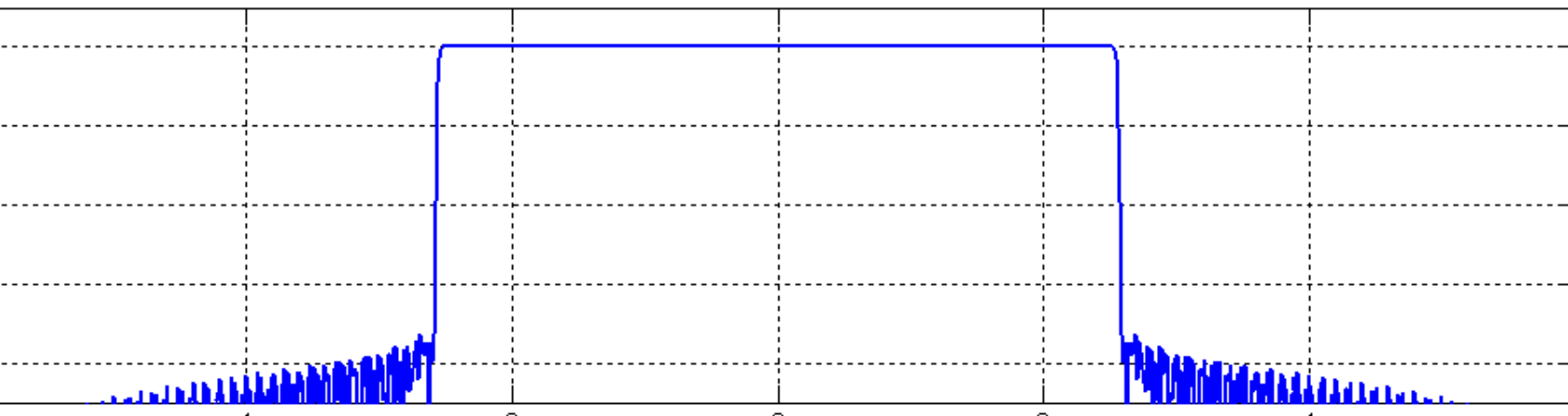
Impulse response and Frequency Response

25-Enabled Ports: 2.4 MHz Bandwidth

Impulse Response



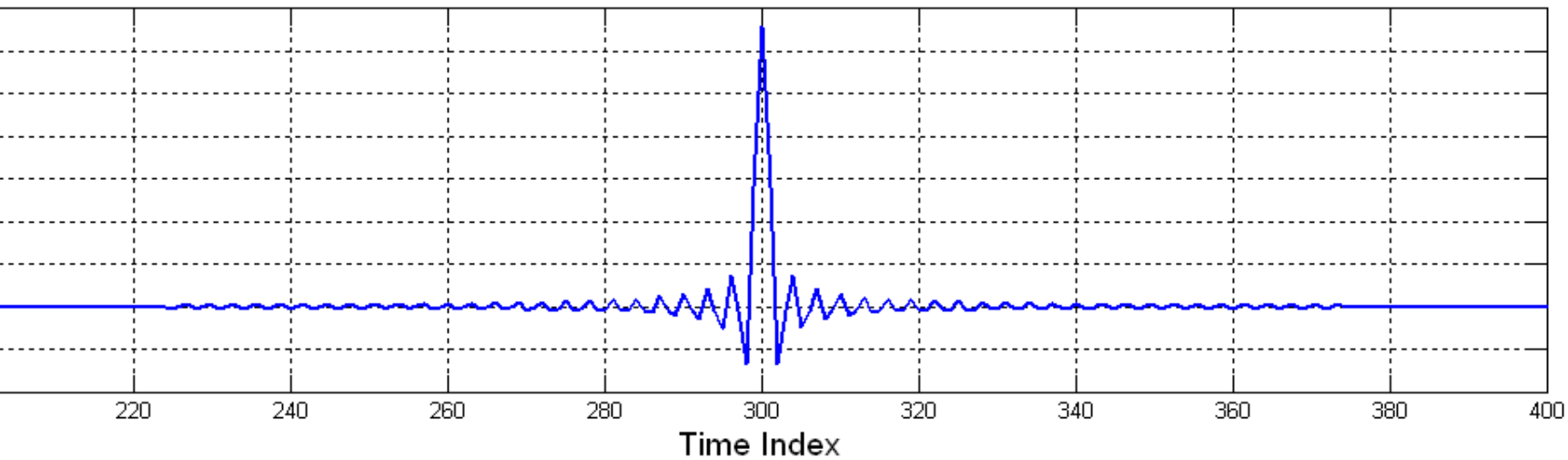
Frequency Response, ± 2.4 MHz



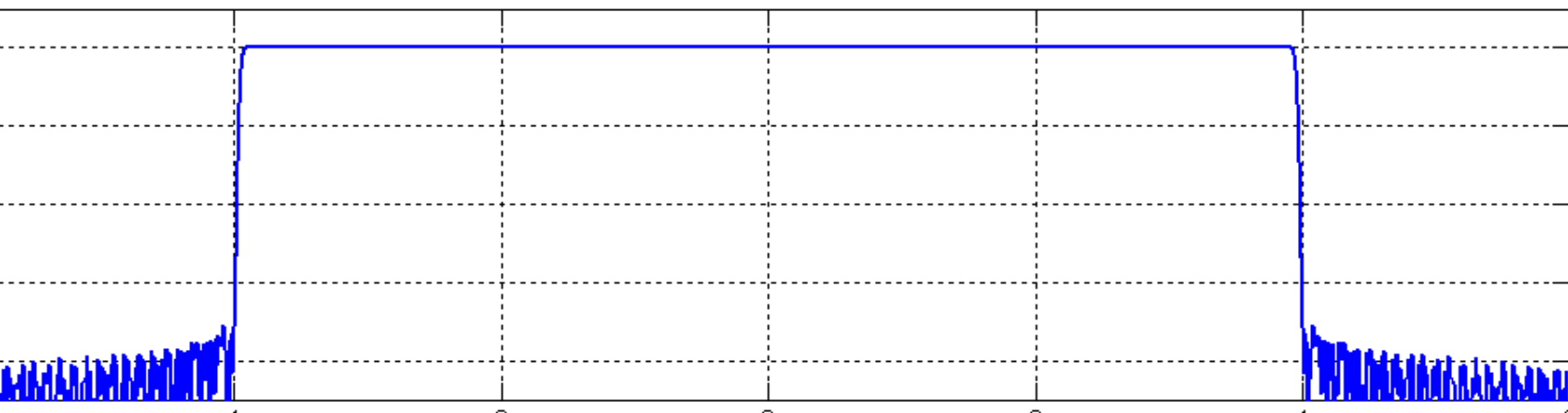
Impulse Response and Frequency Response

40-Enabled Ports: 3.9 MHz Bandwidth

Impulse Response



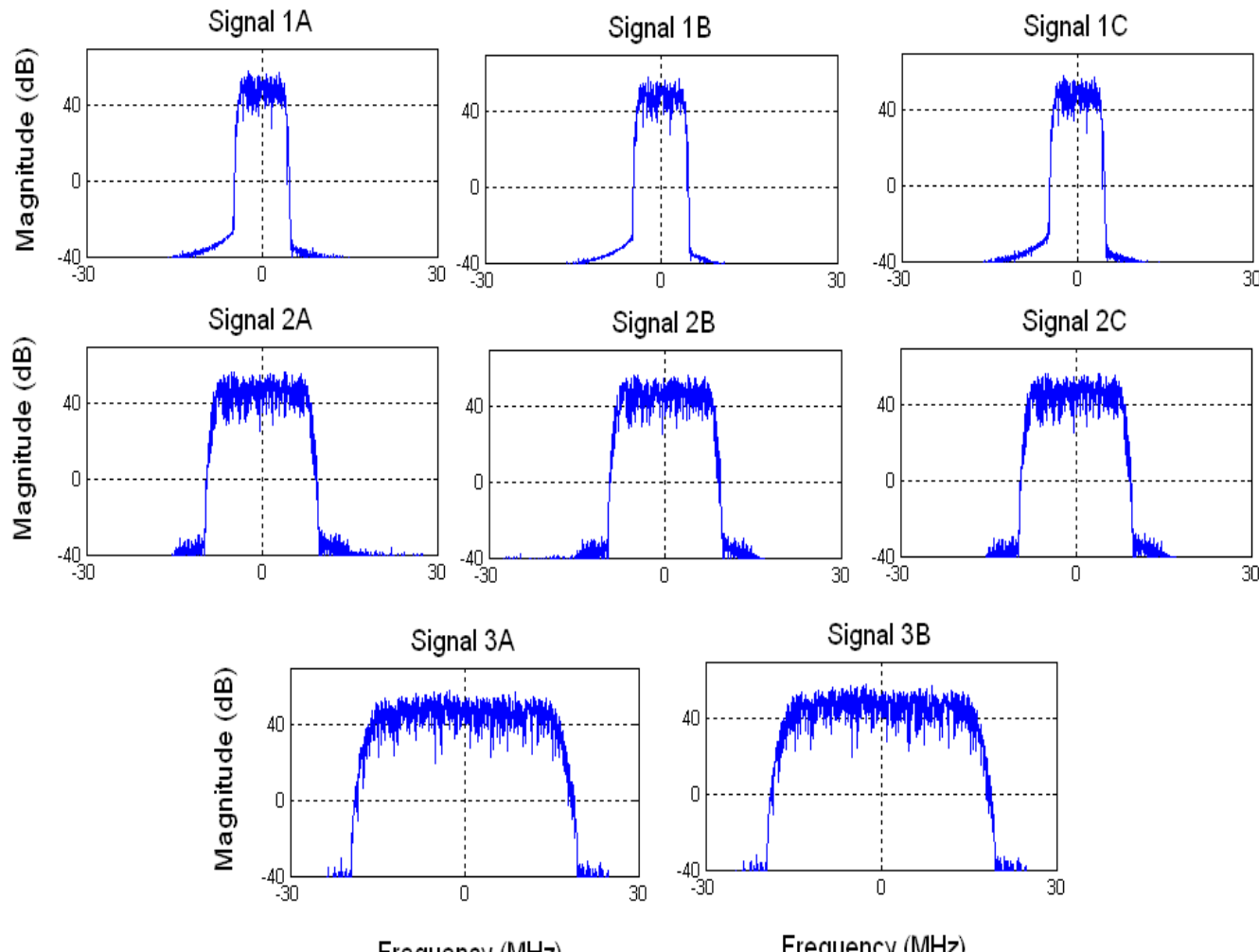
Frequency Response, ± 3.9 MHz



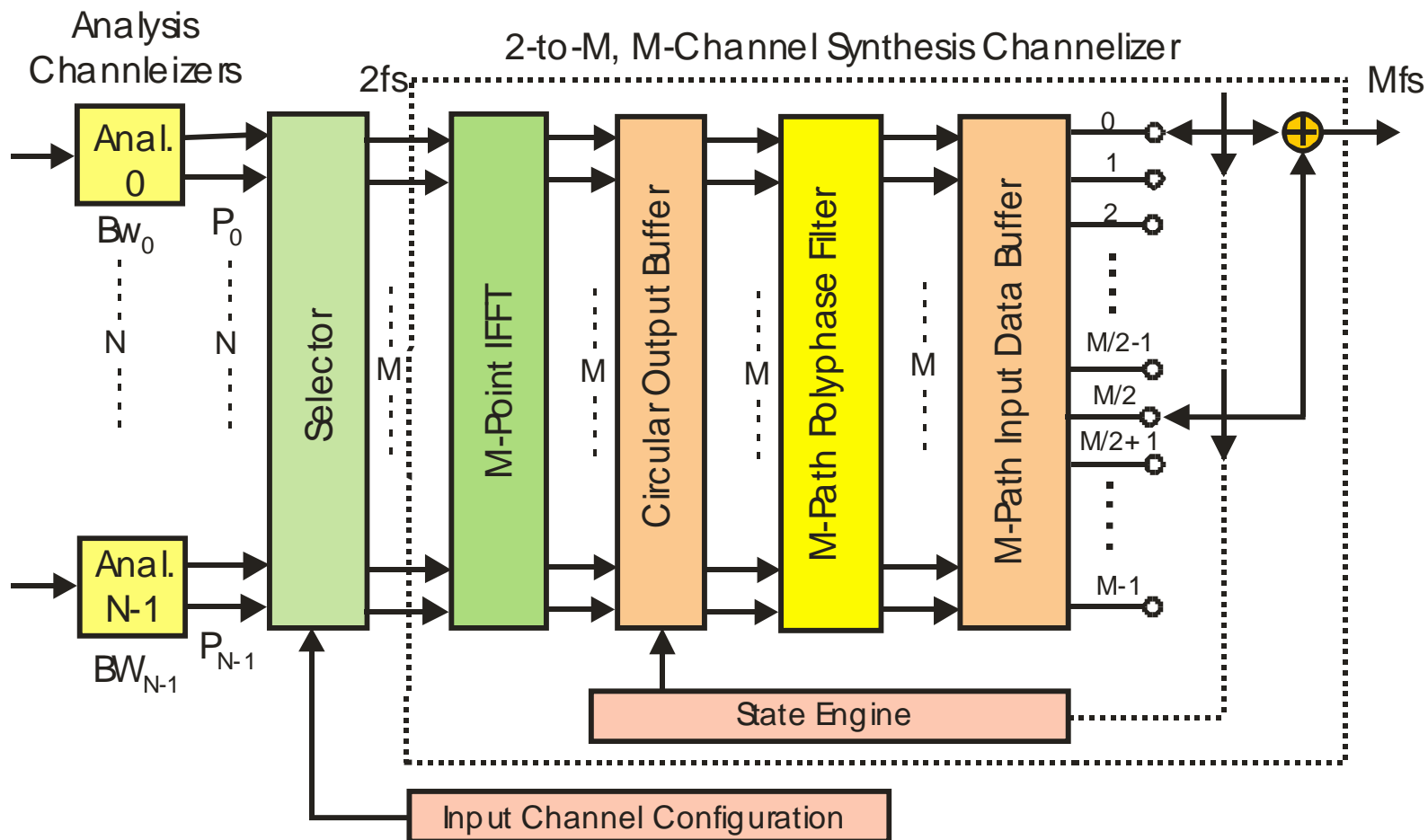
Mixed, Arbitrary Bandwidth Channelizers



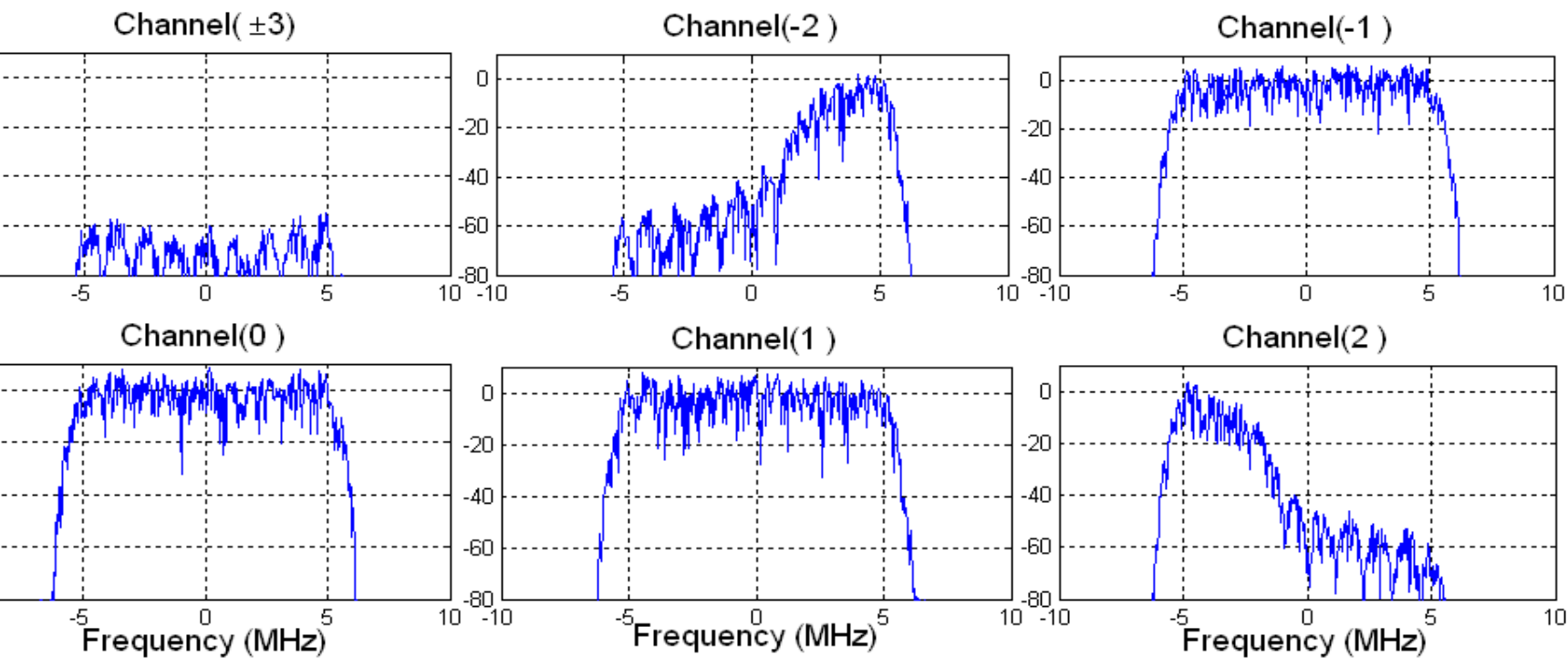
Mixed Bandwidth Signals presented to Channel Synthesizer



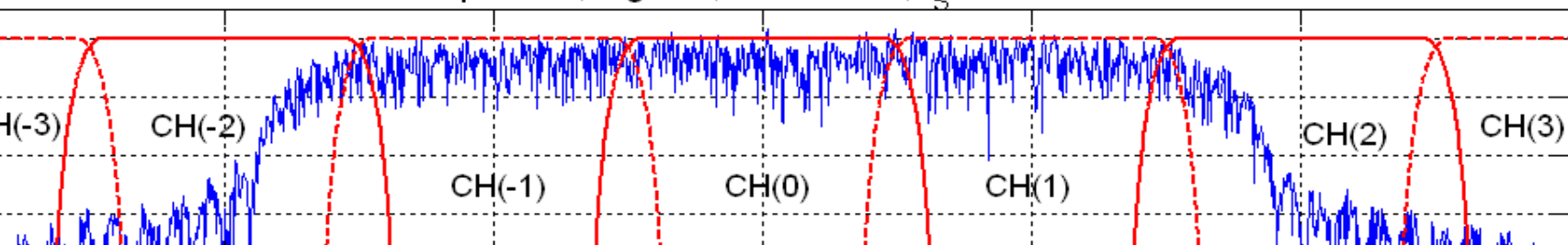
Compose Broadband Signals Using Short Analysis Channels and Present Components to Synthesizer



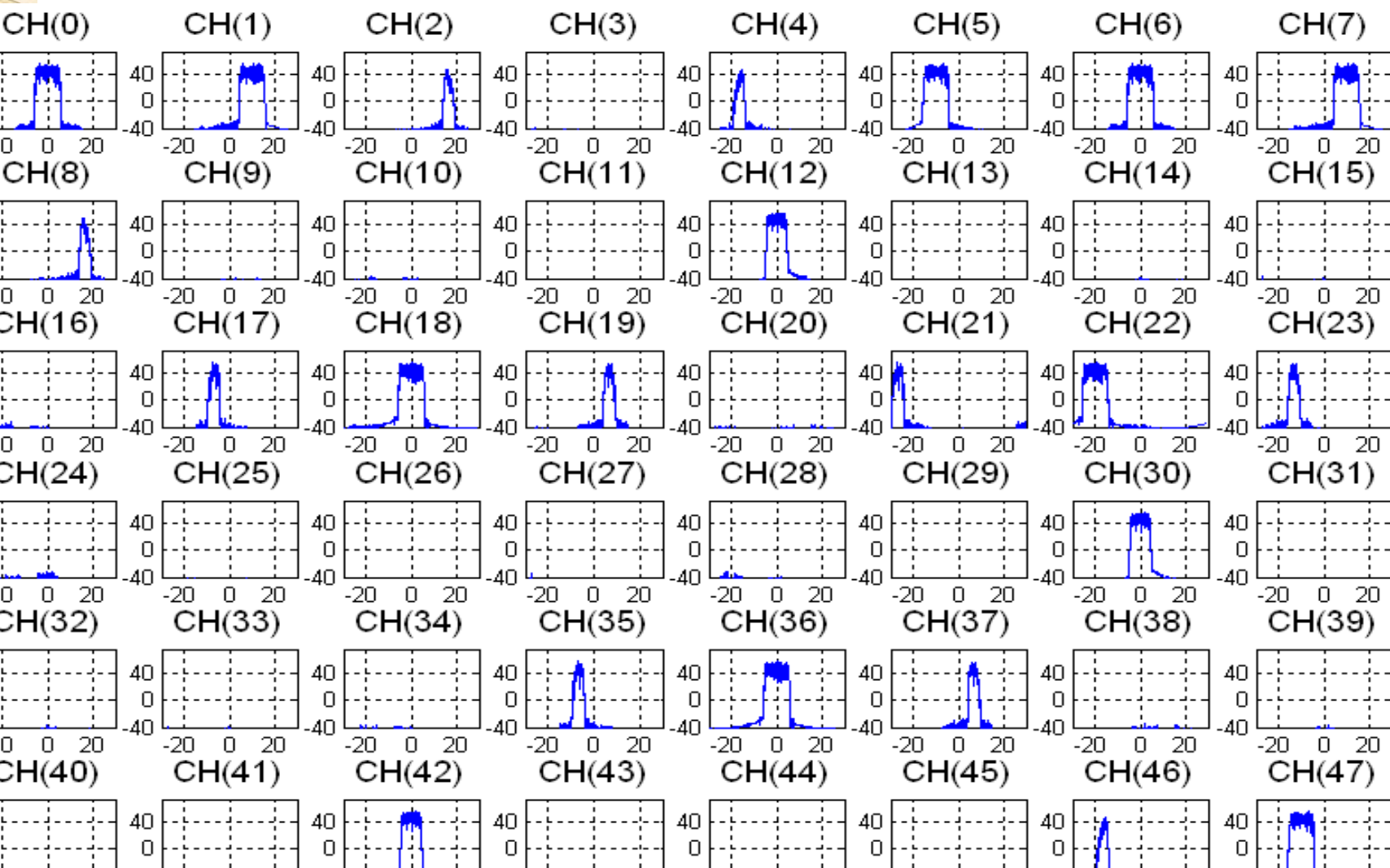
10-MHz Input Signal Partitioned into five 10-MHz Sub Channels: $f_s=20$ MHz



Spectrum, Signal 3, 40 MHz BW, $f_s=60$ MHz

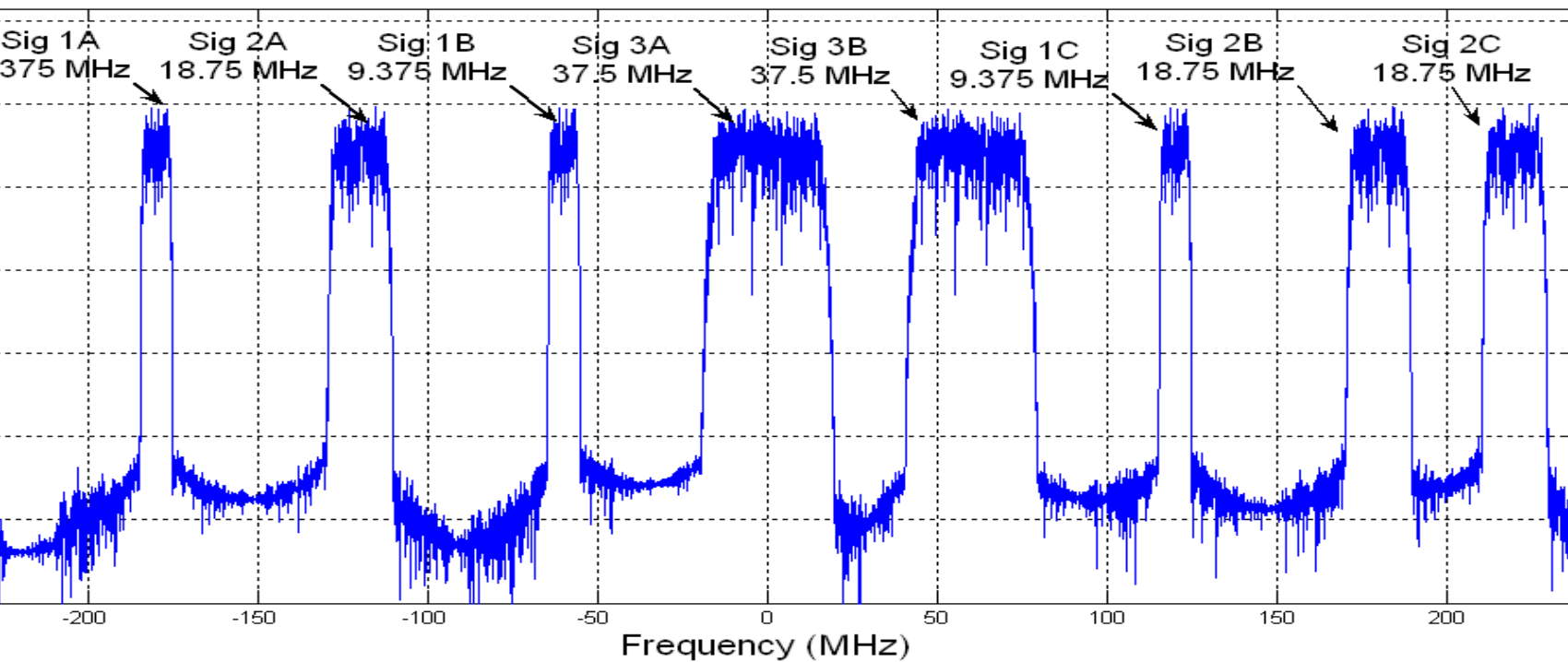


Multiple Partitioned Input Bands Presented to Synthesizer

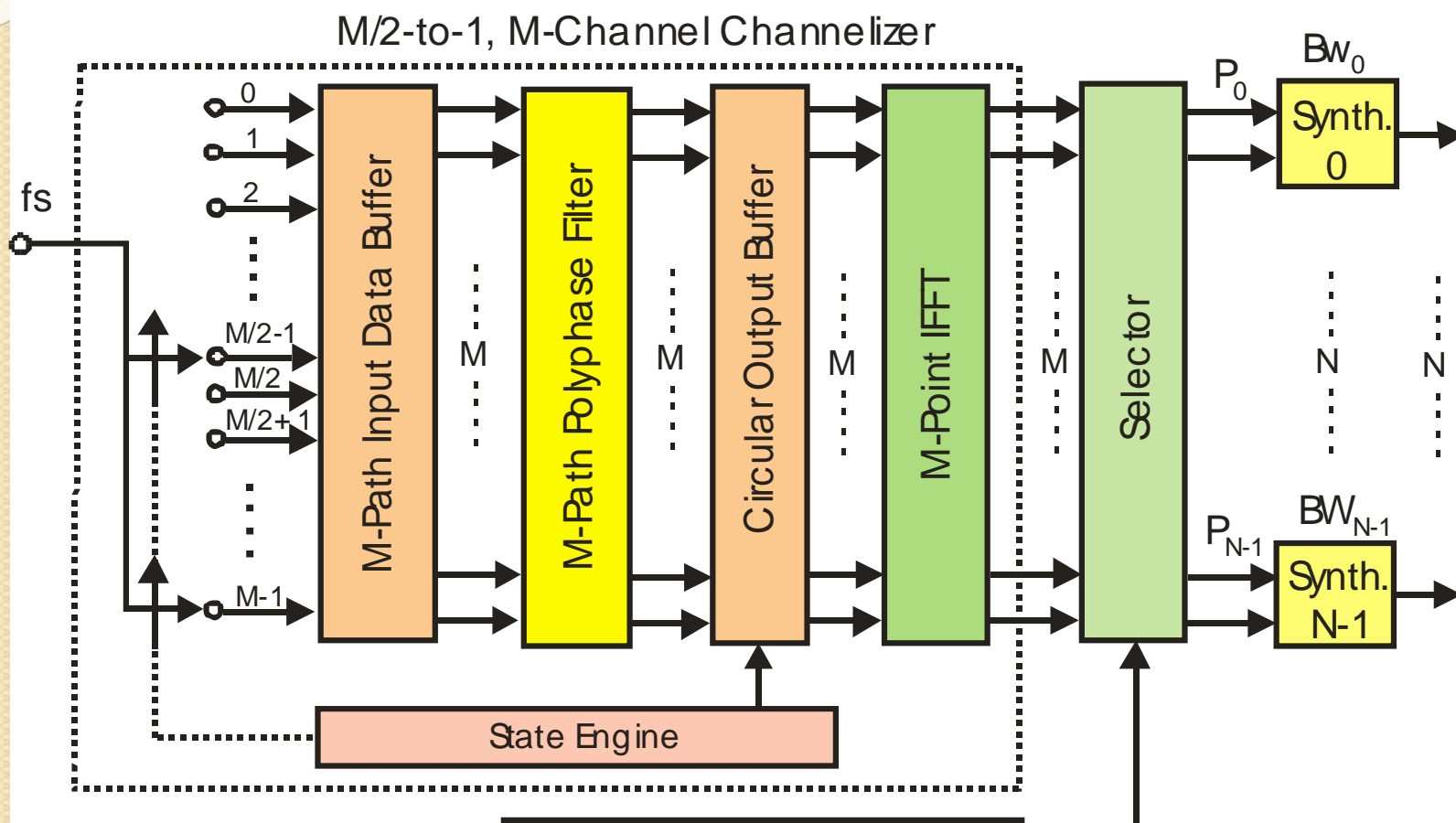


Assembled Multiple BW Channels in Single Synthesis Channelizer

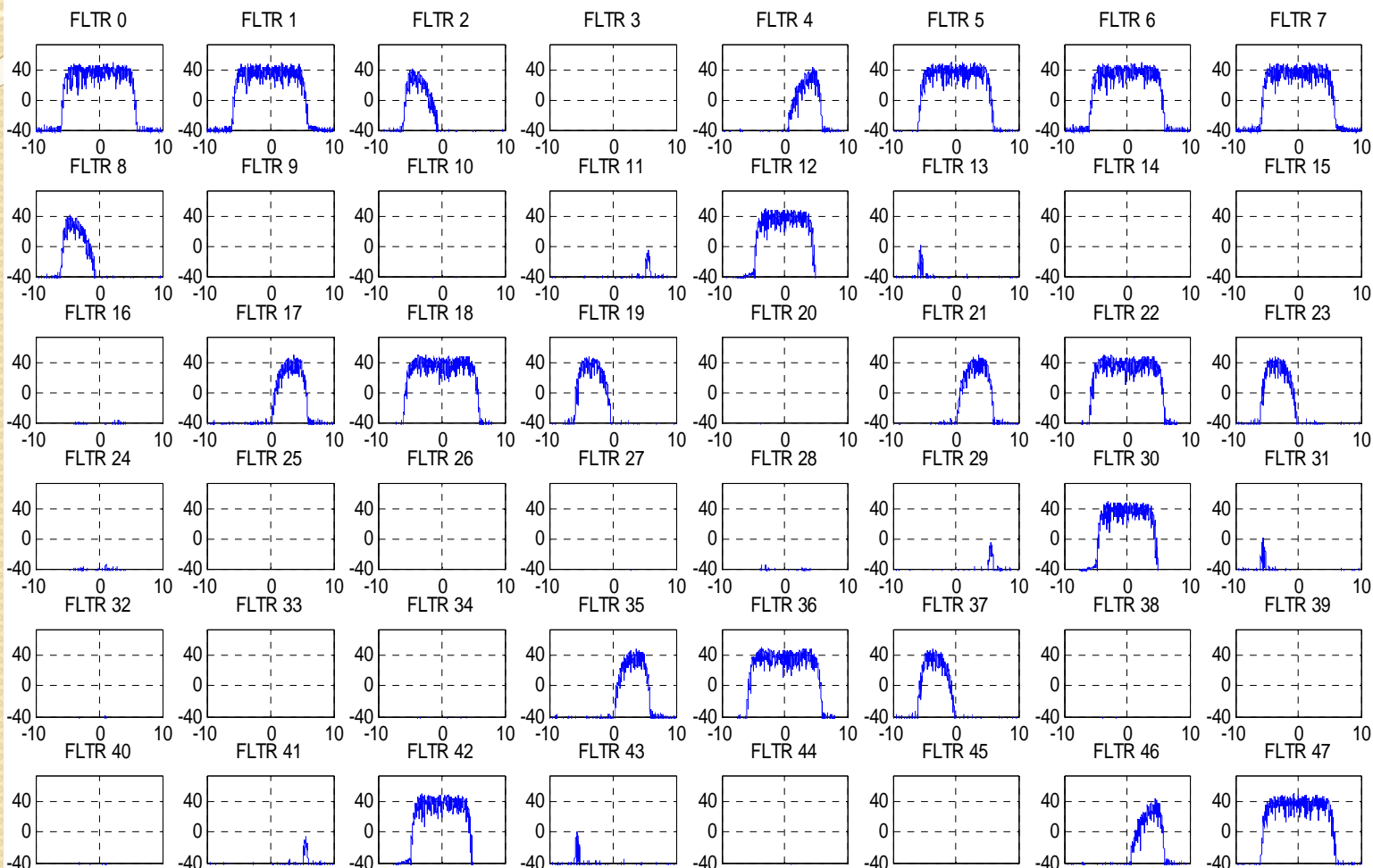
Up-Converted Signal Spectrum



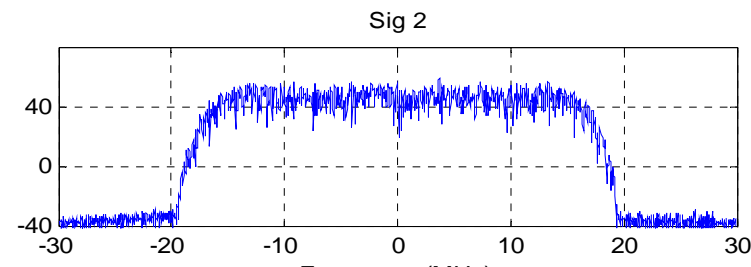
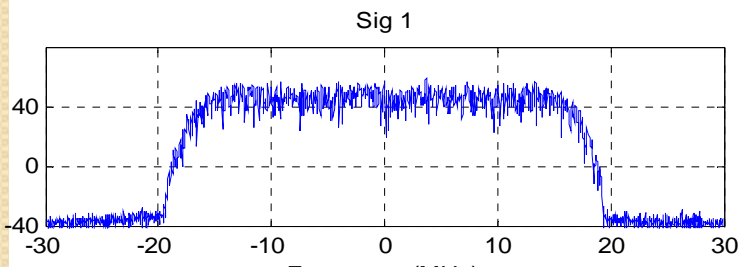
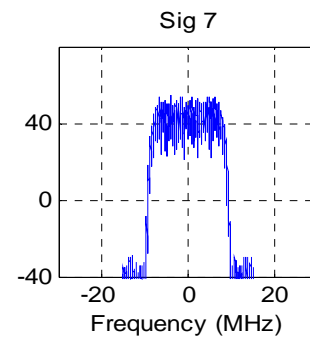
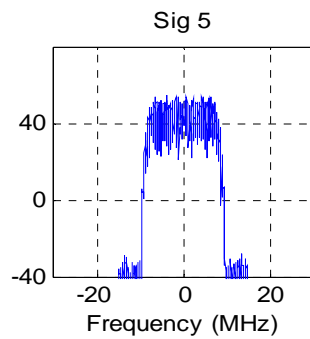
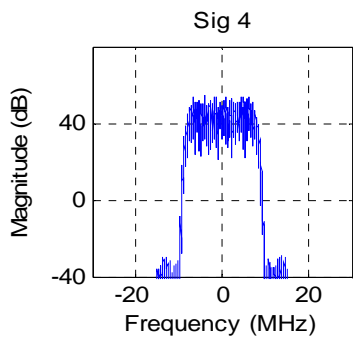
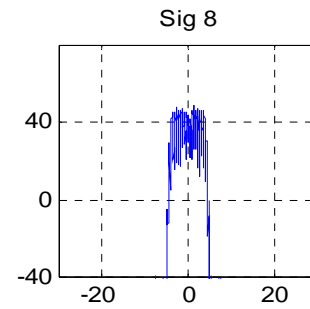
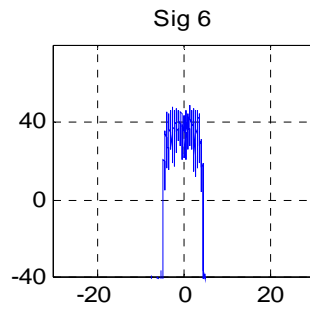
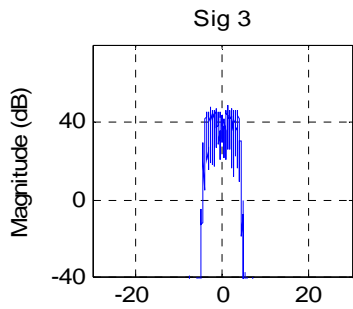
Reassemble Decomposed Broadband Signals Using Short Synthesis Filters formed by Multiple Channel Analysis Channelizer



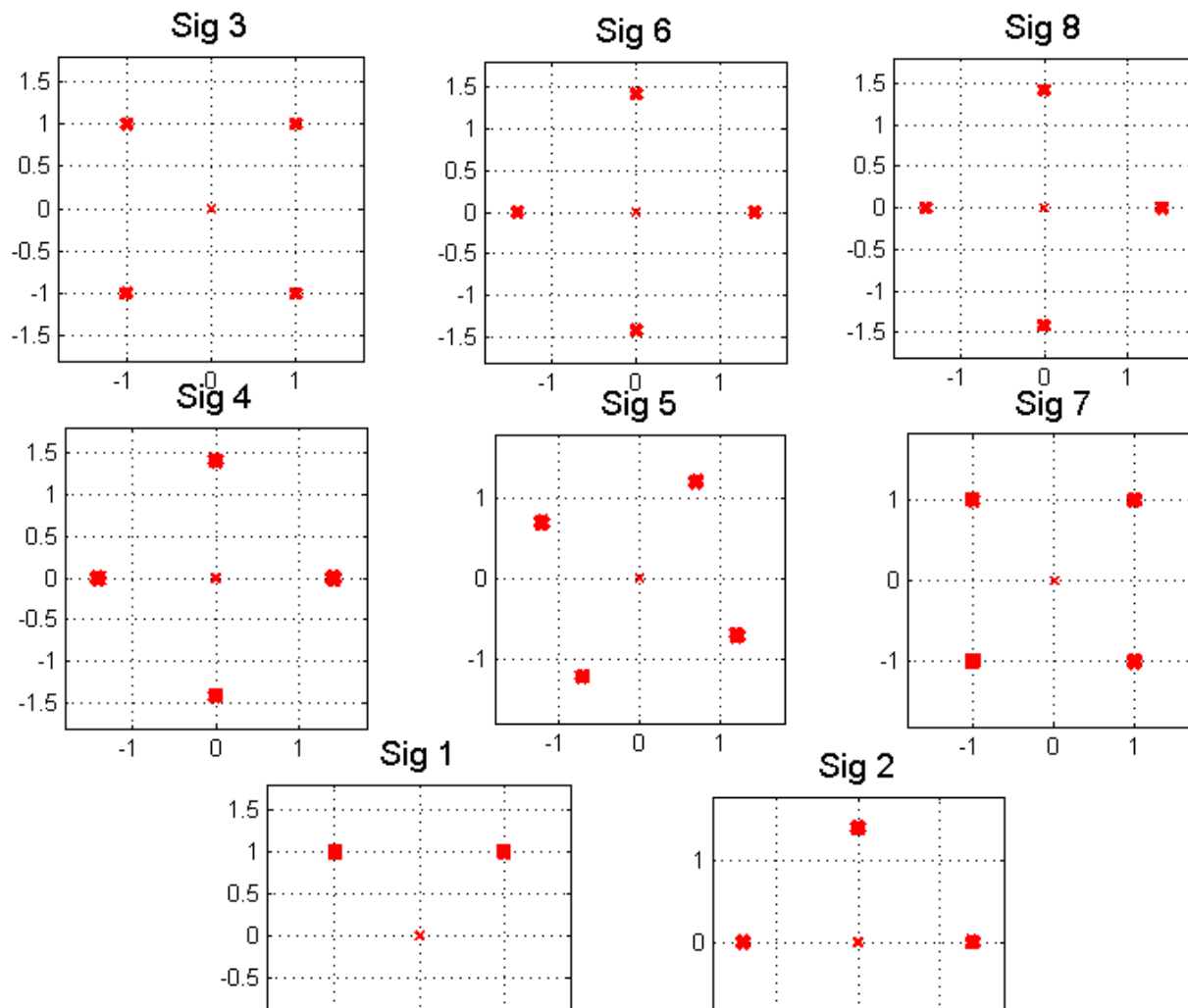
Partitioned spectral Components from Single Multi-Channel Analyzer



Reassembled Wide band Channels from Short Synthesis Channelizers



Signal Fidelity Preserved under Multiple Sub-Channel Disassembly and Reassembly





BLAZAM!



AS BILLY SPEAKS THE MAGIC WORD HE BECOMES CAPTAIN MARVEL.

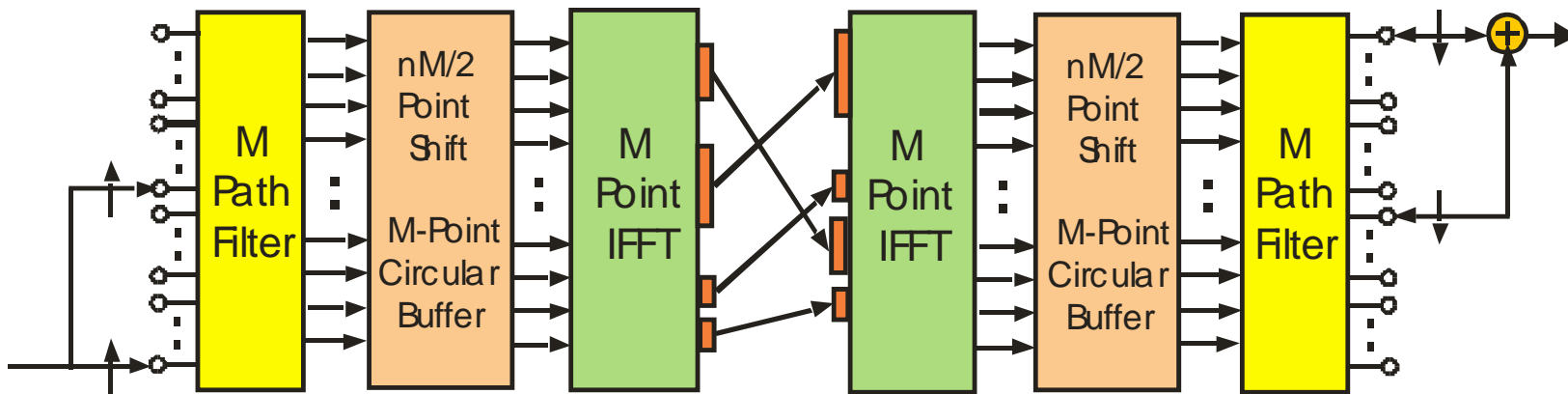


CAPTAIN MARVEL, I SALUTE YOU. HENCEFORTH IT SHALL BE YOUR SACRED DUTY TO DEFEND THE POOR AND HELPLESS, RIGHT WRONGS AND CRUSH EVIL EVERYWHERE.

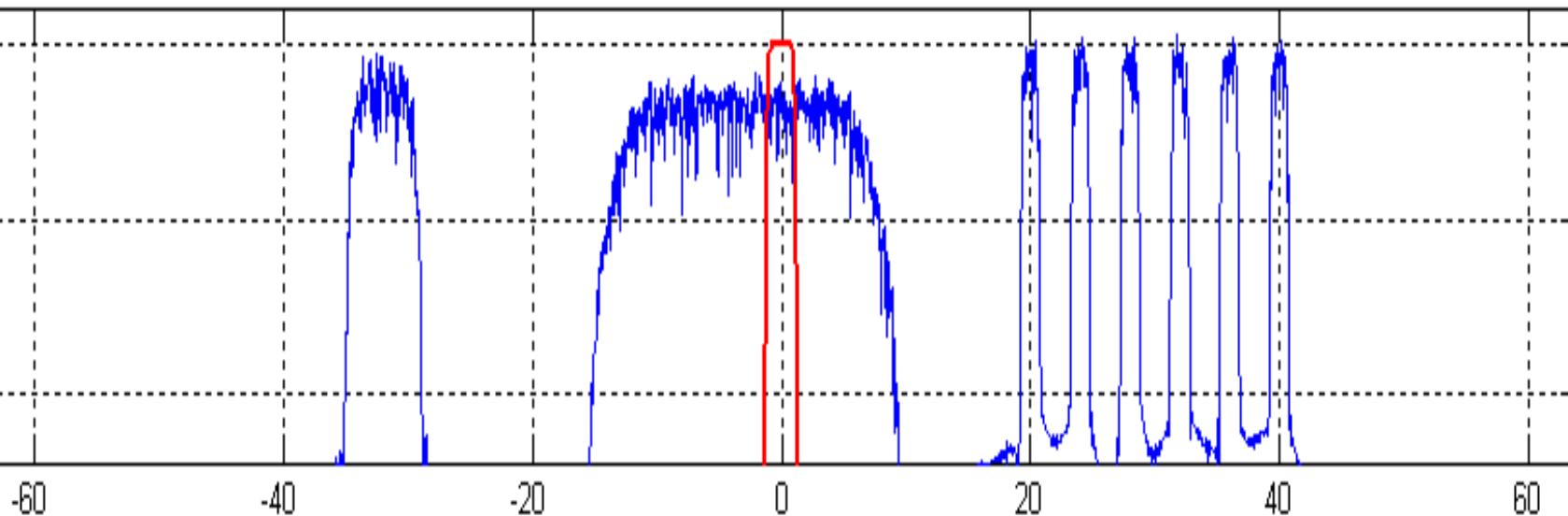
YES, SIRE.

Cascade $M/2$ -to-1 Analysis and 1-to- $M/2$ Synthesis Channelizers

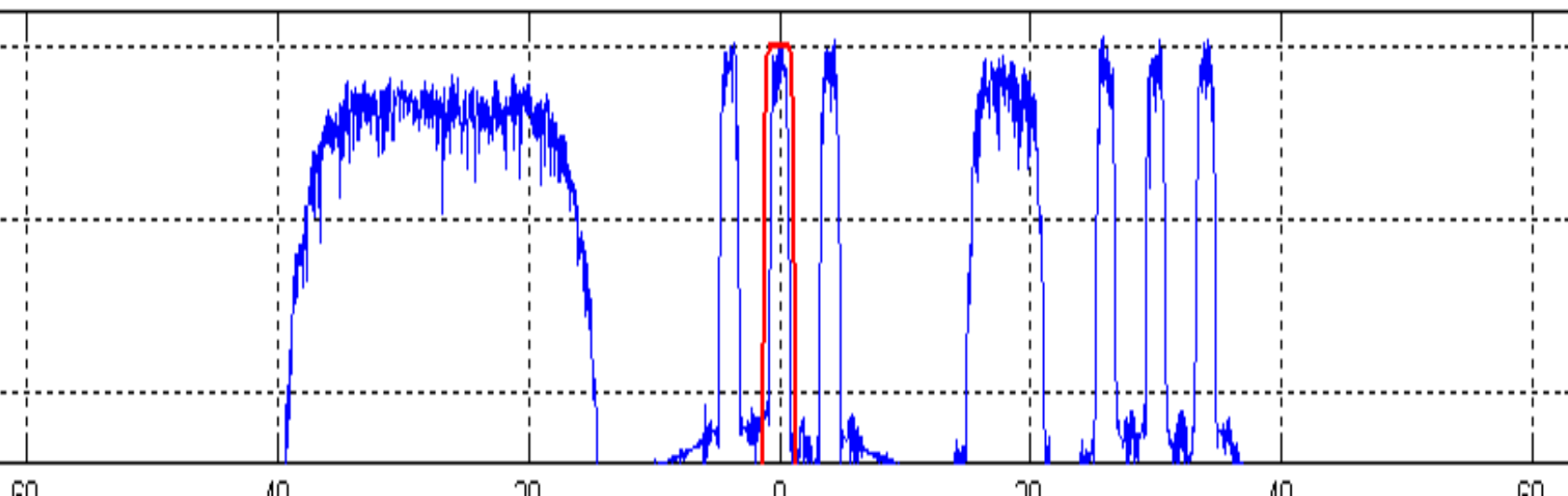
Frequency Domain Filtering and Spectral Shuffle



Composite Multi-Channel Input Spectrum



Composite Analysis, Rearranged, and Synthesized Output Spectrum



HERE POINTED HAIR BOSS. THIS REPORT EXPLAINS HOW A SMALL FREQUENCY OFFSET AT THE INPUT SAMPLE RATE IS CONVERTED TO THE SAME FREQUENCY OFFSET FROM THE CHANNEL CENTER FREQUENCY AT THE HIGH OUTPUT SAMPLE RATE.



Suspicious Confirmed!



Dilbert, is it true that DSP
makes the world go around
but multirate signal processing
supplies the music for the ride?



Is There any Doubt???

